

# Signature-based Gröbner basis algorithms in SINGULAR

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## Conventions

- ▶  $R = K[x_1, \dots, x_n]$ ,  $K$  field,  $<$  well-ordering on  $\text{Mon}(x_1, \dots, x_n)$

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- ▶ An ideal  $I$  in  $R$  is an additive subgroup of  $R$  such that for  $f \in I$ ,  $g \in R$  it holds that  $fg \in I$ .
- ▶  $G = \{g_1, \dots, g_s\} \subset R$  is a Gröbner basis of  $I = \langle f_1, \dots, f_m \rangle$  w.r.t.  $<$

$$:\Leftrightarrow$$

$$L_{<}(G) = L_{<}(I)$$

$$\Leftrightarrow$$

For all  $f, g \in G$   $\text{spol}(f, g)$  reduces to zero w.r.t.  $G$ .

## ● The basic problem

## ● Generic signature-based algorithms

The basic idea

Generic signature-based Gröbner basis algorithm

Signature-based criteria

## ● Implementations and recent work

Efficient variants

Timings

Recent work

# How to predict zero reductions?

## Example

Let  $I = \langle g_1, g_2 \rangle \in \mathbb{Q}[x, y, z]$  be given where  $\mathbf{g}_1 = \mathbf{xy} - \mathbf{z}^2$ ,  $\mathbf{g}_2 = \mathbf{y}^2 - \mathbf{z}^2$ , and let  $<$  be the graded reverse lexicographical ordering.

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$$\begin{aligned}\text{spol}(g_2, g_1) &= xg_2 - yg_1 = \mathbf{xy}^2 - xz^2 - \mathbf{xy}^2 + yz^2 \\ &= -xz^2 + yz^2,\end{aligned}$$

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**$\Rightarrow$  How can we discard such zero reductions in advance?**

- The basic problem

- **Generic signature-based algorithms**

  - The basic idea

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# Signatures of polynomials

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4. **A minimal signature** of  $p$  exists due to  $\prec$ .

# Our example – now with signatures and $\prec_{\text{pot}}$

We have already computed the following data:

$$g_1 = xy - z^2, \text{sig}(g_1) = e_1,$$

$$g_2 = y^2 - z^2, \text{sig}(g_2) = e_2,$$

$$g_3 = \text{spol}(g_2, g_1) = xg_2 - yg_1$$

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Note that  $\text{sig}(\text{spol}(g_3, g_1)) = xye_2$  and  $\text{lm}(g_1) = xy$ .

$\Rightarrow$  **We know that  $\text{spol}(g_3, g_1)$  will reduce to zero w.r.t.  $G$ .**

## Why do we know this?

The general idea is to check the signatures of the generated  $s$ -polynomials.

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Find and discard as many s-polynomials as possible for which the algorithm computes a non-minimal signature.



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## Our task

We need to take care of the correctness of the signatures throughout the computations.

# Generic signature-based Gröbner basis algorithm

**Input:** Ideal  $I = \langle f_1, \dots, f_m \rangle$

**Output:** Gröbner Basis  $\text{poly}(G)$  for  $I$

1.  $G \leftarrow \emptyset$
2.  $G \leftarrow G \cup \{(e_i, f_i)\}$  for all  $i \in \{1, \dots, m\}$
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4. While  $P \neq \emptyset$ 
  - (a) Choose  $(f, g) \in P$  such that  $\text{sig}(\text{spol}(f, g))$  minimal,  
 $P \leftarrow P \setminus \{(f, g)\}$
  - (b) If  $\text{sig}(\text{spol}(f, g))$  minimal for  $\text{spol}(f, g)$ :

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    - (iii) If  $\text{poly}(h) \xrightarrow{G} \text{poly}(r) \neq 0$

$$\begin{aligned} P &\leftarrow P \cup \{(r, g) \mid g \in G\} \\ G &\leftarrow G \cup \{r\} \end{aligned}$$

5. Return  $\text{poly}(G)$ .

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    - (i)  $h \leftarrow \text{spol}(f, g)$
    - (ii) If  $\text{poly}(h) \xrightarrow{G} 0 \Leftarrow$  signature-safe
    - (iii) If  $\text{poly}(h) \xrightarrow{G} \text{poly}(r) \neq 0 \Leftarrow$  signature-safe  
&  $\nexists g \in G$  such that  $m \text{sig}(g) = \text{sig}(r)$  and  
 $m \text{lm}(\text{poly}(g)) = \text{lm}(\text{poly}(r))$   
 $P \leftarrow P \cup \{(r, g) \mid g \in G\}$   
 $G \leftarrow G \cup \{r\}$
5. Return  $\text{poly}(G)$ .

# Signature-safe reductions

Let  $p$  and  $q$  in  $R$  be given such that  $m \operatorname{Im}(q) = \operatorname{Im}(p)$ ,  $c = \frac{\operatorname{lc}(p)}{\operatorname{lc}(q)}$ .

