

# BRAUER'S HEIGHT ZERO CONJECTURE FOR QUASI-SIMPLE GROUPS

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*To the memory of Sandy Green*

ABSTRACT. We show that Brauer's height zero conjecture holds for blocks of finite quasi-simple groups. This result is used in Navarro–Späth's reduction of this conjecture for general groups to the inductive Alperin–McKay condition for simple groups.

## 1. INTRODUCTION

In this paper we verify that the open direction of Richard Brauer's 1955 height zero conjecture (BHZ) holds for blocks of finite quasi-simple groups:

**Main Theorem.** *Let  $S$  be a finite quasi-simple group,  $\ell$  a prime and  $B$  an  $\ell$ -block of  $S$ . Then  $B$  has abelian defect groups if and only if all  $\chi \in \text{Irr}(B)$  have height zero.*

The proof of one direction of Brauer's height zero conjecture, that blocks with abelian defect groups only contain characters of height zero, was completed in [15]. Subsequently it was shown by Gabriel Navarro and Britta Späth [22] that the other direction of (BHZ) can be reduced to proving the following for all finite quasi-simple groups  $S$ :

- (1) (BHZ) holds for  $S$ , and
- (2) the inductive form of the Alperin–McKay conjecture holds for  $S/Z(S)$ .

Here, we show that the first statement holds. The main case, when  $S$  is quasi-simple of Lie type, is treated in Section 2, and then the proof of the Main Theorem is completed in Section 3.

## 2. BRAUER'S HEIGHT ZERO CONJECTURE FOR GROUPS OF LIE TYPE

In this section we show that (BHZ) holds for quasi-simple groups of Lie type. This constitutes the central part of the proof of our Main Theorem.

Throughout, we work with the following setting. We let  $\mathbf{G}$  be a connected reductive linear algebraic group over an algebraic closure of a finite field of characteristic  $p$ , and  $F : \mathbf{G} \rightarrow \mathbf{G}$  a Steinberg endomorphism with finite group of fixed points  $\mathbf{G}^F$ . It is well-known that apart from finitely many exceptions, all finite quasi-simple groups of Lie type can be obtained as  $\mathbf{G}^F/Z$  for some central subgroup  $Z \leq \mathbf{G}^F$  by choosing  $\mathbf{G}$  simple of simply connected type.

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We let  $\mathbf{G}^*$  be dual to  $\mathbf{G}$ , with compatible Steinberg endomorphism again denoted  $F$ . Recall that by the results of Lusztig the set  $\text{Irr}(\mathbf{G}^F)$  of complex irreducible characters of  $\mathbf{G}^F$  is a disjoint union of rational Lusztig series  $\mathcal{E}(\mathbf{G}^F, s)$ , where  $s$  runs over the semisimple elements of  $\mathbf{G}^{*F}$  up to conjugation.

**2.1. Groups of Lie type in their defining characteristic.** We first consider the easier case of groups of Lie type in their defining characteristic, where we need the following:

**Lemma 2.1.** *Let  $\mathbf{G}$  be simple, not of type  $A_1$ , with Frobenius endomorphism  $F : \mathbf{G} \rightarrow \mathbf{G}$ . Then every coset of  $[\mathbf{G}^F, \mathbf{G}^F]$  in  $\mathbf{G}^F$  contains a (semisimple) element centralising a root subgroup of  $\mathbf{G}^F$ .*

*Proof.* First note that by inspection any of the rank 2 groups  $L_3(q)$ ,  $U_3(q)$ , and  $S_4(q)$  (and hence also  $U_4(q)$ ) contains a root subgroup  $U \cong \mathbb{F}_q^+$  all of whose non-identity elements are conjugate under a maximally split torus. Now if  $\mathbf{G}$  is not of type  $A_1$  with  $[\mathbf{G}^F, \mathbf{G}^F] < \mathbf{G}^F$  then it contains an  $F$ -stable Levi subgroup  $\mathbf{H}$  of type  $A_2$ ,  $B_2$ , or  $A_3$ , and thus  $\mathbf{G}^F$  contains a root subgroup  $U$  all of whose non-trivial elements are conjugate under the maximally split torus of  $[\mathbf{H}^F, \mathbf{H}^F] \leq [\mathbf{G}^F, \mathbf{G}^F]$ . But  $\mathbf{G}^F = [\mathbf{G}^F, \mathbf{G}^F]\mathbf{T}^F$  for any  $F$ -stable maximal torus  $\mathbf{T}$  of  $\mathbf{G}$  (see [21, Ex. 30.13]). Thus any coset of  $[\mathbf{G}^F, \mathbf{G}^F]$  in  $\mathbf{G}^F$  contains semisimple elements which centralise  $U$ .  $\square$

