

VARIATIONS ON THE BAER–SUZUKI THEOREM

ROBERT GURALNICK AND GUNTER MALLE

Dedicated to Bernd Fischer on the occasion of his 75th birthday

ABSTRACT. The Baer–Suzuki theorem says that if p is a prime, x is a p -element in a finite group G and $\langle x, x^g \rangle$ is a p -group for all $g \in G$, then the normal closure of x in G is a p -group. We consider the case where x^g is replaced by y^g for some other p -element y . While the analog of Baer–Suzuki is not true, we show that some variation is. We also answer a closely related question of Pavel Shumyatsky on commutators of conjugacy classes of p -elements.

1. INTRODUCTION

The Baer–Suzuki theorem asserts:

Theorem. *Let G be a finite group and $x \in G$. If $\langle x, x^g \rangle$ is nilpotent for all $g \in G$, then $\langle x^G \rangle$ is a nilpotent normal subgroup of G .*

There are many relatively elementary proofs of this (see [1], [13, p. 298] or [16, p. 196]). Clearly, it suffices to prove the result for x a p -element for each prime p (or indeed for x of prime order p). We were recently informed by Bernd Fischer that Reinhold Baer had asked what one can say if instead for given $x, y \in G$ we have the hypothesis that $\langle x, y^g \rangle$ is a p -group for all $g \in G$. Examples in [9] show that there is not too much to say in general. The most one could expect is that x^G and y^G commute modulo $O_p(G)$ and this can fail.

Using the classification of finite simple groups, the following generalization of Baer–Suzuki for primes at least 5 was proved in [9, Thm. 1.9] though:

Theorem. *Let G be a finite group and $p \geq 5$ a prime. If C and D are normal subsets of G with $\langle C \rangle = \langle D \rangle$ and $\langle c, d \rangle$ is a p -group for all $(c, d) \in C \times D$, then $\langle C \rangle$ is a normal p -subgroup of G .*

The conclusion fails for $p = 2, 3$ and fails without the assumption that $\langle C \rangle = \langle D \rangle$. In this note, we show that if we strengthen the assumption on the structure of $\langle c, d \rangle$, then we can prove a version of Baer–Suzuki. Recall that if x, y are elements of a group G , then $[x, y] = x^{-1}y^{-1}xy$ is the commutator of x and y . If C and D are subsets of G , let $[C, D] := \langle \{[c, d] \mid c \in C, d \in D\} \rangle$.

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Theorem 1.1. *Let G be a finite group and p a prime. Let C, D be normal subsets of G such that if $(c, d) \in C \times D$, then $\langle c, d \rangle$ is a p -group with no section isomorphic to $Z_p \wr Z_p$. Then $[C, D] \leq O_p(G)$.*

We also classify in Theorems 4.2 and 5.14 all pairs of conjugacy classes C, D of elements of order p in finite almost simple groups such that $\langle c, d \rangle$ is a p -group for all $(c, d) \in C \times D$ (by [9, Thm. 8.4] this can only happen for $p \leq 3$). We call such a pair of classes a *Baer–Fischer pair* in view of Baer’s question and of the fact that such pairs for $p = 2$ were found by Fischer in the automorphism group of his smallest group Fi_{22} and in the involution centralizer $2.^2E_6(2).2$ of the baby monster B .

We remark that for the case $p = 2$ our result is somewhat complementary to various earlier investigations by Fischer, Aschbacher, Timmesfeld, and others on groups generated by 3-transpositions, or by odd involutions, which considered involution classes for which the products mostly have odd order, instead of 2-power order as here (see for example the survey [18]).

The second goal of this paper is to answer a question of Pavel Shumyatsky.

Theorem 1.2. *Let p be prime. Let G be a finite group with a normal subset C consisting of p -elements and closed under taking commutators. Then one of the following occurs:*

- (1) $\langle C \rangle$ is a p -group; or
- (2) $p = 5$ and $\langle C \rangle O_5(G)/O_5(G)$ is a direct product of copies of \mathfrak{A}_5 and C is not closed under squares.

Note that this result is closely related to the Baer–Suzuki theorem (which can be viewed as saying that if C is a normal set of p -elements and $\langle x, y \rangle$ is a p -group for all $x, y \in C$, then $\langle C \rangle$ is a p -group). Note that if C is a conjugacy class of elements of order 5 in \mathfrak{A}_5 , then $[C, C] = C \cup \{1\}$, but $\langle C \rangle = \mathfrak{A}_5$ is simple.

We conjecture that an even stronger property holds:

Conjecture 1.3. *Let $5 \neq p$ be a prime. Let C be a conjugacy class of p -elements in the finite group G . If $[c, d]$ is a p -element for all $c, d \in C$, then $C \subset O_p(G)$.*

Using the methods of our proof of Theorem 1.2, one can show that it reduces to showing the conjecture for $G = {}^2G_2(q^2)$ with $q^2 = 3^{2a+1} > 27$ and p a primitive prime divisor of $q^2 + \sqrt{3}q + 1$. In particular, the conjecture holds for $p = 2, 3$ and 7.

Our methods would also provide another proof of a related result of [10, 20] (which is weaker than the conjecture above):

Theorem 1.4. *Let p be a prime and C a conjugacy class of p -elements in the finite group G . If CC^{-1} consists of p -elements, then $C \subset O_p(G)$.*

Analogous situations to Theorems 1.1 and 1.2 for almost simple algebraic groups were completely classified in our paper [7].

The paper is organized as follows. In the next section, we prove some results about representations of p -groups. In Section 3, we prove Theorem 1.1 assuming the results of Section 4 (for the prime 3). We then classify pairs of conjugacy classes of 3-elements in almost simple groups such that every pair generates a 3-group. In Section 5, we consider pairs of involutions in almost simple groups. In Section 6, we handle the case

of Theorem 1.2 with $p \neq 5$ or when C is closed under squaring. In the final section, we consider the case when $p = 5$. In both cases, we can reduce to the case of simple groups.

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2. ON p -GROUP REPRESENTATIONS

Let p be an odd prime and k be a field of characteristic $r \neq p$. We write Z_p for the cyclic group of order p .

Lemma 2.1. *Let P be a finite p -group and let V be an irreducible kP -module such that $[P, P]$ is not trivial on V . Let $\{x_1, \dots, x_s\}$ be a generating set for P .*

- (a) *There exists i so that $\dim C_V(x_i) \leq (1/p) \dim V$.*
- (b) *If each x_j has order p , there exists i with $\dim C_V(x_i) = (1/p) \dim V$.*

Proof. By viewing V as a module over $\text{End}_k(V)$, we may assume that V is absolutely irreducible and k is algebraically closed. Thus, $V = \lambda_H^P$ for some 1-dimensional representation λ of a proper subgroup H of P . Let M be a maximal subgroup of P containing H . Thus, $V = W_M^P = W_1 \oplus \dots \oplus W_p$ where the W_i are irreducible kM -submodules and P permutes the W_j . Some $x = x_i$ must permute the W_j , whence $\dim C_V(x) = \dim C_W(x^p) \leq \dim W$. This proves both (a) and (b). \square

Corollary 2.2. *In the situation of Lemma 2.1 assume that $V = \bigoplus_i [x_i, V]$ and that each x_i has order p . Then P has a section isomorphic to $Z_p \wr Z_p$.*

Proof. As in the previous proof, we may write $V = W_1 \oplus \dots \oplus W_p$ such that the stabilizer of each W_j is a maximal (normal) subgroup M and $x = x_1$ permutes the non-isomorphic irreducible kM -modules W_i . It follows that x_j , $j > 1$, is in M because otherwise $\dim C_V(x_j) = (1/p) \dim V$, contradicting the fact that $\dim V = \sum \dim [x_i, V]$. Thus, M is the normal closure of $\langle x_2, \dots, x_s \rangle$. We can identify each W_i with W_1 (as vector spaces) and assume that x just permutes the coordinates. Writing $x_j = (y_{j1}, \dots, y_{jp})$ for $j = 2, \dots, s$, we see that the action of M on W_1 is generated by $\{y_{ji} \mid j \geq 2, 1 \leq i \leq p\}$ and that $\dim W_1 = \sum \dim [y_{ij}, W_1]$. Since W_i is irreducible for M , this implies that $W_1 = \bigoplus_{i,j} [y_{ij}, W_1]$. If $\dim W_1 > 1$, it follows by induction that M has a section isomorphic to $Z_p \wr Z_p$. If $\dim W_1 = 1$, then the hypotheses imply that (after reordering), x_2 is a pseudoreflection and x_j , $j > 2$, is trivial on V . So $\langle x_1, x_2 \rangle$ acts as $Z_p \wr Z_p$ on V , whence the result. \square

3. PROOF OF THEOREM 1.1

For S a subset of a finite group G , let $e(S)$ denote the largest order of an element of S .

Theorem 3.1. *Let G be a finite group and p a prime. Assume that C and D are normal subsets of G such that for all $(c, d) \in C \times D$:*

- (1) *$\langle c, d \rangle$ is a p -group; and*
- (2) *no section of $\langle c, d \rangle$ is isomorphic to $Z_p \wr Z_p$.*

Then $[C, D] \leq O_p(G)$.

Proof. Let G, C, D be a minimal counterexample (say with $|G| + |C| + |D| + e(C) + e(D)$ minimal). Since the properties are inherited under homomorphic images, $O_p(G) = 1$. Clearly, $G = \langle C, D \rangle$.

If C' is a proper normal subset of C , then by minimality $[C', D] = 1$ and $[C \setminus C', D] = 1$. Thus, we may assume that C and D are conjugacy classes of G .

Let N be a minimal normal subgroup of G . If M is another minimal normal subgroup, then by minimality, $[C, D]$ projects to a p -group in G/M and also in G/N . Since G embeds in $G/M \times G/N$, this would imply that $[C, D]$ is a p -group. So N is the unique minimal normal subgroup of G . Thus, as N is contained in all nontrivial normal subgroups, $C_G(N) = 1$ or N is abelian.

By minimality, $[C, D] = NQ$ where Q is a p -group. If Q centralizes N , then N is abelian and so has order prime to p , whence $Q = O_p([C, D]) \leq O_p(G) = 1$ and $[C, D] = N$.

If the elements of C have order greater than p , then with $C_p := \{x^p \mid x \in C\}$ by minimality, $[C_p, D] \leq O_p(G) = 1$, whence $N \leq \langle C_p \rangle$ and so D centralizes N . Thus, $Q \leq C_G(N)$ and so $Q = 1$ and $[C, D] \leq N$ by the above. Since N is a p' -group and D consists of p -elements, this implies that $[C, D] = 1$, contradicting the fact that G is a counterexample. Thus, C and D consist of elements of order p . In particular, the result follows if $p = 2$ (since $Z_2 \wr Z_2$ is dihedral of order 8, the hypotheses imply that if $(c, d) \in C \times D$ with c, d both involutions, then $cd = dc$).

First suppose that N is an elementary abelian r -group for a prime r (necessarily $r \neq p$). Note that neither C nor D centralizes N (for then $[C, D] = [C^r, D^r] = 1$). Choose $(c, d) \in C \times D$ with $[c, d]$ nontrivial. Since $[c, d]$ does not centralize N , $[c, d]$ is not in $O_p(H)$ where $H = N\langle c, d \rangle$, whence by minimality $G = H$. In particular, $G = NQ$ where $Q = \langle c, d \rangle$ is a p -group. Let $H_1 = \langle C \cap Q \rangle$ and $H_2 = \langle D \cap Q \rangle$.

Note that if $(c, d) \in C \times D$, then for any $x \in N$, $\langle c, d^x \rangle$ is conjugate to a subgroup of Q , whence $N = C_N(c)C_N(d)$. In particular, $\dim[c, N] + \dim[d, N] = \dim N$, whence $N = [c, N] \oplus [d, N]$ and so by Corollary 2.2, Q has a section isomorphic to $Z_p \wr Z_p$, a contradiction.