Assume

$$p - cmq.$$

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$$p - cmq.$$

**signature-safe:**  $\operatorname{sig}(p - cmq) = \operatorname{sig}(p)$

**signature-increasing:**  $\operatorname{sig}(p - cmq) = m \operatorname{sig}(q)$

# Signature-safe reductions

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Assume

$$p - cmq.$$

**signature-safe:**  $\operatorname{sig}(p - cmq) = \operatorname{sig}(p)$

**signature-increasing:**  $\operatorname{sig}(p - cmq) = m \operatorname{sig}(q)$

**signature-decreasing:**  $\operatorname{sig}(p - cmq) \prec \operatorname{sig}(p), m \operatorname{sig}(q)$

## Termination

- ▶ If  $\text{sig}(r) = m \text{sig}(g)$  and  $\text{lm}(\text{poly}(r)) = m \text{lm}(\text{poly}(g))$  is not added to  $G$ .
- ▶ Each new element in  $G$  enlarges  $\langle\langle \text{sig}(r), \text{lm}(\text{poly}(r)) \rangle\rangle$ .

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## Correctness

- ▶ All possible s-polynomials are taken care of: signature-increasing reduction  $\Rightarrow$  new pair in the next step.
- ▶ All elements  $r$  with  $\text{poly}(r) \neq 0$  are added to  $G$  besides those fulfilling  $\text{sig}(r) = m \text{sig}(g)$  and  $\text{lm}(\text{poly}(r)) = m \text{lm}(\text{poly}(g))$ .

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$\text{sig}(h)$  not minimal for  $h$ ?  $\Rightarrow$  Remove  $h$ .

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### Sketch of proof

1. There exists a syzygy  $s \in R^m$  such that  $\text{Im}(s) = \text{sig}(h)$ .  
 $\Rightarrow$  We can represent  $h$  with a lower signature.
2. Pairs are handled by increasing signatures.  
 $\Rightarrow$  All relations of lower signature are already taken care of.



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□

### Our example with $\prec_{\text{pot}}$ revisited

$$\left. \begin{array}{l} \text{sig}(\text{spol}(g_3, g_1)) = xy e_2 \\ g_1 = xy - z^2 \\ g_2 = y^2 - z^2 \end{array} \right\} \Rightarrow \text{psyz}(g_2, g_1) = g_1 e_2 - g_2 e_1 = xy e_2 + \dots$$

## Rewritable signature ( RW )

$\text{sig}(g) = \text{sig}(h)? \Rightarrow$  Remove either  $g$  or  $h$ .



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### Sketch of proof

1.  $\text{sig}(g - h) \prec \text{sig}(g), \text{sig}(h)$ .
2. Pairs are handled by increasing signatures.
  - $\Rightarrow$  All necessary computations of lower signature have already taken place.
  - $\Rightarrow$  We can represent  $h$  by

$$h = g + \text{elements of lower signature.}$$



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- Implementations and recent work

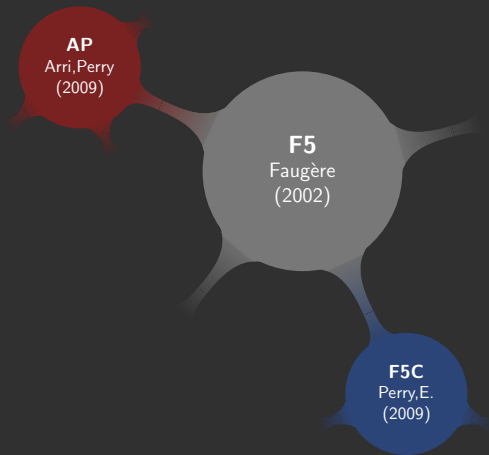
  - Efficient variants

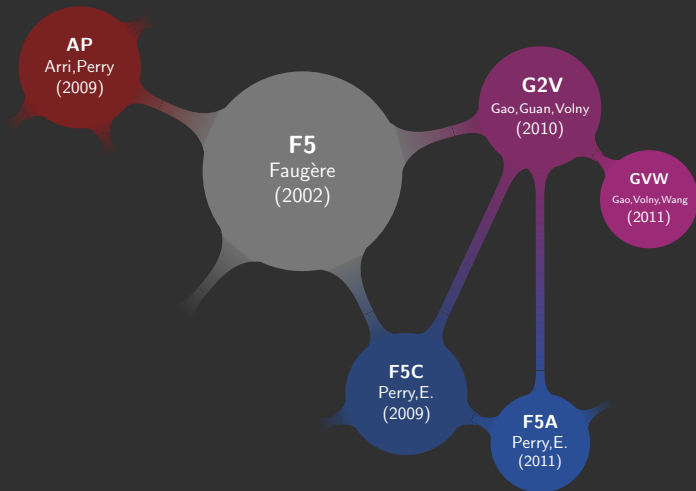
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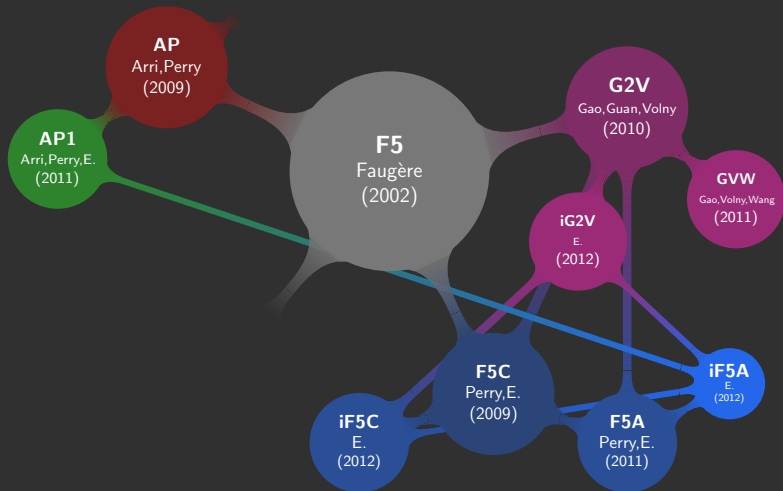