Now let  $\mathbf{G}$  be simple with dual group  $\mathbf{G}^*$ , let  $\pi : \mathbf{G} \rightarrow \mathbf{G}_{\text{ad}}$  be the adjoint quotient and  $\pi^* : \mathbf{G}_{\text{sc}}^* \rightarrow \mathbf{G}^*$  its dual. Let  $F : \mathbf{G} \rightarrow \mathbf{G}$  be a Frobenius map with dual lifted to  $\mathbf{G}_{\text{sc}}^*$  also denoted  $F$ . Let  $s \in \mathbf{G}^{*F}$  be semisimple. Then the character formula [10, Prop. 12.2] shows that the restriction of any Deligne-Lusztig character  $R_{\mathbf{T}^*}(s)$  lies above a unique character  $\hat{s}$  of  $Z(\mathbf{G}^F)$ , whence all characters in  $\mathcal{E}(\mathbf{G}^F, s)$  lie above  $\hat{s}$ .

**Lemma 2.2.** *Let  $s, s' \in \mathbf{G}^{*F}$  semisimple. Then  $\hat{s} = \hat{s}'$  if and only if  $s^{-1}s' \in \pi^*(\mathbf{G}_{\text{sc}}^{*F})$ .*

*Proof.* Let  $z \in Z(\mathbf{G}^F)$ . Then  $z$  lies in any  $F$ -stable maximal torus  $\mathbf{T}$  of  $\mathbf{G}$ , hence it induces a character  $\hat{z} \in \text{Irr}(\mathbf{T}^{*F})$ . As  $z$  is central, any root of  $\mathbf{G}$  relative to  $\mathbf{T}$  vanishes on  $z$ , so  $\hat{z}$  is trivial on the subgroup generated by the  $F$ -fixed points of the images of the coroots in  $\mathbf{T}^*$ . But these are just the images  $\pi^*(\mathbf{T}_{\text{sc}}^{*F})$  in  $\mathbf{G}^{*F}$ , so, as  $\mathbf{G}_{\text{sc}}^{*F}$  is generated by its maximal tori,  $\hat{z}$  is trivial on  $\pi^*(\mathbf{G}_{\text{sc}}^{*F}) \cap \mathbf{T}^{*F}$ .

Now by the character formula the central character associated to  $R_{\mathbf{T}^*}(s)$  is  $z \mapsto \hat{s}(z) = \hat{z}(s)$ , hence it is constant on cosets of  $\pi^*(\mathbf{G}_{\text{sc}}^{*F})$ . As clearly above any central character there is some irreducible character  $\chi$  of  $\mathbf{G}^F$ , this map from cosets to central characters is surjective. It is injective as  $Z(\mathbf{G}^F) = \ker(\pi)$  and  $\mathbf{G}^{*F}/\pi^*(\mathbf{G}_{\text{sc}}^{*F}) = \text{coker}(\pi^*)$  have the same order by duality.  $\square$

**Proposition 2.3.** *Let  $\mathbf{G}$  be simple, simply connected, not of type  $A_1$ , and  $Z \leq \mathbf{G}^F$  be a central subgroup such that  $S = \mathbf{G}^F/Z$  is quasi-simple of Lie type in characteristic  $p$ . Then any  $p$ -block of  $S$  of positive defect contains characters of positive height.*

*Proof.* By assumption,  $S/Z(S) \not\cong L_2(q)$ . By the result of Humphreys [14, Concluding Remarks], the  $p$ -blocks of  $\mathbf{G}^F$  of positive defect are in bijection with  $\text{Irr}(Z(\mathbf{G}^F))$  and are of full defect. The principal block of  $\mathbf{G}^F$  contains all the unipotent characters of  $\mathbf{G}^F$ , hence a character of positive height e.g. by [20, Thm. 6.8] (except when  $S = S_4(2) = \mathfrak{S}_6$  where the statement can be checked directly).