Thus, we may assume that $N = L_1 \times \cdots \times L_t$, where $L_i \cong L$ is a nonabelian simple group. Since this is the unique minimal normal subgroup of G , $N = F^*(G)$ has trivial centralizer in G . Arguing as above, we may choose $(c, d) \in C \times D$ with $[c, d] \neq 1$ and $G = NQ$ where $Q = \langle c, d \rangle$ is a p -group.

First suppose that p does not divide $|N|$. Then Q is a Sylow p -subgroup of NQ . By Sylow's theorems, Q normalizes a Sylow ℓ -subgroup of N for each prime ℓ . Thus Q normalizes a Sylow ℓ -subgroup S for some prime ℓ with $[c, d]$ not centralizing S . By minimality, $[c, d] \in O_p(SQ)$, a contradiction.

So we may assume that Q normalizes some nontrivial Sylow p -subgroup P of N .

If $t = 1$, the result follows by [9, Thm. 8.4] (for $p > 3$) and by Corollary 4.4 (for $p = 3$). So assume that $t > 1$.

Suppose that c normalizes each L_i . Then d acts transitively whence $s = p$. Write $c = (c_1, \dots, c_p)$ where $c_j \in \text{Aut}(L_j)$ and d permutes the coordinates. Assume that $c_1 \neq 1$. If $c_j = 1$ for all $j > 1$, then $\langle c, d \rangle \cong Z_p \wr Z_p$, a contradiction.

So suppose that some $c_j \neq 1$ for $j > 1$. Let $y = c^x$ where $x \in L_j$. Then $\langle y, d \rangle$ is a p -group, whence the group generated by $\langle c_1, c_j^x \rangle$ is a p -group in $\text{Aut}(L_1)$ for any $x \in L_1$. Now apply Corollary 4.4 (see also [9, Thm. 8.4] for $p \geq 5$) to obtain a contradiction.

So we may assume that c and d both induce nontrivial permutations on $\{L_1, \dots, L_s\}$. Let $J = N_G(P)$. By [13, Thm. X.8.13] and [8, Thm. 1.1], $(J \cap N)/P$ is a nontrivial p' -group.

By minimality, $[c, d] \in O_p(J/P)$, whence $[c^x, d^y]$ centralizes $(J \cap N)/P$ for every $x, y \in J \cap N$. We may write $\bar{J} := (J \cap N)/P$ as a direct product of s copies of the corresponding group for each component and c, d are permuting these factors nontrivially.

Since $\langle c, d^x \rangle$ is a p -group for any $x \in J \cap N$, we see that $\langle c, d^x \rangle = \langle c, d \rangle^h$ modulo P for some $h \in \bar{J}$. Thus, h centralizes c and hx^{-1} centralizes d . Thus, $C_{\bar{J}}(c)C_{\bar{J}}(d) = \bar{J}$, but since c and d act semiregularly on the set of components, this is not the case (consider the orders of the centralizers). \square

4. PAIRS OF CONJUGACY CLASSES OF 3-ELEMENTS

In this section we classify Baer–Fischer pairs of 3-elements in finite non-abelian almost simple groups.

It turns out that all examples are finite analogues of examples for possibly disconnected almost simple algebraic groups, as classified in [9] and [7]. More precisely they can be obtained as follows:

Example 4.1. Let k be an algebraically closed field of characteristic 3 and $G = \text{SO}_8(k).3$, the extension of the simple algebraic group $G^\circ = \text{SO}_8(k)$ by a graph automorphism of order 3. Let C_1 be the class of root elements in G° and C_2 the class of the graph automorphism with centralizer $G_2(k)$ in G° . In [7, Ex. 3.4] we showed that C_1C_2 consists of 3-elements.

In the finite group $G_0 = \text{O}_8^+(3).3$ an explicit computation with the character table yields that $(C_1 \cap G_0)(C_2 \cap G_0)$ consists of three conjugacy classes with representatives the elements denoted u_2, u_3, u_4 in [14, Tab. 8], of orders 3,9,9 respectively. More precisely the elements of $D_1 \cap G_0$ are hit once, those from $D_2 \cap G_0$ thrice, and those from one of the three rational classes in $D_3 \cap G_0$ are hit six times by C_1C_2 , where for $1 \leq i \leq 3$ we let D_i denote the class of u_{i+1} in G . A calculation with the centralizer orders then shows that the same is true for all groups $\text{O}_8^+(3^a).3$. As both classes C_1 and C_2 have non-empty intersection with ${}^3D_4(3^a).3 \leq \text{O}_8^+(3^a).3$, this also gives a pair of classes of 3-elements in ${}^3D_4(3^a).3$ with all products being 3-elements.

Explicit computation in $\text{O}_8^+(3).3$ shows that there are pairs $(x, y) \in C_1 \times C_2$ such that $xy \in D_3$ and $\langle x, y \rangle$ has order 243. Since D_3 is the class of maximal dimension among the D_i , it is dense in C_1C_2 , and so all pairs in $C_1 \times C_2$ generate a 3-group. Thus we get examples in $\text{O}_8^+(3^a).3$. Now both classes C_1, C_2 are stabilized by the graph-field automorphisms of $\text{SO}_8(k).3$, whence we also obtain such examples for ${}^3D_4(3^a)$. The remark at the end of [7, Ex. 3.4] shows that we generate the same 3-group when taking suitable long and short root elements in $G_2(3)$.

We adopt the notation for outer automorphisms of Lie type groups from [6, 2.5.13]. Thus, in particular graph-field automorphisms only exist for untwisted groups, and for twisted groups, field automorphisms have order prime to the order of the twisting.

Theorem 4.2. *Let G be a finite almost simple group. Suppose that $c, d \in G$ are non-trivial 3-elements such that $\langle c, d^g \rangle$ is a 3-group for all $g \in G$. Then one of the following holds (up to interchanging c and d):*

- (1) $G = G_2(3^a)$, c is a long root element and d is a short root element;
- (2) $F^*(G) = O_8^+(3^a)$, c is an inner 3-central element and d a graph automorphism of order 3 with centralizer $G_2(3^a)$; or
- (3) $F^*(G) = {}^3D_4(3^a)$, c is an inner 3-central element and d a graph automorphism of order 3 with centralizer $G_2(3^a)$.

Proof. Let $S = F^*(G)$. We consider the various possibilities for S according to the classification of finite simple groups.

Case 1. S is not of Lie type.

For S sporadic, a calculation of structure constants using the known character tables shows that no example arises. For $S = \mathfrak{A}_n$, $n \geq 5$, there are no cases by [9, Lemma 8.2].

Case 2. S of Lie type in characteristic $p = 3$.

If both c, d are contained in S , then by [9, Thm. 4.6] the only examples are those in (1) of the conclusion. Now assume that d induces a field or graph-field automorphism on S . If S has rank 1, then $S = S(q) \in \{L_2(q), U_3(q), {}^2G_2(q^2)\}$. By [6, Prop. 4.9.1] there is a unique class of cyclic subgroups of such automorphisms of order 3, and every unipotent element of S is conjugate to one in $C_S(d) = S(q_0)$, where $q = q_0^3$. Again by [6, Prop. 4.9.1], d is conjugate to a non-central element of $S(q_0) \times \langle d \rangle$, so we reduce to the simple group $S(q_0)$ for which we are done by induction, except when $S = L_2(3^3)$ or ${}^2G_2(3^3)$. In the latter cases, explicit computation shows that there are no examples.

If S has rank at least 2, let's exclude for the moment the case that S is of type D_4 and c or d induce a graph or graph-field automorphism. We let P be an end node parabolic subgroup with d not contained in its unipotent radical Q . Then $N_G(P)$ contains a Sylow 3-subgroup of G , so we may assume that $c, d \in N_G(P)$. Now P/Q has a unique non-abelian simple section, on which both c, d act nontrivially. In this case we are done by induction unless P/Q is as in (1), (2) or (3) of our conclusion. Clearly (1) and (3) cannot occur as proper Levi factors, and (2) does not arise since c, d can not induce graph automorphisms of 3-power order on the Levi factor.

A graph-field automorphism d of $S = O_8^+(3^{3a})$ of order 3 normalizes a subgroup $M = O_8^+(3^a)$ which contains representatives for all classes of elements of order 3 in S , and on which it acts by a graph automorphism. Since all subgroups of order 3 in $S \cdot \langle d \rangle$ are conjugate under $\text{Aut}(S)$ by [6, Prop. 4.9.1(e)], a conjugate of d acts as the graph automorphism of M with parabolic centralizer, whence we do not get examples by the previously discussed case.

Next assume that d induces a graph automorphism on $S \cong O_8^+(3^a)$. By [14, Tab. 8] there are two such outer automorphisms of order 3 up to conjugation and inversion, one with centralizer $G_2(q)$ and the other with centralizer contained inside a parabolic subgroup of $G_2(q)$. Explicit computation of structure constants shows that the only case for $O_8^+(3)$ is with c an inner 3-central element and d inducing a graph automorphism with centralizer $G_2(3)$. By Example 4.1 this gives rise to the family of examples in (2) for $O_8^+(3^a)$.

Finally, let $S = {}^3D_4(3^a)$. Here outer automorphisms of order three stabilize and act non-trivially on a parabolic subgroup P with Levi subgroup of type $A_1(q^3)$. All non-trivial unipotent classes of S except for the one of long root elements have representatives outside the unipotent radical of P (see [12, Tab. A.8, A.10]) and we are done by induction. Now assume that c is a long root element. Again by [14, Tab. 8] and [15, Prop. 5], there are

two classes of outer automorphisms of order 3 up to inversion. The class whose elements have centralizer of type G_2 leads to case (3) by Example 4.1. The other class contains the product of the graph automorphism with a long root element in its centralizer $G_2(q)$. But the product of two long root elements in $G_2(q)$ can have even order, whence we do not get an example.

Case 3. S of Lie type in characteristic $p \neq 3$.

Here, both c, d are semisimple. In this case, we imitate the argument in the proof of [9, Thm. 8.4] for the case $p \geq 5$, and just comment on the differences. First assume that c, d both have order 3. If c is inner and d induces a field or graph-field automorphism, then we may invoke [9, Lemma 8.6] to descend to a group over a subfield, unless $S = {}^3D_4(q)$. We then continue as in [9, 8.2] and see that for classical groups we only need to worry about the case when $S = O_8^+(q)$ and d , say, is a graph automorphism. Now d normalizes a subgroup $O_8^+(2)$, which contains representatives from all classes of inner elements of order 3 of S . Computation of structure constants in $O_8^+(2)$.3 shows that no example arises. This completes the investigation of classical type groups.

We next discuss exceptional type groups. For $S = {}^2B_2(q^2)$, the only 3-elements are field automorphisms. For $G = G_2(q)$ it can be checked from the character tables in [4] that not both c, d can be inner, and then by the above cited [9, Lemma 8.6] we reduce to a group over a subfield. For ${}^3D_4(q)$ all classes of elements of order 3 have representatives in the subgroup $G_2(q)$. In ${}^2F_4(q^2)$ there is just one class of elements of order 3, so no example can exist by the Baer–Suzuki theorem. For the groups of large rank, we use induction by invoking Lemma 4.3.

Case 4. 3-elements of order larger than 3.