**F5**  
Faugère  
(2002)

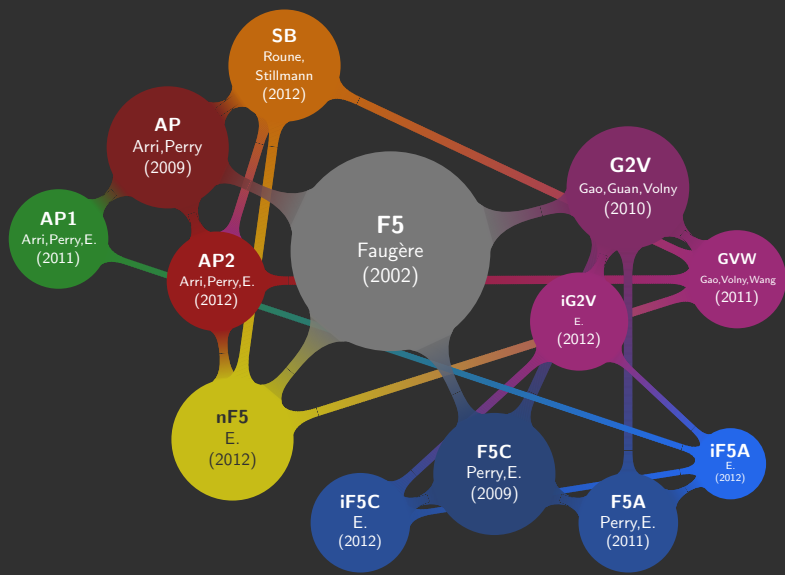




# Efficient variants



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**AP1**

Arri, Perry, E.  
(2011)

**AP2**

Arri, Perry, E.  
(2012)

**nF5**

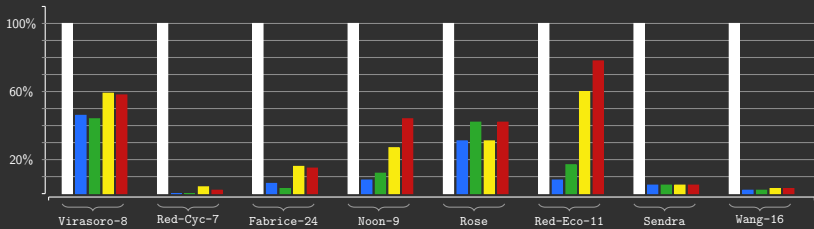
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**iF5A**

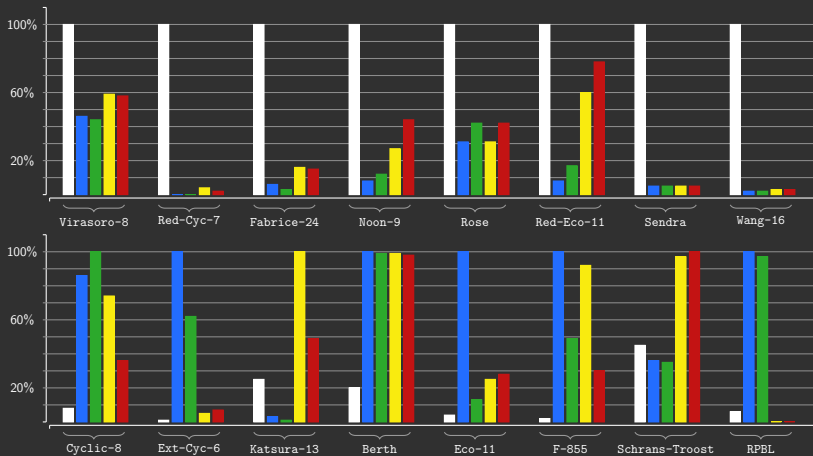
E.  
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# Timings



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- ▶ **Heuristics:**  
orderings on signatures; orderings for critical pairs (sugar degree), reducers
- ▶ **F4:**  
linear algebra for reduction purposes
- ▶ **Parallelisation:**  
modular methods, parallel criteria checks
- ▶ **Computation of syzygies:**  
implementation
- ▶ **Generalization of signature-based criteria:**  
more terms per signature, relaxing criteria for combination with Buchberger's criteria

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