Now assume that  $Z(\mathbf{G}^F) \neq 1$ , and  $B$  is the  $p$ -block of  $\mathbf{G}^F$  lying over a non-trivial character  $\lambda \in \text{Irr}(Z(\mathbf{G}^F))$ . As  $\mathbf{G}^{*F}/\pi^*(\mathbf{G}_{\text{sc}}^{*F})$  is abelian, according to Lemma 2.2 there is a coset of  $\pi^*(\mathbf{G}_{\text{sc}}^{*F})$  in  $\mathbf{G}^{*F}$  such that for any  $s$  in that coset all characters of  $\mathcal{E}(\mathbf{G}^F, s)$  lie over  $\lambda$ , hence in  $B$ . Now by Lemma 2.1 this coset contains a semisimple element  $s_\lambda$  centralising a root subgroup of  $\mathbf{G}^{*F}$ . Then  $C_{\mathbf{G}^{*F}}(s_\lambda)$  contains a root subgroup, hence has semisimple rank at least 1. By Lusztig's Jordan decomposition of characters, the regular character in  $\mathcal{E}(\mathbf{G}^F, s_\lambda)$  corresponds to the Steinberg character of  $C_{\mathbf{G}^{*F}}(s_\lambda)$ , so has positive  $p$ -height, and it lies in  $B$ .  $\square$

**2.2. Unipotent pairs and  $e$ -cuspidality.** We now turn to the investigation of  $\ell$ -blocks for primes  $\ell \neq p$ , which is considerably more involved. For the rest of this section we assume that  $F : \mathbf{G} \rightarrow \mathbf{G}$  is a Frobenius morphism with respect to some  $\mathbb{F}_q$ -structure on  $\mathbf{G}$ . Let  $\ell$  be a prime not dividing  $q$  and let  $e = e_\ell(q)$ , where  $e_\ell(q)$  is the order of  $q$  modulo  $\ell$  if  $\ell$  is odd and is the order of  $q$  modulo 4 if  $\ell = 2$ .

By a unipotent pair for  $\mathbf{G}^F$  we mean a pair  $(\mathbf{L}, \lambda)$ , where  $\mathbf{L}$  is an  $F$ -stable Levi subgroup of  $\mathbf{G}$  and  $\lambda \in \mathcal{E}(\mathbf{L}^F, 1)$ . If  $\mathbf{L}$  is  $d$ -split in  $\mathbf{G}$ , then  $(\mathbf{L}, \lambda)$  is said to be a unipotent  $d$ -pair and if in addition  $\lambda$  is a unipotent  $d$ -cuspidal character of  $\mathbf{L}^F$ , then  $(\mathbf{L}, \lambda)$  is said to be a unipotent  $d$ -cuspidal pair.