Clearly we only need to consider elements c, d such that elements of order 3 in $\langle c \rangle, \langle d \rangle$ are as in (1)–(3). But all possibilities for those cases have already been discussed. \square

Lemma 4.3. *Let G be an exceptional group of adjoint Lie type of rank at least 4 in characteristic prime to 3. Then all conjugacy classes of elements of order 3 have (non-central) representatives in a natural subgroup H as listed in Table 1, where T denotes a 1-dimensional split torus.*

TABLE 1. Subgroups intersecting all classes of elements of order 3

| G | H | conditions |
|--------------------------|---------------|-----------------------|
| $F_4(q)$ | $B_4(q)$ | |
| $E_6(q)_{\text{ad}}$ | $A_5(q)T$ | $q \equiv 1 \pmod{3}$ |
| | $F_4(q)$ | $q \equiv 2 \pmod{3}$ |
| ${}^2E_6(q)_{\text{ad}}$ | ${}^2A_5(q)T$ | $q \equiv 2 \pmod{3}$ |
| | $F_4(q)$ | $q \equiv 1 \pmod{3}$ |
| $E_7(q)_{\text{ad}}$ | $D_6(q)T$ | |
| $E_8(q)$ | $D_8(q)$ | |

Proof. First assume that $G = F_4(q)$. The conjugacy classes of elements of order 3 in G and their centralizers are easily determined using Chevie [4]. From this it ensues that

a maximal torus of order Φ_1^4 contains representatives from all three classes of elements of order 3 when $q \equiv 1 \pmod{3}$, which in turn is contained in a subgroup of type B_4 , while for $q \equiv 2 \pmod{3}$, the same holds for a maximal torus of order Φ_2^4 . In $E_6(q)_{\text{ad}}$, for $q \equiv 1 \pmod{3}$, all but two classes of elements of order 3 have representatives in a maximal torus of order Φ_1^6 , which lies inside a Levi subgroup $A_5(q)T$. For the remaining two classes, the centralizers $A_2(q^3).3$ and ${}^3D_4(q)\Phi_3$ contain maximal tori of order $q^6 - 1$ respectively $(q^3 - 1)^2$, which also have conjugates in $A_5(q)T$. The arguments for the remaining cases are completely similar. \square

Now we can state the result that we need for the proof of our main Theorem 1.1.

Corollary 4.4. *Let G be a finite almost simple group with socle $F^*(G) = S$. Let p be an odd prime. Let x, y be elements of order p in G . Then there exists $s \in S$ such that one of the following holds:*

- (1) $\langle x, y^s \rangle$ is not a p -group; or
- (2) $p = 3$ and $Z_3 \wr Z_3$ is a section of $\langle x, y^s \rangle$.

Proof. We may suppose that $G = \langle S, x, y \rangle$. First assume that $p > 3$. The result follows by [9, Thm. 8.4] except that there s is taken in G rather than in S . If the Sylow p -subgroup of G/S is cyclic, then since $G = SC_G(x)$ or $G = SC_G(y)$, we can take $s \in S$. By the classification, the only other possibilities are that $S = L_n(q^p)$ or $S = U_n(q^p)$ where p divides $(n, q - 1)$ or $(n, q + 1)$, respectively. Note that $G = C_G(x)SC_G(y)$ (and so the result follows) unless x and y are both field automorphisms of order p . In this case, after conjugation, x and y both normalize and do not centralize a subgroup H isomorphic to $L_2(q^p) \cong U_2(q^p)$ and each induce field automorphisms. By [6, Prop. 4.9.1], x and y are conjugate in $\text{Aut}(H)$, whence the result follows by the Baer–Suzuki theorem.

Now assume that $p = 3$. Exclude the cases $S = G_2(3^a)$, $S = O_8^+(3^a)$ and $S = {}^3D_4(3^a)$ for the moment. Then arguing exactly as for $p > 3$ and using Theorem 4.2 in place of [9, Thm. 8.4], we see that $\langle x, y^s \rangle$ is not a 3-group for some $s \in S$.

If $S = G_2(3^a)$, then it follows by the earlier results of this section that either $\langle x, y^s \rangle$ is not a 3-group for some $s \in S$ or (up to order), x is a long root element and y is a short root element. In that case, we see in $G_2(3)$ that x, y^s generate a subgroup of order 3^5 (of index 3 in a Sylow 3-subgroup of $G_2(3)$) when xy^s has centralizer order 3^3 , and one checks that $Z_3 \wr Z_3$ is a quotient of that subgroup of $G_2(3)$.

If $S = O_8^+(3^a)$, then Theorem 4.2 shows that either $\langle x, y^s \rangle$ is not a 3-group for some s or (up to order), x is a graph automorphism and y is a 3-central element of S . Again, explicit computation shows that two conjugates in $O_8^+(3^a).3$ can generate a subgroup of order 3^5 (see Example 4.1) which has $Z_3 \wr Z_3$ as a quotient. This shows the claim also for $S = {}^3D_4(3^a)$. \square

5. PAIRS OF CONJUGACY CLASSES OF INVOLUTIONS

In this section we classify Baer–Fischer pairs of involution classes in finite non-abelian almost simple groups. Note that two involutions generate a 2-group if and only if their product has 2-power order. Before proving the classification of such pairs, we first give some examples.

5.1. Baer–Fischer pairs coming from algebraic groups. Several families of Baer–Fischer pairs are obtained by Galois descent from corresponding configurations in almost simple algebraic groups.

The Baer–Fischer pairs consisting of unipotent classes in connected groups of Lie type in characteristic 2 were classified in [9, Thm. 4.6]. We next discuss further examples in characteristic 2 coming from configurations in disconnected algebraic groups as studied in [7].

Example 5.1. We continue [7, Ex. 3.2] with C_1 the conjugacy class of transvections of $\mathrm{SL}_{2n}(k)$, $n \geq 2$, where k is algebraically closed of characteristic 2, and C_2 the class of graph automorphisms with centralizer $\mathrm{Sp}_{2n}(k)$. Both classes are stable under the standard Frobenius endomorphism, as well as under unitary Steinberg endomorphisms of $\mathrm{SL}_{2n}(k)$. Thus we obtain Baer–Fischer pairs of involution classes both in $\mathrm{SL}_{2n}(q).2$ and $\mathrm{SU}_{2n}(q).2$, where $n \geq 2$ and $q = 2^a$.

Example 5.2. We continue [7, Ex. 3.3] for the general orthogonal group $G = \mathrm{GO}_{2n}(k)$, with $n \geq 3$ and k algebraically closed of characteristic 2. Let V denote the natural module for G with invariant symmetric form (\cdot, \cdot) . Let C_1 be the class of an involution x with $(xv, v) = 0$ for all $v \in V$, and C_2 a class of transvections in G . Taking fixed points under suitable Steinberg endomorphisms we obtain Baer–Fischer pairs for $\mathrm{GO}_{2n}^\pm(2^a)$.

Example 5.3. We continue [7, Ex. 3.5] with $G = E_6(k).2$ the extension of a simple group $G^\circ = E_6(k)$ of simply connected type E_6 by a graph automorphism of order 2, over an algebraically closed field k of characteristic 2. Let C_1 be the class of long root elements in G° , with centralizer of type A_5 , and C_2 the class of the graph automorphism σ with centralizer $F_4(k)$ in G° . Here, C_1C_2 only contains unipotent elements.

Let D_i , $i = 1, 2$, denote the class of the outer unipotent element u_i in the notation of [15, Tab. 10], of order 2 and 4 respectively. Representatives of C_1, C_2 are also contained in the finite group ${}^2E_6(2).2$ (noting that by [15, Prop. 5] the outer unipotent classes of $E_6(2^a).2$ and ${}^2E_6(2^a).2$ are parametrized in precisely the same way). An explicit computation of structure constants for the finite subgroup $G_0 = {}^2E_6(2).2$ shows that $C_1C_2 \cap G_0$ hits every element of $D_1 \cap G_0$ once, and every element of $D_2 \cap G_0$ twice, and no others. We thus get Baer–Fischer pairs for all the groups $E_6(2^a).2$ and ${}^2E_6(2^a).2$.

The fact that $G = {}^2E_6(2).2$ is an example can also be seen as follows: The 2-fold covering of G embeds into the Baby monster B such that the two above-mentioned involution classes fuse into the class of $\{3, 4\}$ -transpositions. The claim follows, as clearly the product of an inner with an outer element of G has even order.

Both classes intersect the maximal subgroup $Fi_{22}.2$ non-trivially, so this also yields a Baer–Fischer pair for that group.

The next two families of examples originate from disconnected algebraic groups in odd characteristic, analogues of the characteristic 2 examples 5.1 and 5.2.

Example 5.4. We consider finite analogues of [7, Ex. 4.1]. Let k be algebraically closed of odd characteristic and G be the extension of $\mathrm{GL}_{2n}(k)$, $n \geq 2$, by a graph automorphism y with centralizer $\mathrm{Sp}_{2n}(k)$. Let C_1 be the class of an involution (in $G/Z([G, G])$) that is (up to scalar) a pseudoreflection, C_2 the class of y . Taking fixed points under a Steinberg endomorphism F of G we get Baer–Fischer pairs in G^F of type $\mathrm{GL}_n(q).2$ and $\mathrm{GU}_n(q).2$.

Example 5.5. We consider finite analogues of [7, Ex. 4.2]. Let k be algebraically closed of characteristic not 2, $G = \mathrm{GO}_{2n}(k)$, $n \geq 4$, and C_1 containing elements with centralizer $\mathrm{GL}_n(k)$, C_2 containing reflections in G . Let $F : G \rightarrow G$ be a Steinberg endomorphism. The stabilizer $H \cong \mathrm{GL}_n(k)$ of a maximal isotropic subspace acts transitively on non-degenerate 1-spaces of the natural module for G , with stabilizer a maximal parabolic subgroup (which is connected). So if H is chosen F -stable, then H^F acts transitively on F -stable non-degenerate 1-spaces, whence we have a decomposition $G^F = G_v^F H^F$, for any F -stable non-degenerate 1-space v . If n is even, then this shows that for all odd q we get Baer–Fischer pairs in $\mathrm{GO}_{2n}^+(q)$ for classes with centralizers $\mathrm{GO}_{2n-1}(q)$, together with $\mathrm{GL}_n(q)$ or $\mathrm{GU}_n(q)$, while for odd n we get such pairs in $\mathrm{GO}_{2n}^\pm(q)$ with centralizers $\mathrm{GO}_{2n-1}(q)$, together with $\mathrm{GL}_n(q)$ in $\mathrm{GO}_{2n}^+(q)$, respectively $\mathrm{GU}_n(q)$ in $\mathrm{GO}_{2n}^-(q)$.

Let's observe the following:

Lemma 5.6. *Let G be a finite group. Suppose that $C_1, C_2 \subset G$ are conjugacy classes such that $x_1 x_2$ has 2-power order for all $x_i \in C_i$. Let σ be an automorphism of G of order 2 interchanging C_1 and C_2 , and set \hat{G} the semidirect product of G with σ . Then $C_1 \cup C_2, [\sigma]$ is a Baer–Fischer pair in \hat{G} .*

Proof. Let $x \in C_1, y = \sigma$. Then $(xy)^2 = xyxy = x x^\sigma \in C_1 C_2$ has 2-power order by assumption. \square

This gives rise to two more families of examples.

Example 5.7. Let $G = H.2$ where H is either $F_4(2^{2m+1})$ or $\mathrm{Sp}_4(2^{2m+1})$, the extension by the exceptional graph automorphisms of order 2. Let $C_1 \subset G \setminus H$ be a conjugacy class of outer involutions and $C_2 \subset H$ the G -conjugacy class of root elements of H (note that short and long root elements are fused in G). Let $x_1 \in C_1$ and let x_2 be a short root element. Then $x_2^{x_1}$ is a long root element. By [9, Ex. 6.3], $\langle x_2, x_2^{x_1} \rangle$ is 2-group, whence $\langle x_1, x_2 \rangle$ is.

5.2. Baer–Fischer involution pairs in characteristic 3. There exist further families of examples for groups of Lie type over the field with three elements:

Example 5.8. Let $G = \mathrm{SL}_{2n}(3).2$ (extension with a diagonal automorphism) with $n \geq 2$. Let c be an element with all eigenvalues $\pm i$ and let d be a reflection. We claim that $J := \langle c, d \rangle$ is a 2-group. Indeed, let v be an eigenvector for the nontrivial eigenvalue of d and consider the subspace $W := \langle v, cv \rangle$ of the natural module V for G . Since c acts quadratically this space is invariant under c . Any subspace containing v is d -invariant and so this space is J -invariant, and J acts by a 2-group on it. Note that J acts as a cyclic group of order 4 on V/W . It suffices to show that $X = O_3(J) = 1$. Suppose not and choose a complement W' to W that is c -invariant. We can write

$$d := \begin{pmatrix} r & s \\ 0 & I_{n-2} \end{pmatrix},$$

where r is upper triangular and s is a $2 \times (n-2)$ matrix. Since d is a reflection, it follows that s has only nonzero entries in the first row. It follows that $[X, V]$ is 1-dimensional. However, c leaves invariant no 1-dimensional space, a contradiction.

The same construction applies to $\mathrm{SU}_{2n}(3).2$, $n \geq 2$, with c an element of order 8 with minimal polynomial of degree 2 and d a reflection.

Example 5.9. Let $G = \text{CO}_{2n}^{\pm}(3)$, a conformal orthogonal group in even dimension. If $c, d \in \text{GL}_{2n}(3) \cap G$ are as in the previous Example 5.8, they clearly also provide an example.

If c preserves the orthogonal form, then in the algebraic group, c has a centralizer isomorphic to GL_n and so the centralizer in the finite group is $\text{GU}_n(3)$ and so $G = \text{CO}_{2n}^+(3)$ if n is even and $G = \text{CO}_{2n}^-(3)$ if n is odd.

If c does not preserve the orthogonal form, then in the algebraic group, the eigenspaces for c are nondegenerate spaces, whence the centralizer is the normalizer of $\text{SO}_n \times \text{SO}_n$ and so in the finite group, it will be $\text{SO}_n^{\pm}(9)$.

Example 5.10. Let $G = \text{CO}_{2n}^+(3)$, a conformal orthogonal group in even dimension. Assume that d is a reflection and c has eigenspaces which are maximally isotropic. In particular, the centralizer of c is $\text{GL}_n(3)$ and c does not preserve the form.

Let v be a nonzero vector with $dv = -v$ and consider the subspace W spanned by v and cv . Note that W is 2-dimensional since v is not an eigenvector for c . If W is nondegenerate, clearly $\langle c, d \rangle$ is a 2-group.

We claim that this is the case. For if not, we can choose an eigenvector w for c in W that is not in the 1-dimensional radical. Then w is nonsingular (for otherwise W is totally singular which of course is not the case); but all eigenvectors of c are totally singular.

Example 5.11. Let $G = \text{CO}_{2n}^{\pm}(3)$, a conformal orthogonal group with n even. Let d be a bireflection. Let Y be the -1 eigenspace of d . Rather than consider the centralizer type of d , we consider the type of Y (which determines the centralizer of d given the type of the entire space).

(i) Suppose that c has centralizer $\text{GL}_n(3)$. Thus, c has eigenvalues ± 1 and the eigenspaces are totally singular (and c does not preserve the form). Let V_1 and V_2 be the eigenspaces for c . Suppose $v_i, i = 1, 2$, are basis vectors for the -1 eigenspace of d . Write $v_i = w_{1i} + w_{2i}$ where $w_{ji} \in V_j$. Note that w_{1i} and w_{2i} span a 2-dimensional nondegenerate space of $+$ type. Let X be the span of the w_{ji} . If the span is 2-dimensional, it is nondegenerate and clearly cd is a 2-element. If $\dim X = 3$, then X has a 1-dimensional radical and c and d have a common eigenvector. Choose another eigenvector for c that is not perpendicular to the radical of X and this together with X span a 4-dimensional nondegenerate $\langle c, d \rangle$ -invariant space (necessarily of $+$ type since c acts on it). Thus, we are reduced to the case of $\text{CO}_4^+(3)$. So we see in this case that $c^G d^G$ consists of 2-elements if and only if the -1 eigenspace has $-$ type, so if d has centralizer $\text{GO}_{2n-2}^-(3)$.

(ii) Suppose that c has centralizer $\text{GU}_n(3)$. So c has eigenvalues $\pm i$ and the eigenspaces (over the algebraic closure) are totally singular. Let X be the subspace generated by Y, cY . Since c is quadratic, we see that $\dim X \leq 4$. If $\dim X = 2$, then clearly $cd = dc$ is a 2-element. Since X is c -invariant, $\dim X$ is even. So suppose that $\dim X = 4$. Clearly, X is not totally singular. If X has a radical R , it would be 2-dimensional and c -invariant. Choose a totally singular 2-dimensional space R' that is c -invariant with $R + R'$ nondegenerate. Then $X + R'$ is a 6-dimensional nondegenerate $\langle c, d \rangle$ -invariant space and we can apply the results for L_4 and U_4 . Finally, suppose that X is nondegenerate. The only c -invariant 4-dimensional nondegenerate spaces are of $+$ type. One easily computes that $c^G d^G$ consists of 2-elements if and only if either n is even and d has centralizer $\text{GO}_{2n-2}^+(3)$, or n is odd and d has centralizer $\text{GO}_{2n-2}^-(3)$.

Lemma 5.12. *Let x, y be non-conjugate reflections in $G = \mathrm{GO}_n^{(\pm)}(q)$, $n \geq 3$, with q odd. Then $\langle x, y^g \rangle$ is a 2-group for all $g \in G$ if and only if $q = 3$.*

Proof. Clearly, x, y are trivial on a common subspace of codimension 2. If $n \geq 5$, this space cannot be totally singular and so by induction we can pass to the orthogonal complement of this common space and so assume that $n \leq 4$.

Even for $n = 4$, this space cannot be totally singular (because the subgroup preserving a totally singular 2-space in a 4-space and trivial on the 2-space is contained in the radical of some parabolic subgroup and so contains no involutions).

So we see that it suffices to prove the result for $\mathrm{GO}_3(q) \cong \mathrm{PGL}_2(q)$; one of the involutions is inner and the other outer. The normalizer of the split and of the nonsplit torus are dihedral groups of order divisible by 4, thus any element in their maximal cyclic subgroups of order $q \pm 1$ is a product of an inner by an outer involution. Thus, $\langle x, y^g \rangle$ is always a 2-group if and only if both $q+1$ and $q-1$ are powers of 2. The result follows. \square

Example 5.13. Let $G = \mathrm{SO}_{2n+1}(q). \langle \gamma \rangle$, where q is an even power of an odd prime and γ the corresponding field automorphism of $H = \mathrm{SO}_{2n+1}(q)$ of order 2. We claim that the class of reflections in H with centralizer $\mathrm{GO}_{2n}^-(q)$ together with the class of γ form a Baer–Fischer pair when $q = 9$. For this, let V denote the natural $2n+1$ -dimensional module for H with invariant symmetric form $(\ , \)$. Note that γ also acts naturally as a semilinear map on V . Let $x \in H$ be a reflection and $v \in V$ an eigenvector for the non-trivial eigenvalue of x . If γ stabilizes the 1-space generated by v , then it commutes with x and thus their product has order 2 as desired. Else, the 2-dimensional space $W := \langle v, \gamma(v) \rangle$ is invariant under $\langle x, \gamma(x) \rangle$, and representing matrices are given by

$$x = \begin{pmatrix} -1 & -2a \\ 0 & 1 \end{pmatrix}, \quad \gamma(x) = \begin{pmatrix} 1 & 0 \\ -2\gamma(a) & -1 \end{pmatrix},$$

where $\sigma(v) = av + u$ for some $u \in \langle v \rangle^\perp$. Let $b = (v, v)$. As $ab = (v, \gamma(v)) = \gamma(\gamma(v), v) = \gamma(ab)$ we have that ab lies in the quadratic subfield. If x has centralizer of minus type, then b is a non-square, so the same holds for a . But in this case, the two matrices given above are seen to generate a group of order 8 when $q = 9$. Now the Gram matrix of $(\ , \)$ on W is given by

$$\begin{pmatrix} b & ab \\ ab & \gamma(b) \end{pmatrix},$$

so W is non-degenerate. As $x, \gamma(x)$ act trivially on W^\perp , the claim follows.

5.3. The classification of Baer–Fischer involution pairs.

Theorem 5.14. *Let G be a finite almost simple group. Suppose that $c, d \in G$ are involutions such that $\langle c, d^g \rangle$ is a 2-group for all $g \in G$. Then one of the following holds (up to order):*

- (1) G is a finite group of Lie type in characteristic 2, and c, d are unipotent elements as in [9, Thm. 4.6]; more specifically
 - (a) $G = \mathrm{Sp}_{2n}(2^a)$, $n \geq 2$, with c, d as in [9, Thm. 4.6(1)];
 - (b) $G = F_4(2^a)$, with c, d as in [9, Thm. 4.6(2)];
- (2) $F^*(G)$ is a finite group of Lie type in characteristic 2, c is unipotent and d a graph automorphism as in [7, Thm. 3.7(2)]; more specifically

- (a) $F^*(G) = \mathrm{L}_{2n}(2^a)$ or $\mathrm{U}_{2n}(2^a)$, $n \geq 2$, with c, d as in [7, Thm. 3.7(2)(c)];
- (b) $F^*(G) = \mathrm{O}_{2n}^\pm(2^a)$, $n \geq 3$, with c, d as in [7, Thm. 3.7(2)(d)];
- (c) $F^*(G) = \mathrm{E}_6(2^a)$ or ${}^2\mathrm{E}_6(2^a)$, with c, d as in [7, Thm. 3.7(2)(e)];
- (3) $F^*(G) = \mathrm{Sp}_4(2^{2m+1})'$ or $F_4(2^{2m+1})$, $m \geq 0$, c is a long root element and d is a graph automorphism;
- (4) G is a disconnected finite group of Lie type in odd characteristic, and c and d are as in [7, Thm. 4.5]; more specifically
 - (a) $F^*(G) = \mathrm{L}_{2n}(q)$ or $\mathrm{U}_{2n}(q)$, $n \geq 2$, with q odd, c is a pseudo-reflection (modulo scalars) and d a graph automorphism with centralizer $\mathrm{S}_{2n}(q)$;
 - (b) $F^*(G) = \mathrm{O}_{2n}^+(q)$, $n \geq 4$ even, with q odd, where c has centralizer $\mathrm{GL}_n^\pm(q)$ and d is a graph automorphism with centralizer $\mathrm{O}_{2n-1}(q)$;
 - (c) $F^*(G) = \mathrm{O}_{2n}^\pm(q)$, $n \geq 5$ odd, with q odd, where c is an involution with centralizer $\mathrm{GL}_n^\pm(q)$ and d is a graph automorphism with centralizer $\mathrm{O}_{2n-1}(q)$;
- (5) $F^*(G)$ is a finite group of Lie type in characteristic 3, more specifically
 - (a) $F^*(G) = \mathrm{L}_{2n}(3)$ or $\mathrm{U}_{2n}(3)$, $n \geq 2$ and c lifts to an element of $\mathrm{SL}_{2n}(3)$ with eigenvalues $\pm i$ and d is a reflection;
 - (b) $F^*(G) = \mathrm{O}_n^\pm(3)$ with $n \geq 6$, where c and d are non-conjugate reflections;
 - (c) $F^*(G) = \mathrm{O}_{2n}^\pm(3)$, $n \geq 4$, where c is a reflection and d has centralizer $\mathrm{O}_n^{(\pm)}(9)$;
 - (d) $F^*(G) = \mathrm{O}_{2n}^+(3)$, $n \geq 4$ even, where c has centralizer $\mathrm{O}_{2n-2}^\pm(3)$ and d has centralizer $\mathrm{GL}_n^\mp(3)$;
 - (e) $F^*(G) = \mathrm{O}_{2n}^\pm(3)$, $n \geq 5$ odd, where c has centralizer $\mathrm{O}_{2n-2}^-(3)$ and d has centralizer $\mathrm{GL}_n^\pm(3)$;
 - (f) $F^*(G) = \mathrm{O}_{2n+1}(9)$, $n \geq 2$, c is a reflection with centralizer $\mathrm{O}_{2n}^-(9)$ and d is a field automorphism;
- (6) $G = \mathfrak{S}_{2n}$, $n \geq 3$, c is a fixed point free involution and d is a transposition; or
- (7) $G = \mathrm{Fi}_{22}.2$, c is an inner 3-transposition and d an outer involution with centralizer $\mathrm{O}_8^+(2) : \mathfrak{S}_3$.