Recall that if  $\mathbf{L}$  is an  $F$ -stable Levi subgroup of  $\mathbf{G}$ , then  $\bar{\mathbf{L}} := \mathbf{L}/Z(\mathbf{G})$  is an  $F$ -stable Levi subgroup of  $\mathbf{G}/Z(\mathbf{G})$  and  $\mathbf{L}_0 := \mathbf{L} \cap [\mathbf{G}, \mathbf{G}]$  is an  $F$ -stable Levi subgroup of  $[\mathbf{G}, \mathbf{G}]$ ; the maps  $\mathbf{L} \mapsto \bar{\mathbf{L}}$  and  $\mathbf{L} \mapsto \mathbf{L}_0$  give bijections between the sets of  $F$ -stable Levi subgroups of  $\mathbf{G}$  and of  $\mathbf{G}/Z(\mathbf{G})$  and between the sets of  $F$ -stable Levi subgroups of  $\mathbf{G}$  and of  $[\mathbf{G}, \mathbf{G}]$ . Also recall that the natural maps  $\mathbf{L} \rightarrow \mathbf{L}/Z(\mathbf{G})$  and  $\mathbf{L} \cap [\mathbf{G}, \mathbf{G}] \rightarrow \mathbf{L}$  induce degree preserving bijections between  $\mathcal{E}(\mathbf{L}^F, 1)$ ,  $\mathcal{E}(\bar{\mathbf{L}}^F, 1)$  and  $\mathcal{E}(\mathbf{L}_0^F, 1)$ . Hence there are natural bijections between the sets of unipotent pairs of  $\mathbf{G}^F$ ,  $(\mathbf{G}/Z(\mathbf{G}))^F$  and of  $[\mathbf{G}, \mathbf{G}]^F$  and these preserve the properties of being  $d$ -split and of being  $d$ -cuspidal (see [6, Sec. 3]).

**Lemma 2.4.** *Let  $(\mathbf{L}, \lambda)$ ,  $(\mathbf{L}_0, \lambda_0)$  and  $(\bar{\mathbf{L}}, \bar{\lambda})$  be corresponding unipotent pairs for  $\mathbf{G}^F$ ,  $[\mathbf{G}, \mathbf{G}]^F$  and  $(\mathbf{G}/Z(\mathbf{G}))^F$ . Then,*

$$W_{[\mathbf{G}, \mathbf{G}]^F}(\mathbf{L}_0, \lambda_0) \cong W_{\mathbf{G}^F}(\mathbf{L}, \lambda) \cong W_{(\mathbf{G}/Z(\mathbf{G}))^F}(\bar{\mathbf{L}}, \bar{\lambda}).$$

*Proof.* Let  $\bar{\mathbf{G}} = \mathbf{G}/Z(\mathbf{G})$ . The canonical map  $\mathbf{G} \rightarrow \bar{\mathbf{G}}$  induces an injective map from  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  into  $W_{\bar{\mathbf{G}}^F}(\bar{\mathbf{L}}, \bar{\lambda})$ . Conversely, let  $x \in \mathbf{G}$  be such that its image  $\bar{x} \in \bar{\mathbf{G}}$  is in  $N_{\bar{\mathbf{G}}^F}(\bar{\mathbf{L}}, \bar{\lambda})$ . Then  $x$  normalises  $\mathbf{L}$  as well as  $\mathbf{L}^F$  and stabilises  $\lambda$ . Further, by the Lang–Steinberg theorem,  $xt \in \mathbf{G}^F$  for some  $t$  lying in an  $F$ -stable maximal torus  $\mathbf{T}$  of  $\mathbf{L}$ . Since  $N_{\mathbf{T}}(\mathbf{L}^F)$  stabilises  $\lambda$ , we have that  $xt \in N_{\mathbf{G}^F}(\mathbf{L}, \lambda)$ . Further, since  $\bar{x} \in \bar{\mathbf{G}}^F$ ,  $\bar{t} \in \bar{\mathbf{L}}^F$ , and hence  $xt\mathbf{L}^F \mapsto \bar{x}\bar{\mathbf{L}}^F$  under the inclusion of  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  in  $W_{\bar{\mathbf{G}}^F}(\bar{\mathbf{L}}, \bar{\lambda})$ . The proof for the isomorphism

$$W_{[\mathbf{G}, \mathbf{G}]^F}(\mathbf{L}_0, \lambda_0) \cong W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$$

is similar.  $\square$

Next, we note the following consequence of [6, Prop. 1.3].