Here, $\mathrm{GL}_n^+(q)$ denotes $\mathrm{GL}_n(q)$, and $\mathrm{GL}_n^-(q) = \mathrm{GU}_n(q)$. We split up the proof of the claim into a series of proposition.

Proposition 5.15. *Theorem 5.14 holds when $S = F^*(G)$ is not of Lie type.*

Proof. For S sporadic or ${}^2F_4(2)'$, a check with the known character tables shows that the only example occurs in $\mathrm{Aut}(\mathrm{Fi}_{22})$. (See also [9, Lemma 8.3] for the case when $G = S$.)

If $S = \mathfrak{A}_6$ and G is not contained in \mathfrak{S}_6 , then it is easily checked that $\{2b, 2c\}$ is the only possible pair (notation as in GAP). This occurs in (3) for $m = 0$.

If $S \cong \mathfrak{A}_n$, $n \geq 5$, and $G \leq \mathfrak{S}_n$, then we claim the only possibility is that (up to order) c is fixed point free and d is a transposition, as in (6), which clearly is an example. If c and d both have fixed points, then the result holds by induction (starting with $n = 5$). So we may assume that c is a fixed point free involution. Suppose that d is not a transposition. Then c and d both leave invariant a subset of size 6 with d not acting as a transposition. It is straightforward to see for all possibilities that $\langle c, d^g \rangle$ can generate a subgroup of order divisible by 3. \square

Proposition 5.16. *Theorem 5.14 holds when $S = F^*(G)$ is of Lie type in characteristic 2.*

Proof. If c, d are both inner, the result follows by [9, Thm. 4.6]. Thus S is not a Suzuki or Ree group and we may assume that d induces an outer automorphism, i.e., either a graph, field or graph-field automorphism (notation as in [6, 2.5.13]). Note that groups in characteristic 2 do not have outer diagonal automorphisms of even order.

We first deal with the exceptional graph-field automorphisms of $B_2(2^a)$ and $F_4(2^a)$. When a is odd, all involution classes have representatives in $\text{Aut}(B_2(2)) = \mathfrak{S}_6$ respectively $\text{Aut}(F_4(2))$, and direct calculation shows that the only examples in the latter two cases are those in (3) as in Example 5.7. If a is even, we use that there are no cases in $\text{Sp}_4(4).2$, and that all involution classes in $F_4(2^a).2$ contain representatives in the subsystem subgroup $\text{Sp}_4(2^a).2$ to see that no new examples arise.

Next assume that d induces a field or graph-field automorphism (in particular, S is not twisted of degree 2). If S is one of $L_n(2^{2^f})$, $2 \leq n \leq 4$, then all unipotent classes of $\text{Aut}(S)$ have representatives in $\text{Aut}(L_n(4))$, and direct calculation shows that there are no examples. Otherwise, let P be an end node parabolic subgroup of S stable under any graph automorphism of order 2, respectively one of type GL_{n-2} in $L_n(q)$, and such that it contains conjugates of c outside its unipotent radical. Then $N_{\text{Aut}(S)}(P)S = \text{Aut}(S)$, and d acts by field or graph-field automorphisms on the simple Levi factor of P . Hence there are no examples by induction.

Thus we may suppose that d is a graph automorphism, so S is of (possibly twisted) type A_n, D_n or E_6 . First consider $S = L_n(q)$. By direct calculation there are no examples in $\text{Aut}(L_3(2))$, and the only possibility in $\text{Aut}(L_4(2)) = \mathfrak{S}_8$ is that c is a transvection and d is a graph automorphism with centralizer $\text{Sp}_4(2)$, as in (2a) of the conclusion. Now for $n \geq 5$ we may again reduce to a parabolic subgroup P of type GL_{n-2} normalized by suitable conjugates of c and d . Hence by induction there are no examples when n is odd, and when n is even the image of c in $N_G(P)/O_2(P)$ must be a transvection and d a graph automorphism with centralizer $\text{Sp}_n(q)$. If c is not a transvection we may arrange that its image in $P/O_2(P)$ is neither. So we only get case (2a) which is an example by [7, Thm. 3.7].

Next assume that $S = U_n(q)$. Again by direct calculation there are no examples in $U_3(2).2 = 3^{1+2}.2.\mathfrak{S}_4$, while for $\text{Aut}(U_4(2))$ we only find the case in assertion (2a). We can now argue by induction exactly as in the previous case.

Now let $S = O_{2n}^+(q)$, $n \geq 4$. By the previous paragraphs, for $O_6^+(q) = L_4(q)$ we just have the example in (2a). By descending to the parabolic subgroup of type $O_{2n-2}^+(q)$ we see that this is the only case for $O_{2n}^+(q)$, leading to (2b) by Example 5.2. The same inductive argument works for $S = O_{2n}^-(q)$, starting at $O_6^-(q) = U_4(q)$. Finally, for $S = E_6(q)$ note that the subsystem subgroup $A_5(q)$ contains representatives from all three inner involution classes and is stabilized by the graph automorphism of order 2. Since the only example for $A_5(q)$ arises from graph automorphisms (see above), we can only get an example for S when one class is the inner class of type A_1 , and the other contains the graph automorphism. This actually occurs by Example 5.3. Similarly, for $S = {}^2E_6(q)$ we may descend to the subsystem subgroup ${}^2A_5(q)$ to arrive at (2c). \square

Proposition 5.17. *Theorem 5.14 holds when $S = F^*(G)$ is of classical Lie type in odd characteristic p , but not an even-dimensional orthogonal group.*

Proof. First suppose that $F^*(G) = S_{2n}(q)$ with $n \geq 1$ and q odd. If $n = 1$ (and $q \geq 5$) an elementary calculation shows that inner diagonal involutions do not lead to examples. Next assume that G involves field automorphisms (so $q = q_0^2 \geq 9$). If there are two such classes, then $[G : F^*(G)] = 2$ and by direct matrix calculation we find products which are not of 2-power order. If just one of the two classes contains field automorphisms, again a direct calculation shows that necessarily $q_0^2 - 1$ must be a 2-power, so the only example occurs for $S = L_2(9) = \mathfrak{A}_6$, a case already discussed.

So now suppose that $S = S_{2n}(q)$ with $n \geq 2$. All involution classes have representatives normalizing but not centralizing a Levi subgroup of type $S_2(q) = L_2(q)$. Thus by the previous case we can only get examples when $q \in \{3, 9\}$. In these cases, we reduce to $S_4(q)$ or $S_6(q)$ instead. The possibilities for $S_4(3) = U_4(2)$ have already been discussed. Explicit computation of structure constants shows that there are no cases for $S_6(3)$. Since the only examples for $L_2(9)$ involve field automorphisms, the same must be true for $S_{2n}(9)$. For $S_4(9)$ explicit computation of structure constants in GAP [17] yields only case (5f). Finally, for $S_6(9)$ one class must contain field automorphisms, as for $S_4(9)$, which is hence uniquely determined by [6, Prop. 4.9.1], and the other must contain diagonal automorphisms, with all Jordan blocks of size 2. All such involution classes normalize the extension field subgroup $L_2(9^3)$, whence we get no further example. (Alternatively, a direct computation with GAP gives the claim.)

Now assume that $S = L_n(q)$ with $n \geq 3$. Note that any inner diagonal involution can either be lifted to an involution in $GL_n(q)$, or to an element with all Jordan blocks of size 2. Thus if c, d are both inner diagonal, we can reduce to the case of $PGL_2(q)$, whence there are no examples for $q \neq 3$ (as the examples for $L_2(9)$ involve field automorphisms). Similarly if $q = 3$, unless all eigenvalues for c (interchanging c and d if necessary) are $\pm i$, we may reduce to $L_3(3)$, for which no example occurs. In particular, n is even. We claim that d must be a reflection (modulo scalars). Since d cannot be conjugate to c (by the standard Baer–Suzuki theorem), it follows that d has all eigenvalues ± 1 . If d is not a reflection, then we can reduce to $L_4(3)$ and see from the character table that there are no examples, while if d is a reflection, we get case (5a) by Example 5.8.

Next suppose that d is an outer involution. If d is a field or graph-field automorphism (so in particular $q \geq 9$), we reduce to the case $n = 2$ (when $q \neq 9$) or $n = 3$ and it is straightforward to compute that there are no examples, while for $q = 9$ and n even, we may reduce to $L_2(81) \leq L_4(9)$ for which we already saw that no example exists.

So suppose that d is a graph automorphism. If c is also a graph automorphism, then n is even since for odd n there is only one class. We may reduce to $L_4(q)$, in which case it is easy to write down representatives for all four classes such that products do not have 2-power order, except when $q = 3$. For $q = 3$, a direct check shows that only the example in (5b) is possible (see Lemma 5.12).

So c is an inner diagonal involution. If n is odd, then we can reduce to the case of $n = 3$ where the result is straightforward to verify. Similarly, when n is even we may reduce to the case that $n = 2$ to see that $q \in \{3, 9\}$. In that case, we reduce to $n = 4$ where the only examples are those in (4a), see Example 5.4.

Now let $S = U_n(q)$ with $n \geq 3$. Again any inner diagonal involution can either be lifted to an involution in $GU_n(q)$, or to an element with all Jordan blocks of size 2. Thus if c, d are both inner diagonal, we can reduce to the case of $PGL_2(q)$, whence there are no

examples for $q \neq 3$. Similarly if $q = 3$, unless all Jordan blocks for c , say, have size 2, we may reduce to $U_3(3)$, for which no example occurs. In particular, n is even. As in the linear group case, d must be a reflection (modulo scalars), in which case we get case (5a) by Example 5.8. Next suppose that d is an involution which is not inner-diagonal, hence a graph automorphism. We now argue as for the case of $L_n(q)$ to arrive at the cases (4a).

Finally, assume that $S = O_{2n+1}(q)$ with $n \geq 3$. Again, we may reduce to a Levi subgroup of type $O_{2n-1}(q)$. Note that for $O_5(q) = S_4(q)$ we saw that $q \in \{3, 9\}$ and, for $q = 9$, one class consists of reflections with centralizer of minus type, the other of field automorphisms. The latter gives case (5f) by Example 5.13. For $O_7(3)$ explicit computation shows that the only example is as in case (5b), by Lemma 5.12. \square

Proposition 5.18. *Theorem 5.14 holds when $F^*(G) = O_{2n}^\pm(q)$, $n \geq 3$, with $q = p^a \neq 3$ odd.*

Proof. Note that the cases for $O_6^+(q) = L_4(q)$ and $O_6^-(q) = U_4(q)$ were classified in Proposition 5.17. So let $S = O_{2n}^\pm(q)$ with $n \geq 4$. If both classes contain field automorphisms, they may be chosen to normalize a Levi subgroup of type $O_6^\pm(q)$. Since there are no examples with field automorphisms for $O_6^\pm(q)$, these cannot occur for S either. All other classes of involutions have representatives in the conformal orthogonal group $CO_{2n}^\pm(q)$, and they contain elements normalizing, but not centralizing a Levi subgroup of type $O_{2n-2}^\pm(q)$. Thus, even with just one class containing field automorphisms, we do not get examples.

We may hence assume we are inside $CO_{2n}^\pm(q)$, $n \geq 4$.

We claim that the only examples are when one of the classes are reflections and the other is as given in (4b) and (4c). By Example 5.5, the cases listed in the theorem are in fact examples. Note that any involution leaves invariant a 4-dimensional non degenerate space of + type. In particular, we can reduce to the case that $2n = 6$ or 8 (since starting from any case not allowed in the theorem, we can peel of 4-dimensional nondegenerate spaces in such a way that the pair is still not as in the theorem). If $2n = 6$, we are done by appealing to the results for U_4 and L_4 . If $2n = 8$, the same argument with 2-dimensional nondegenerate spaces works unless the elements do not leave invariant a 2-dimensional nondegenerate space of the same type. This only happens when one of the involutions has eigenvalues ± 1 and totally singular eigenspaces and the other element has quadratic minimal polynomial. Thus, we are in $CO_8^+(q)$. In this case each element acts nontrivially on a totally singular 4-dimensional space and the result then follows by the L_4 result. \square

In order to deal with the case $q = 3$, we first describe the relevant classes of involutions.