**Lemma 2.5.** *Suppose that  $\mathbf{G} = [\mathbf{G}, \mathbf{G}]$  is simply connected. Let  $\mathbf{G}_1, \dots, \mathbf{G}_r$  be a set of representatives for the  $F$ -orbits on the set of simple components of  $\mathbf{G}$  and for each  $i$  let  $d_i$  denote the length of the  $F$ -orbit of  $\mathbf{G}_i$ . For a Levi subgroup  $\mathbf{L}$  of  $\mathbf{G}$ , let  $\mathbf{L}_i = \mathbf{L} \cap \mathbf{G}_i$ .*

Then  $\mathbf{L}$  is  $F$ -stable if and only if  $\mathbf{L}_i$  is  $F^{d_i}$ -stable for all  $i$  and in this case

$$\mathbf{L} = (\mathbf{L}_1 F(\mathbf{L}_1) \cdots F^{d_1-1}(\mathbf{L}_1)) \cdots (\mathbf{L}_r F(\mathbf{L}_r) \cdots F^{d_r-1}(\mathbf{L}_r)).$$

Further, projecting onto the  $\mathbf{G}_i$  component in each  $F$ -orbit induces an isomorphism

$$\mathbf{L}^F \cong \mathbf{L}_1^{F^{d_1}} \times \cdots \times \mathbf{L}_r^{F^{d_r}}.$$

If, under the above isomorphism,  $\lambda \in \mathcal{E}(\mathbf{L}^F, 1)$  corresponds to  $\lambda_1 \times \cdots \times \lambda_r$ , with  $\lambda_i \in \mathcal{E}(\mathbf{L}_i^{F^{d_i}}, 1)$ , then  $(\mathbf{L}, \lambda)$  is an  $e$ -cuspidal pair for  $\mathbf{G}^F$  if and only if  $(\mathbf{L}_i^{F^{d_i}}, \lambda_i)$  is an  $e_\ell(q^{d_i})$ -cuspidal pair for  $\mathbf{G}_i^{F^{d_i}}$  for each  $i$ .

**Lemma 2.6.** *Suppose that either  $\ell$  is odd or that  $\mathbf{G}$  has no components of classical type  $A, B, C$ , or  $D$ . Let  $(\mathbf{L}, \lambda)$  be a unipotent  $e$ -cuspidal pair of  $\mathbf{G}^F$ . Then,  $\mathbf{L} = C_{\mathbf{G}}^{\circ}(Z(\mathbf{L})_{\ell}^F)$ .*

*Proof.* We claim that it suffices to prove the result in the case that  $\mathbf{G}$  is semisimple. Indeed, let  $\mathbf{G}_0 = [\mathbf{G}, \mathbf{G}]$ ,  $\mathbf{L}_0 = \mathbf{L} \cap \mathbf{G}_0$  and  $\lambda_0$  be the restriction of  $\lambda$  to  $\mathbf{L}_0^F$ . Then,  $(\mathbf{L}_0, \lambda_0)$  is a unipotent  $e$ -cuspidal pair of  $\mathbf{G}_0^F$ . Suppose that  $\mathbf{L}_0 = C_{\mathbf{G}_0}^{\circ}(Z(\mathbf{L}_0)_{\ell}^F)$ . Since  $\mathbf{G} = Z^{\circ}(\mathbf{G})\mathbf{G}_0$ , we have that

$$C_{\mathbf{G}}(Z(\mathbf{L}_0)_{\ell}^F) = Z^{\circ}(\mathbf{G})C_{\mathbf{G}_0}(Z(\mathbf{L}_0)_{\ell}^F),$$

hence

$$C_{\mathbf{G}}^{\circ}(Z(\mathbf{L}_0)_{\ell}^F) = Z^{\circ}(\mathbf{G})C_{\mathbf{G}_0}^{\circ}(Z(\mathbf{L}_0)_{\ell}^F) = Z^{\circ}(\mathbf{G})\mathbf{L}_0 = \mathbf{L}.$$

Here the first equality holds since  $C_{\mathbf{G}}(Z(\mathbf{L}_0)_{\ell}^F)/Z^{\circ}(\mathbf{G})C_{\mathbf{G}_0}^{\circ}(Z(\mathbf{L}_0)_{\ell}^F)$  is a surjective image of  $C_{\mathbf{G}_0}(Z(\mathbf{L}_0)_{\ell}^F)/C_{\mathbf{G}_0}^{\circ}(Z(\mathbf{L}_0)_{\ell}^F)$  and hence is finite. On the other hand, we have that  $Z(\mathbf{L}_0)_{\ell}^F \leq Z(\mathbf{L})_{\ell}^F$  whence  $C_{\mathbf{G}}(Z(\mathbf{L})_{\ell}^F) \leq C_{\mathbf{G}}(Z(\mathbf{L}_0)_{\ell}^F)$  and the claim follows.

We assume from now on that  $\mathbf{G} = [\mathbf{G}, \mathbf{G}]$ . We claim that it suffices to prove the result in the case that  $\mathbf{G}$  is simply connected. Indeed, let  $\hat{\mathbf{G}} \rightarrow \mathbf{G}$  be an  $F$ -compatible simply connected covering of  $\mathbf{G}$ , with finite central kernel, say  $Z$ . Let  $\hat{\mathbf{L}}$  be the inverse image of  $\mathbf{L}$  in  $\hat{\mathbf{G}}$  and let  $\hat{\lambda}_0 \in \text{Irr}(\hat{\mathbf{L}}^F)$  be the (unipotent) inflation of  $\lambda$ . By Lemma 2.4  $(\hat{\mathbf{L}}, \hat{\lambda}_0)$  is an  $e$ -cuspidal unipotent pair of  $\hat{\mathbf{L}}^F$ . Let  $\hat{A} = Z(\hat{\mathbf{L}})_{\ell}^F$  and suppose that  $C_{\hat{\mathbf{G}}}^{\circ}(\hat{A}) = \hat{\mathbf{L}}$ . Let  $A = \hat{A}/Z$  and let  $\mathbf{C}$  be the inverse image in  $\hat{\mathbf{G}}$  of  $C_{\mathbf{G}}(A)$ . Then  $C_{\hat{\mathbf{G}}}(\hat{A}) = C_{\hat{\mathbf{G}}}(\hat{A}Z)$  is a normal subgroup of  $\mathbf{C}$  and  $\mathbf{C}/C_{\hat{\mathbf{G}}}(\hat{A})$  is isomorphic to a subgroup of the automorphism group of  $\hat{A}Z$ . Since  $\hat{A}Z$  is finite, it follows that  $\mathbf{C}/C_{\hat{\mathbf{G}}}(\hat{A})$  is finite and hence  $C_{\hat{\mathbf{G}}}(\hat{A})/Z$  has finite index in  $\mathbf{C}/Z = C_{\mathbf{G}}(\hat{A})$ . On the other hand,  $\hat{A} \leq Z(\mathbf{L})_{\ell}^F$ , hence  $C_{\hat{\mathbf{G}}}(\hat{A})/Z$  has finite index in  $C_{\mathbf{G}}(Z(\mathbf{L})_{\ell}^F)$ . So,

$$C_{\mathbf{G}}^{\circ}(Z(\mathbf{L})_{\ell}^F) \leq (C_{\hat{\mathbf{G}}}(\hat{A})/Z)^{\circ} = C_{\hat{\mathbf{G}}}^{\circ}(\hat{A})/Z = \hat{\mathbf{L}}/Z = \mathbf{L}$$

which proves the claim.

Thus, we may assume that  $\mathbf{G} = [\mathbf{G}, \mathbf{G}]$  is simply connected. By [15, Lemma 7.1] and Lemma 2.5 we may assume that  $\mathbf{G}$  is simple. If  $\ell$  is good for  $\mathbf{G}$  and odd, then the result is contained in [6, Prop. 3.3(ii)]. If  $\mathbf{G}$  is of exceptional type and  $\ell$  is bad for  $\mathbf{G}$  then the result is proved case by case in [11].  $\square$

**2.3. On heights of unipotent characters.** We now collect some results on heights of unipotent characters. We first need the following observation:

**Lemma 2.7.** *Let  $\ell$  be a prime and  $n \geq \ell$ .*

- (a) *The symmetric group  $\mathfrak{S}_n$  has an irreducible character of degree divisible by  $\ell$  unless  $n = \ell \in \{2, 3\}$ .*
- (b) *The complex reflection group  $G(2e, 1, n) \cong C_{2e} \wr \mathfrak{S}_n$  and its normal subgroup  $G(2e, 2, n)$  of index 2 (with  $e > 1$  if  $n < 4$ ) have an irreducible character of degree divisible by  $\ell$ .*