Lemma 5.19. *Let C be a conjugacy class of $CO_{2n}^\pm(3)$, $n \geq 3$, containing involutions modulo the center. Then one of the following holds:*

- (1) *C is contained in $GO_{2n}^\pm(3)$ and consists of elements with eigenvalues ± 1 . Then we may assume that the -1 eigenspace is e -dimensional with $e \leq n$. The centralizer in the algebraic group is $GO_e \times GO_{2n-e}$. There are two classes depending upon the type of the -1 eigenspace.*
- (2) *C is not in $GO_{2n}^\pm(3)$ and consists of elements with eigenvalues ± 1 . It follows that the eigenspaces for C are maximal totally singular. The centralizer is $GL_n(3)$ and so this only occurs in + type.*

- (3) C is contained in $\mathrm{GO}_{2n}^{\pm}(3)$ and has eigenvalues $\pm i$. Thus, C lies in $\mathrm{GO}_{2n}^+(3)$ if n is even, in $\mathrm{GO}_{2n}^-(3)$ if n is odd. The centralizer in the algebraic group SO_{2n} is GL_n since the eigenspaces are totally singular. Thus, the centralizer is $\mathrm{GU}_n(3)$.
- (4) C is not contained in $\mathrm{GO}_{2n}^{\pm}(3)$ and has eigenvalues $\pm i$. In the algebraic group, the eigenspaces are nondegenerate of dimension n and so the centralizer is $\mathrm{GO}_n \times \mathrm{GO}_n$ — in SO_{2n} the centralizer is a subgroup of index 2 and so there are two such classes in $\mathrm{SO}_{2n}^{\pm}(3)$ and also in $\mathrm{GO}_{2n}^{\pm}(3)$, with centralizer $\mathrm{GO}_n^{(\pm)}(3)$.

Proposition 5.20. *Theorem 5.14 holds when $F^*(G) = \mathrm{O}_{2n}^{\pm}(3)$, $n \geq 3$.*

Proof. Note that there are no field automorphisms so we are inside $\mathrm{CO}_{2n}^{\pm}(3)$. We deal with the various possibilities.

A. First suppose C and D are both as in (1) of Lemma 5.19.

If C, D consist of nonconjugate reflections, we get case (5b) by Lemma 5.12. Else, if $d \in D$ has an eigenvector v with $dv = -v$ and $c \in C$ with $cv = -v$ with v, w of the same norm, then we can choose a nondegenerate 5-space which is c, d invariant (replacing by conjugates if necessary) and check in $\mathrm{GO}_5(3)$ that cd need not be a 2-element.

The remaining case here is when all eigenvectors of $c \in C$ and $d \in D$ have distinct norms but at least one is not a reflection. Again, we can find a nondegenerate 5-space where this happens and so there are no examples.

B. Next suppose that C is as in (1) and $e \geq 3$.

We claim there are no such examples. Note that any element d in one of the conjugacy classes in (2), (3) or (4) will preserve a nondegenerate 8-dimensional space of some type. Now choose c preserving the same type of 8-space with the -1 eigenspace of dimension 3 or 4. One computes in $\mathrm{GO}_8^{\pm}(3)$ to see that it is not always the case that cd is a 2-element.

C. Suppose that C is a reflection.

(i) Suppose that D is as in (2). Let $c \in C$ and let U_1, U_2 be the eigenspaces for $d \in D$. Let v be an eigenvector of c with $cv = -v$ and write $v = u_1 + u_2$ with $u_i \in U_i$. Then we see that v is contained in a 4-dimensional nondegenerate invariant subspace for d (and necessarily c -invariant as well since it contains the -1 eigenspace.) Since the eigenspaces for d are totally singular, we are in $\mathrm{GO}_4^+(3)$ and it is easy to see that cd is a 2-element. These are cases (4a) and (4b).

(ii) Suppose that D is as in (3) or (4).

Let again v be such that $cv = -v$. If $d \in D$, then $\langle v, dv \rangle$ is 2-dimensional and must be nondegenerate (since it is not totally singular and d acts irreducibly). Thus $\langle c, d \rangle < \mathrm{CO}_2^-(3) \times \mathrm{CO}_{2n-2}(3)$ and c is trivial on the $2n - 2$ space. Computing in the 2-group $\mathrm{CO}_2^-(3)$ shows this is an example, giving (5c).

D. Suppose that C consists of bireflections. There are two classes of such differentiated by whether the -1 eigenspace has $+$ type or $-$ type (this determines the centralizer but this invariant does not change when passing to a nondegenerate space containing the -1 eigenspace of the bireflection). Note that if $2n > 8$, then c acts as a bireflection on 6- or 8-dimensional nondegenerate spaces of either type.

We have already taken care of D as in (1). So assume that D consists of elements as described in (2), (3) or (4). Note that d will preserve a 4-dimensional nondegenerate space of $+$ type in all cases.

It follows by Example 5.11 that the cases listed in the theorem do occur. Moreover, the only possible choices for D are as given in the theorem: As we have already noted all involutions preserve a 4-dimensional nondegenerate space of $+$ type and so arguing as above, we reduce to the case $2n = 6$ or 8 where we compute that $c^G d^G$ does not consist of 2-elements.

E. Neither C nor D is as in (1)

Now we want to show there are no examples. We argue precisely as in the last paragraph of case D to reduce to the cases $2n = 6$ or 8 and compute that $c^G d^G$ does not consist of 2-elements. \square

Before treating the remaining cases, let's observe the following, which can easily be deduced using [4]:

Lemma 5.21. *Let G be an exceptional group of adjoint Lie type of rank at least 4 in odd characteristic. Then all conjugacy classes of involutions have (non-central) representatives in a natural subgroup H as listed in Table 2, where again T denotes a 1-dimensional split torus.*

TABLE 2. Subgroups intersecting all involution classes

| | | | | | |
|-----|----------|----------------------|--------------------------|----------------------|----------|
| G | $F_4(q)$ | $E_6(q)_{\text{ad}}$ | ${}^2E_6(q)_{\text{ad}}$ | $E_7(q)_{\text{ad}}$ | $E_8(q)$ |
| H | $B_4(q)$ | $F_4(q)$ | $F_4(q)$ | $D_6(q)T$ | $D_8(q)$ |

Proof. For the groups $F_4(q)$ and $E_8(q)$ all involution classes already have representatives in a maximally split torus, a conjugate of which is contained inside H . For the remaining types, the involution classes in H , the component group of their centralizers and their fusion into G can be computed using the relevant **Chevie**-commands. The claim follows by inspection. \square

Proposition 5.22. *Theorem 5.14 holds when $S = F^*(G)$ is of exceptional Lie type in odd characteristic p .*

Proof. If one of c, d induces a field automorphism on $F^*(G)$, then we may reduce to its fixed point group by the standard argument, using that all field automorphisms of order 2 are conjugate (see [6, Prop. 4.9.1(d)]) and that the centralizer contains representatives from all involution classes.

If c induces a graph automorphism, then for $F^*(G) = G_2(3^{2m+1})$ we check explicitly in $G_2(3)$. Now assume that $F^*(G) = {}^{(2)}E_6(q)$. There are two classes of graph automorphisms, with centralizer $F_4(q)$ respectively $C_4(q)$ in $F^*(G)$. Both contain representatives from both classes of inner involutions. When $C_{F^*(G)}(c) = F_4(q)$ then $F_4(q) \times \langle c \rangle$ also contains non-central conjugates of c , since $F_4(q)$ has involutions with centralizer $B_4(q)$. Thus by induction we do not get examples. A similar argument applies when $C_{F^*(G)}(c) = C_4(q)$.

The groups ${}^2G_2(3^{2m+1}), G_2(q), {}^3D_4(q)$ have a single class of involutions. For the groups of rank at least 4 and pairs of inner-diagonal elements we use Lemma 5.21 and induction. We are thus left with ${}^{(2)}E_6(q)$ and at least one of c, d a graph-field automorphism. Then

again by [6, Prop. 4.9.1(d)] we can reduce to the centralizer $F_4(q)$ where there are no examples by the above. \square

This completes the discussion of all cases and hence the proof of Theorem 5.14.

Example 5.23. If in Theorem 5.14 we allow arbitrary classes of non-trivial 2-elements, there will be additional examples. In case (3) we may take pseudo-reflections of arbitrary 2-power order; and for $U_{2n}(3)$ with n odd in case (5a), we get examples with one class containing elements of order 4. In addition to those, we are aware of examples in several further simple groups S . These are $S = \mathfrak{A}_6$ with the following six pairs

$$\{2a, 4b\}, \{2a, 8a\}, \{2a, 8b\}, \{4a, 4b\}, \{4a, 8a\}, \{4a, 8b\}$$

for $M_{10} = \mathfrak{A}_6.2_3$ (notation as in GAP) and

$$\{2b, 8a\}, \{4b, 2c\}, \{4b, 8a\}$$

for $\text{Aut}(\mathfrak{A}_6)$; several pairs of classes in $L_2(81).4$ with products of orders 8 and 16; a pair of classes of elements of orders 2 and 8 in $L_3(4).2^2$ for which all products have order either 4 or 8; and a pair of classes of elements of orders 2 and 4 in $U_4(3).D_8$ for which the product is a single class of elements of order 8.

There are no such examples in the symmetric group \mathfrak{S}_n : Since a transposition is not the square of any element, we may assume that c is a transposition. Suppose that d^2 is a fixed point free involution. So $n \geq 8$ and it suffices to consider that case. Indeed, we can reduce to the case \mathfrak{S}_4 with d a 4-cycle. We see that $\langle c, d^g \rangle$ can be \mathfrak{S}_4 , whence the claim.

6. COMMUTATORS

In this section we will prove the main case of Theorem 1.2.

Theorem 6.1. *Let p be a prime and G a finite group. Let C be a normal subset of G consisting of p -elements. If $p = 5$, assume that C is closed under squaring. If C is closed under taking commutators, then $\langle C \rangle \leq O_p(G)$.*

For the proof we proceed in a series of lemmas. Let G be a counterexample with $|G| + |C|$ minimal. Clearly $G = \langle C \rangle$ and $O_p(G) = 1$. Moreover, we may assume that every element of C is a commutator of elements in C and so G is perfect (otherwise replace C by the set D of commutators of pairs of elements in C ; then by minimality D generates a p -group and so D is trivial, whence the group is abelian).

Lemma 6.2. *C is closed under inverses.*

Proof. We have $[x, y][y, x] = 1$ for all $x, y \in C$. \square

Lemma 6.3. *Each $1 \neq x \in C$ lies in a unique maximal subgroup M of G and $C \cap M \subseteq O_p(M)$.*

Proof. Since $x \in O_p(M)$ for each maximal subgroup M containing x , we have that $\langle x \rangle$ is subnormal in M . By a result of Wielandt [19] this implies that either M is unique or $\langle x \rangle$ is subnormal in G , whence $x \in O_p(G)$, a contradiction. \square

Lemma 6.4. *G is simple.*

Proof. Suppose not. Let N be a minimal normal subgroup. By induction, G/N is a p -group but also perfect, whence $G = N$ is simple. \square

Lemma 6.5. $p \neq 2$.

Proof. Let G be a minimal counterexample. Let P be a Sylow 2-subgroup of G . By Lemma 6.3 P is contained in a unique maximal subgroup M and $C \cap P \subseteq O_2(M)$.

We claim that C does not contain involutions. Indeed, else let $x \in C$ have order 2. By the Baer-Suzuki Theorem, there is a conjugate y of x with $\langle x, y \rangle$ not a 2-group. Thus, $[x, y]$ is not a 2-element.