*Proof.* (a) By the hook formula for the character degrees of  $\mathfrak{S}_n$  it suffices to produce a partition  $\lambda \vdash n$  with no  $\ell$ -hook, for  $\ell \leq n \leq 2\ell - 1$ . For  $\ell \geq 5$  the partition  $(\ell - 2, 2) \vdash \ell$  and suitable hook partitions for  $\ell < n \leq 2\ell - 1$  are as claimed. For  $\ell \leq 3$  the symmetric groups  $\mathfrak{S}_m$ ,  $\ell + 1 \leq m \leq 2\ell$ , have suitable characters.

For (b) note that both  $G(2e, 1, n)$  and  $G(2e, 2, n)$  have  $\mathfrak{S}_n$  as a factor group, so we are done by (a) unless  $n = \ell \in \{2, 3\}$ . In the latter two cases the claim is easily checked.  $\square$

Recall that an irreducible character  $\chi$  of a finite group  $G$  is said to be of *central  $\ell$ -defect* if the  $\ell$ -block of  $G$  containing  $\chi$  has a defect group contained in the centre of  $G$ ; in this case we say that the pair  $(G, \chi)$  is of central  $\ell$ -defect.

**Lemma 2.8.** *Let  $(\mathbf{L}, \lambda)$  be a unipotent  $e$ -cuspidal pair of  $\mathbf{G}^F$  of central  $\ell$ -defect, where  $e = e_\ell(q)$ . Suppose that  $|W_{\mathbf{G}^F}(\mathbf{L}, \lambda)|_\ell \neq 1$  and all irreducible characters of  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  are of degree prime to  $\ell$ . Then,  $\ell \leq 3$ . Suppose in addition that  $\mathbf{G}$  is simple and simply connected. Then  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda) \cong \mathfrak{S}_\ell$  and the following holds:*

- (a) *If  $\ell = 3$ , then either  $\mathbf{G}^F = \mathrm{SL}_3(q)$  with  $3|(q-1)$  or  $\mathrm{SU}_3(q)$  with  $3|(q+1)$  or  $\mathbf{G}$  is of type  $E_6$  and  $(\mathbf{L}, \lambda)$  corresponds to Line 8 of the  $E_6$ -tables of [11, pp. 351, 354].*
- (b) *If  $\ell = 2$ , then either  $\mathbf{G}$  is of classical type, or  $\mathbf{G}$  is of type  $E_7$  and  $(\mathbf{L}, \lambda)$  corresponds to one of Lines 3 or 7 of the  $E_7$ -table of [11, p. 354].*

*Proof.* The first statement easily reduces to the case that  $\mathbf{G}$  is simple, which we will assume from now on. We go through the various cases. First assume that  $\mathbf{G}$  is of exceptional type, or that  $\mathbf{G}^F = {}^3D_4(q)$ . The relative Weyl groups  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  of unipotent  $e$ -cuspidal pairs are listed in [3, Table 1], and an easy check shows that they possess characters of degree divisible by  $\ell$  whenever  $\ell$  divides  $|W_{\mathbf{G}^F}(\mathbf{L}, \lambda)|$ , unless either  $\ell = 3$ ,  $\mathbf{G}$  is of type  $E_6$  and we are in case (a), or  $\ell = 2$  and  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda) \cong C_2$  in  $\mathbf{G}$  of type  $E_6$ ,  $E_7$  or  $E_8$ . According to the tables in [11, pp. 351, 354, 358], the only case with  $\lambda$  of central  $\ell$ -defect is in  $E_7$  with  $\mathbf{L}$  of type  $E_6$  and  $\lambda$  one of the two cuspidal characters as in (b).