In [2, Thm. A], Aschbacher classifies all almost simple groups in which a Sylow 2-subgroup is contained in a unique maximal subgroup M . Thus, $C \cap O_2(M)$ is nonempty and inspection of the conclusion of Aschbacher's theorem (for G simple) leaves only the following cases:

- (1) G is a rank 1 Lie type group in characteristic 2 (i.e., one of $L_2(q)$, $U_3(q)$ or ${}^2B_2(q^2)$ with q even);
- (2) $G = L_2(q)$ with $q > 5$ odd.
- (3) G is of Lie type in odd characteristic and $O_2(M)$ has exponent 2.

In the case $G = L_2(q)$, q even, and in the last case, C must consist of involutions, a contradiction. In the remaining two families in (1), C must consist of elements of order 4, but clearly $C \supset [C \cap P, C \cap P]$ contains involutions, a contradiction.

Thus, it remains to consider $G = L_2(q)$ with $q > 5$ odd. It is straightforward to compute in these cases that some commutator of elements in C is not a 2-element. Indeed, if $q \equiv 1 \pmod{4}$, then we can choose a non-commuting pair of elements in C contained in a Borel subgroup and so the commutator will be a nontrivial unipotent element. Else, first suppose that q is not a power of 3. Take $x \in C$ with

$$x = \begin{pmatrix} 0 & 1 \\ -1 & t \end{pmatrix}$$

with t to be the trace of an element in C . Take y conjugate to x of the form

$$y = \begin{pmatrix} t & 1 \\ -1 & 0 \end{pmatrix}.$$

Then $\text{tr}(xyx^{-1}y^{-1}) = t + 3$. Since C is closed under taking commutators, we see that the traces of elements in C can take on any value and so in particular the value ± 1 (corresponding to elements of order 3).

Finally, consider the case that $q = 3^a \geq 27$ with a odd (as $q \not\equiv 1 \pmod{4}$). Then the Sylow 2-subgroups of $L_2(q)$ are elementary abelian of order 4. Then C contains involutions, a contradiction. \square

From now on we may and will assume that p is odd.

Lemma 6.6. *If $x \in C$ and $h \in G$ are nontrivial, then $[x, x^{-g}] \neq 1$ for some conjugate g of h .*

Proof. Let M be the unique maximal subgroup containing x . Of course, M contains $C_G(x)$. So if the result is false, $x^{-g} \in C_G(x) \leq M$ for all conjugates g of h . Then M

is also the unique maximal subgroup containing x^{-g} , but of course $x^{-g} \in M^g$. Thus, $M = M^g$ and so M is normalized by all conjugates of h and so by G ; a contradiction. \square

Lemma 6.7. *Let $g \in G$.*

- (a) *Some nontrivial element of C is a product of 4 elements which are conjugate to either g or g^{-1} .*
- (b) *If g is an involution, then g inverts some nontrivial element of C and this element is the product of two conjugates of g .*

Proof. By the previous result, we can choose $x \in C$ so that

$$1 \neq [x, x^{-g}] = x^{-1}gxg^{-1}xgx^{-1}g^{-1} \in C.$$

If g is an involution, then this becomes $[x, x^{-g}] = x^{-1}gx \cdot (gx)g(gx)^{-1}$. Thus $x^{-1}gx$ inverts $[x, x^{-g}]$, and hence g inverts $x[x, x^{-g}]x^{-1} \in C$. \square

Lemma 6.8. *$G \neq \mathfrak{A}_n$, $n \geq 5$.*

Proof. Note that $p \neq 2$ by Lemma 6.5. Let $g \in \mathfrak{A}_n$ be a product of two transpositions. Then the only p -elements inverted by g are of order at most 5 and move at most 6 points. So $p \leq 5$ by Lemma 6.7 and C contains a p -cycle, or $p = 3$ and C contains elements that are products of two 3-cycles.

If $p = 3$, commutators of elements in C can be nontrivial involutions (by considering \mathfrak{A}_4 , and in \mathfrak{A}_6 , we can apply an automorphism and reduce to 3-cycles). If $p = 5$, then since C is closed under squaring C contains all 5-cycles and a straightforward computation (in \mathfrak{A}_5) shows that there are commutators in C that have order prime to 5. \square

Lemma 6.9. *G is not a group of Lie type in characteristic p .*

Proof. If G has rank at least 2, then a Sylow p -subgroup is contained in at least two maximal parabolic subgroups, contradicting Lemma 6.3.

So we may suppose that G has rank 1. Then (as $p \neq 2$), $G = \mathrm{L}_2(q)$, $\mathrm{U}_3(q)$, or ${}^2\mathrm{G}_2(3^{2a+1})'$. If $G = \mathrm{L}_2(q)$, then one computes directly (again noting that if $q = 5$, then C contains all unipotent elements).

If $G = \mathrm{U}_3(q)$, then C either consists of transvections or a suitable pair of elements in C have commutator which is a transvection. Thus, $C \cap \mathrm{SL}_2(q)$ is nontrivial, a contradiction. Suppose that $G = {}^2\mathrm{G}_2(3^{2a+1})$ with $a \geq 1$. Note that any unipotent element is conjugate to an element of ${}^2\mathrm{G}_2(3) \cong \mathrm{L}_2(8).3$. In particular, any unipotent element is contained in at least two maximal subgroups. For ${}^2\mathrm{G}_2(3)' = \mathrm{L}_2(8)$ note that any element of order 3 is contained in a Frobenius group of order 21, a contradiction. So any nontrivial element of C has order 9. A straightforward computation (see Lemma 6.10 below) shows that C cannot be closed under commutators. \square

Lemma 6.10. *G is not a rank 1 Lie type group in characteristic $r \neq p$.*

Proof. Let B be a Borel subgroup of G and $U \leq B$ its unipotent radical, a Sylow r -subgroup.

We consider the various cases.

Suppose first that $G = \mathrm{L}_2(q)$ with q a power of r and $q \geq 7$ (with $q \neq 9$). Since p is odd, it follows that p divides precisely one of $q \pm 1$. If p divides $q - 1$, then $C \cap B$ is nontrivial and since $O_p(B) = 1$, G cannot be a minimal counterexample.

Suppose that $p|(q+1)$. If q is not a power of 3, we can argue as for the case $p=2$ to see that for $x \in C$, $\text{tr}[x, x^g]$ can be arbitrary and in particular, $[x, x^g]$ is not always a p -element for some $g \in G$.

So assume that $q = 3^e \geq 27$. Note that $|C^\#| \geq q(q-1)$. Also $C \cap B = \{1\}$. Fix $a \neq b \in G/B$. Let $C(a, b) = \{x \in C \mid xa = b\}$. For a fixed a , since there are only q possibilities for b , we see that $|C(a, b)| \geq q-1$ and since G is 2-transitive, in fact we see that $|C(a, b)| = q-1$ for all $a \neq b$. Let c be a third (distinct) element in G/B and consider $C(a, b, c) := \{x \in C \mid xa = b, xb = c\}$. If $x \neq y \in C(a, b, c)$, then we see that $[y, x]$ fixes a . Moreover, x and y do not commute for if they do, then $x^{-1}y$ is in a nonsplit torus and also in a conjugate of B , whence $x = y$, a contradiction. Thus, we are done unless $|C(a, b, c)| \leq 1$ for all c different from a, b . On the other hand, $C(a, b)$ is the disjoint union of the $C(a, b, c)$ for the $q-1$ different choices for c . Thus, we are done unless $|C(a, b, c)| = 1$ for all distinct triples $(a, b, c) \in (G/B)^3$ in which case $|C^\#| = q(q-1)$ and $C^\#$ is a single conjugacy class. In particular, this implies that $\text{tr}[x, y] = \pm \text{tr}(x)$ for any noncommuting $x, y \in C$ (working in $\text{SL}_2(q)$).

For $s \in \mathbb{F}_q^\times$ let $g = g(s)$ be the diagonal matrix with eigenvalues s, s^{-1} . Thus $\text{tr}[x, x^g]$ must take on the same value for at least $(q-3)/2$ different values of s . Note that $f(s) := \text{tr}[x, x^g]$ is an \mathbb{F}_q -linear combination of $s^4, s^3, \dots, s^{-3}, s^{-4}$. Write $f(s) = \sum_{i=-4}^4 a_i s^i$. Thus, $f(s) = t$ is fixed for at least $(q-1)/3$ values of s . Multiplying through by s^4 gives $s^4 f(s) - ts^4$ has at least $(q-3)/2$ zeroes and is a polynomial in s of degree at most 8. Thus, since $q > 19$, $s^4 f(s) = ts^4$ for all s , whence $f(s) = f(1) = \text{tr}(1) = 2$. It follows that $\text{tr}(x) = 2$. However, the only elements in $\text{SL}_2(q)$ with trace 2 are unipotent, a contradiction.

Suppose that $G = \text{U}_3(q)$ with $q \geq 3$. By Lemma 6.7, a nontrivial element of C must be the product of two pseudo-reflections whence fixes a 1-space and so either is contained in $\text{SL}_2(q)$ or a Borel subgroup, a contradiction.

Next suppose that $G = {}^2\text{B}_2(q^2)$ with $q^2 = 2^{2a+1}$, $a \geq 1$. Since every nontrivial element of C is contained in a unique maximal subgroup, it follows that p divides $q^2 \pm \sqrt{2}q + 1$. Note that $|G| = q^4(q^4 + 1)(q^2 - 1)$. Let B be a Borel subgroup of $|G|$. If C contains at least two nontrivial conjugacy classes, then we argue as for the case $\text{L}_2(3^e)$ and see that $|C(a, b, c)| > 1$ for some distinct $a, b, c \in G/B$ and get a contradiction. If C consists of a single nontrivial class, then we also argue as for $\text{L}_2(3^e)$ (conjugating a fixed x by the $q^2 - 1$ elements in a torus $T \leq B$). We conclude that $\text{tr}(x) = 0$ for all $x \in C$ (in the 4-dimensional representation). Now 5-elements have trace -1 , while for $p \neq 5$ it is straightforward to see that nontrivial p -elements do not have trace in \mathbb{F}_2 , a contradiction.

Finally suppose that $G = {}^2\text{G}_2(q^2)$ with $q^2 = 3^{2a+1}$, $a \geq 1$. Note that the order of G is $q^6(q^6 + 1)(q^2 - 1)$. The maximal tori of G have order $q^2 \pm 1$ or $q^2 \pm \sqrt{3}q + 1$. In the first two cases, the elements are contained in $\text{L}_2(q^2)$, whence the result follows by minimality. So we may assume that p divides $q^2 \pm \sqrt{3}q + 1$. Argue precisely as for ${}^2\text{B}_2(q^2)$ to obtain a contradiction. \square

Lemma 6.11. *G is not a classical group in characteristic $r \neq p$.*

Proof. Let V be the natural module for the quasi-simple classical group with factor group G .

If $G = L_n(q)$, then in fact in Lemma 6.7 we may choose an involution in $\mathrm{PGL}_n(q)$ (because it preserves the conjugacy class of any semisimple element). So we see that a nontrivial element $x \in C$ can be written as a product of either two reflections or two transvections, whence x centralizes a subspace of codimension 2. Since x is not contained in a proper parabolic subgroup P (since $O_p(P)$ is trivial), minimality implies $G = L_2(q)$, contradicting Lemma 6.10.

If $G = U_n(q)$, $n \geq 3$, then we see that there is $x \in C$ with $\dim[x, V] \leq 2$ as well. It follows that $x \in \mathrm{SL}_2(q)$ or is contained in a parabolic subgroup, a contradiction.

Suppose that $G = S_{2n}(q)$ with $n \geq 2$ (note that $S_4(2)' \cong \mathfrak{A}_6$ was already handled). So some (nontrivial) element $x \in C$ is a product of two involutions with two nontrivial eigenvalues. Thus, $\dim[x, V] \leq 4$. If x has a non-zero fixed space on V , then x is contained in a parabolic subgroup, a contradiction. So $n = 2$ and C intersects $\mathrm{SL}_2(q)$ or $L_2(q^2)$, a contradiction.

Finally, assume that G is an orthogonal group. We can then assume that $\dim V \geq 7$ (since the smaller orthogonal groups are isomorphic to groups we have already handled). On the other hand, the argument above shows that $\dim[x, V] \leq 4$ for some $x \in C$. Then x fixes a singular vector and so is in a parabolic subgroup, a contradiction. \square

Lemma 6.12. *G is not an exceptional group of Lie type.*

Proof. Since we have handled the rank one groups, we assume that G has rank at least 2.

Assume that G is defined over the field of q elements. Let $1 \neq x \in C$. Note that x is not contained in a proper parabolic subgroup M (by induction as $F^*(M) = O_r(M)$ where $r \neq p$ is the prime dividing q). Thus, x is a regular semisimple element.

If $G = G_2(q)$, every p -element with p not dividing q is contained in a maximal torus and every maximal torus is contained in a subgroup $\mathrm{SL}_3(q)$ or $\mathrm{SU}_3(q)$.

Suppose that $G = {}^3D_4(q)$, q odd. Since nontrivial elements of C are contained in a unique maximal subgroup by Lemma 6.3, it follows that C consist of elements in the cyclic maximal torus of order $q^4 - q^2 + 1$. Let C_0 be a conjugacy class contained in C . From the generic character table of G one computes in Chevie [4] that C_0C_0 contains the class D of long root elements in G . However, on the 8-dimensional natural module, long root elements fix a 6-dimensional space. Thus, DD^{-1} contains no regular semisimple elements in G . So choose $x_1, x_2 \in C$ so that $x_1x_2 = d$ is a long root element. Then $[x_1, x_2] = (x_2x_1)^{-1}x_1x_2 \in D^{-1}D$ is not a regular semisimple element, hence not in C .

So we may assume that G has rank at least 4. Let $z \in G$ be an involution. By Lemma 6.7, z inverts some element of C and so in particular a regular semisimple element of G . It follows that two suitable conjugates of z have centralizer in the underlying algebraic group X of dimension less than $r = \mathrm{rank}(X)$ (since two conjugates of z generate a subgroup containing a regular semisimple element).

This implies that $2 \dim C_X(z) < \dim X + r$, but by inspection there are involutions in X (defined over the prime field, and inside any ${}^2E_6(q)$) with bigger centralizer, see Table 3. \square

Lemma 6.13. *G is not a sporadic group.*

Proof. Let P denote a Sylow p -subgroup of G . If P has order greater than p , then it follows by [3] that P is not contained in a unique maximal subgroup unless $p = 3$ and

TABLE 3. Involution centralizers

| | | | | |
|---------------|-------|-------|-------|-------------|
| X | F_4 | E_6 | E_7 | E_8 |
| $C_X(z)'$ | B_4 | D_5 | E_6 | $E_7 + A_1$ |
| $\dim C_X(z)$ | 36 | 46 | 79 | 136 |

$G = J_3$. Considering the structure of this subgroup shows that C must contain elements of order 3. No element of order 3 is in a unique maximal subgroup.

So we may assume that P has order p . If G contains two classes of involutions, then since each class inverts $y \in C$, it follows that $y \in C_G(z)$ for some involution z . Indeed, then the product of the two involutions centralizes y and these involutions generate a dihedral group of order divisible by 4 (because not all its involutions are conjugate) and so the central involution in this dihedral group centralizes y . Inspection of the centralizers of involutions (cf. [5]) shows that y is not in $O_p(C_G(z))$, a contradiction.

Most of the remaining possibilities are listed in Table 4, which for the relevant primes either gives an overgroup $H > P$ for which the statement is known by induction, or the statement that p -elements are not inverted by involutions, as would have to be the case by Lemma 6.7 — here, z denotes an involution. Note that the Sylow 5-subgroup of J_2 is elementary abelian of order 25; one of the two classes of cyclic subgroups of order 5 is contained in $3.\mathfrak{A}_6$, the other in an \mathfrak{A}_5 .

We are then only left with the following two configurations:

$G = J_1$, $p = 19$: here by explicit computation with the 7-dimensional representation over \mathbb{F}_7 one just exhibits pairs of non-commuting conjugate elements of order 19 whose commutator has order prime to 19.

$G = Ly$; $p = 37$ or 67 : one computes directly with the 111-dimensional representation over \mathbb{F}_5 . \square

TABLE 4. Sporadic groups

| G | overgroup of P | not inverted by involution |
|----------|---|----------------------------|
| M_{11} | $\mathrm{SL}_2(3) (p = 3), \mathrm{L}_2(11) (p = 5, 11)$ | $p = 7, 23$ |
| M_{22} | $\mathrm{L}_2(11) (p = 5, 11), \mathfrak{A}_7 (p = 7)$ | |
| M_{23} | $\mathrm{L}_2(11) (p = 5, 11)$ | |
| J_1 | $7.3 (p = 3), \mathrm{L}_2(11) (p = 5, 11), C(z) (p = 7)$ | |
| J_2 | $3.\mathfrak{A}_6 (p = 5), \mathfrak{A}_5 (p = 5)$ | $p = 19$ |
| J_3 | $C(z) (p = 5), \mathrm{L}_2(17) (p = 17)$ | |
| McL | $\mathrm{L}_2(11) (p = 11)$ | $p = 7$ |
| Ly | $2.\mathfrak{A}_{11} (p = 7), 2.\mathfrak{A}_{11} (p = 11), 5^3.\mathrm{L}_3(5) (p = 31)$ | $p = 31$ |
| ON | $\mathfrak{A}_6 (p = 5), \mathrm{L}_3(7) (p = 7), J_1 (p = 11, 19)$ | |
| F_3 | $G_2(3) (p = 13), \mathrm{U}_3(8) (p = 19)$ | |

7. COMMUTATORS OF 5-ELEMENTS

We now consider the remainder of Theorem 1.2. The proof is quite similar to the previous result – a bit trickier because of the weaker inductive hypothesis. We give a sketch.

Theorem 7.1. *Let G be a finite group and C a normal set of 5-elements that is closed under taking commutators. Then $\langle C \rangle O_5(G)/O_5(G)$ is a direct product of copies of \mathfrak{A}_5 .*

Let G be a minimal counterexample (with $|G| + |C|$ minimal). Clearly, we have that $O_5(G) = 1$ and $G = \langle C \rangle$.

Lemma 7.2. *G is simple.*

Proof. Let N be a minimal normal subgroup of G .

Suppose that N is central. Then $H := G/N$ is a direct product of copies of \mathfrak{A}_5 by minimality of G . If $|N| \neq 2$, then since the Schur multiplier of \mathfrak{A}_5 has order 2, it follows that $G = N \times H$ and since G is generated by 5-elements, we obtain a contradiction.

So N has order 2 and G/N is a product of more than one A_5 . Then by induction G/Q is a product of A_5 's where Q is some component. If $Q = A_5$, then G is a product of A_5 's. Thus every component is an $SL_2(5)$. Let $x \in C$ and write $x = (x_1, \dots, x_t)$, where $x_i \in Q_i$ (modulo some central element). Then conjugating by $y = (y_1, 1, \dots, 1)$ we have that $[x, x^y]$ is a 5-element and so $[x_1, x_1^{y_1}]$ is a 5-element in Q_1 , but in $SL_2(5)$, we can arrange that the commutator has order 10. So $Z(G) = 1$.

If N has order prime to 5, choose $y \in C$ not commuting with N (this is possible since C generates G). By coprime action, $[y, [y, N]] = [y, N]$ and so $1 \neq [y, y^w] \in N$ for some $w \in [y, N]$. This contradicts our hypothesis that C is closed under taking commutators.

So N is a direct product $L_1 \times \dots \times L_t$ where $L_i \cong L$ is a nonabelian simple group of order divisible by 5. Suppose that $t > 1$.

Let $R := R_1 \times \dots \times R_t$ be a Sylow 5-subgroup of N . Let $R \leq Q$ be a Sylow 5-subgroup of G . We can choose $y \in Q$ such that y does not normalize L_1 .

By [13, Thm. X.8.13], $J := N_N(R)/R = J_1 \times \dots \times J_t$ is nontrivial. Now consider the group $\langle J, y \rangle$. Then J has order prime to 5 and y does not centralize J , whence as above, there exist $h \in J$ with $[y, y^h]$ a nontrivial element of J and so $[y, y^h]$ is not a 5-element, a contradiction.

So every minimal normal subgroup is a nonabelian simple group. If N_1 and N_2 are distinct minimal normal subgroups, then by induction G/N_1 and G/N_2 are both products of A_5 's and since G embeds in $H := G/N_1 \times G/N_2$ (and projects onto each simple factor) G itself is also a product of A_5 's.

So G has a unique minimal normal subgroup N that is nonabelian simple. We claim that $G = N$. If not, since G/N is solvable, it follows that D , the set of commutators of elements in C is proper in C . If $D = 1$, then G is abelian, a contradiction. Since $O_5(G) = 1$, it follows that $\langle D \rangle \cong \mathfrak{A}_5 = N$ and the result holds. So $G = N$ is simple. \square

We can assume that every element of C is a commutator of a pair of elements of C (otherwise replace C by this smaller set of commutators).

We now can argue in a similar fashion to the proof in the previous section. One has to do slightly more work (because we cannot appeal to Wielandt's result).

Lemma 7.3. $G \neq \mathfrak{A}_n, n \geq 5$.

Proof. Let $1 \neq x$ be a nontrivial 5-element. Let t be an involution moving 4 points all contained in a single orbit of x so that t does not invert x . Then $[x, x^t] \neq 1$ and as above, this implies that t inverts a nontrivial element of C , whence x must be a 5-cycle. If $n = 5$, the conclusion is allowed and if $n > 5$, it suffices to check \mathfrak{A}_6 . \square

Lemma 7.4. G is not a finite group of Lie type in characteristic 5.

Proof. If G has rank 1, we argue as earlier. If G has rank at least 2, we can find a maximal end node parabolic M such that $M \cap C$ is not contained in $O_5(M)$ [11, §2], whence the result follows by induction unless the derived subgroup of the Levi subgroup is $L_2(5)$. This implies that G is of rank 2 defined over \mathbb{F}_5 and an easy inspection completes the proof. \square

Lemma 7.5. G is not a finite group of Lie type in characteristic $r \neq 5$.

Proof. If some element of $C^\#$ centralizes a nontrivial unipotent element, then $C^\#$ intersects a maximal parabolic subgroup M of G . Since $F^*(M) = O_r(M)$, it follows that some $1 \neq y \in C$ normalizes and does not centralize $O_r(M)$, whence $1 \neq [y, y^x]$ is an r -element for some $x \in O_r(M)$.

Thus, every element of $C^\#$ is a regular semisimple element. If G is classical, it is straightforward to see that we can choose an involution y which has fixed space of codimension at most 2 (on the natural module) such that $[x, x^y] \neq 1$ for some $x \in C$ (just choose y not in the normalizer of the torus that is the centralizer of $x \in C$). We argue as in the previous section to see that the fixed space of some nontrivial element of C is large, whence the rank is quite small. The analysis of the small rank cases gives the only example.

If G is exceptional, the proof is essentially as in the general case as well. Namely, if G has rank at least 4, then choose an involution z that does not invert any regular semisimple element. However, any involution in G does invert a nontrivial element of C .

For the rank one and two groups, we argue precisely as in the case of $p \neq 5$. \square

Lemma 7.6. G is not a sporadic group.

Proof. By inspection of subgroups, we see that C must contain a class of nontrivial 5-central elements (this class is often unique). One can produce an overgroup of such an element where the result holds by induction (with O_5 trivial and not containing a normal product of \mathfrak{A}_5 subgroups). \square

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF SOUTHERN CALIFORNIA, LOS ANGELES, CA 90089-2532, USA

E-mail address: guralnic@usc.edu

FB MATHEMATIK, TU KAISERSLAUTERN, POSTFACH 3049, 67653 KAISERSLAUTERN, GERMANY

E-mail address: malle@mathematik.uni-kl.de