Next assume that  $\mathbf{G}^F$  is of type  $A$ . The relative Weyl groups have the form  $C_e \wr \mathfrak{S}_a$  for some  $a \geq 1$ . By definition,  $e < \ell$ , so if  $\ell$  divides  $|W_{\mathbf{G}^F}(\mathbf{L}, \lambda)|$  then  $\ell \leq a$ . Then by Lemma 2.7 we arrive at either (a) or (b) of the conclusion. If  $\mathbf{G}^F$  is a unitary group, the same argument applies, except that here the relative Weyl groups have the form  $C_d \wr \mathfrak{S}_a$  with  $d = e_\ell(-q)$ . For  $\mathbf{G}$  of type  $B$  or  $C$ , the relative Weyl groups have the form  $C_d \wr \mathfrak{S}_a$ , with  $d \in \{e, 2e\}$  even, and again by Lemma 2.7 no exceptions arise. The relative Weyl groups have the same structure for  $\mathbf{G}$  of type  $D$ , unless  $\mathbf{G}^F$  is untwisted and  $\lambda$  is parametrised by a degenerate symbol, and either  $e \in \{1, 2\}$ ,  $\lambda = 1$ ,  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda) = W$  and so is of type  $D_n$  with  $n \geq 4$ , or  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda) \cong G(2d, 2, n)$  with  $d \geq 2$ , so again we are done by Lemma 2.7.  $\square$

Recall that by [11, Thm. A] if  $(\mathbf{L}, \lambda)$  is a unipotent  $e$ -cuspidal pair of  $\mathbf{G}$ , then all irreducible constituents of  $R_{\mathbf{L}}^{\mathbf{G}}(\lambda)$  lie in the same  $\ell$ -block, say  $b_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  of  $\mathbf{G}^F$ .

**Lemma 2.9.** *Let  $(\mathbf{L}, \lambda)$  be a unipotent  $e$ -cuspidal pair of  $\mathbf{G}^F$  and let  $B = b_{\mathbf{G}^F}(\mathbf{L}, \lambda)$ . Suppose that  $\lambda$  is of central  $\ell$ -defect and that  $\mathbf{L} = C_{\mathbf{G}}^{\circ}(Z(\mathbf{L})_{\ell}^F)$ . If  $B$  has non-abelian defect groups, then  $|W_{\mathbf{G}^F}(\mathbf{L}, \lambda)|$  is divisible by  $\ell$ .*

*Proof.* Let  $Z = Z(\mathbf{L})_{\ell}^F$  and let  $b$  be the block of  $\mathbf{L}^F$  containing  $\lambda$ . Since  $\mathbf{L} = C_{\mathbf{G}}^{\circ}(Z)$ , and  $Z$  is an  $\ell$ -subgroup of  $\mathbf{L}$  contained in a maximal torus of  $\mathbf{G}$ ,  $C_{\mathbf{G}}(Z)/\mathbf{L}$  is an  $\ell$ -group. Hence,  $\mathbf{L}^F$  is a normal subgroup of  $C_{\mathbf{G}^F}(Z)$  of  $\ell$ -power index and consequently, there is a unique block, say  $\tilde{b}$  of  $C_{\mathbf{G}^F}(Z)$  covering  $b$ . Further, by [15, Props. 2.12, 2.13(1), 2.15] and [3, Thm. 3.2],  $(Z, \tilde{b})$  is a  $B$ -Brauer pair.

Since  $I_{C_{\mathbf{G}^F}(Z)}(\lambda)/\mathbf{L}^F \leq W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  and since  $C_{\mathbf{G}^F}(Z)/\mathbf{L}^F$  is an  $\ell$ -group, we may assume by way of contradiction that  $I_{C_{\mathbf{G}^F}(Z)}(\lambda) \leq \mathbf{L}^F$ . Further, since  $\lambda$  is of central  $\ell$ -defect in  $\mathbf{L}^F$ ,  $\lambda$  is the unique character of  $b$  with  $Z$  in its kernel. Thus,  $I_{C_{\mathbf{G}^F}(Z)}(b) = I_{C_{\mathbf{G}^F}(Z)}(\lambda) \leq \mathbf{L}^F$ . Consequently,  $Z$  is a defect group of  $\tilde{b}$ . Now the defect groups of  $B$  are non-abelian, whereas  $Z$  is abelian. Hence  $N_{\mathbf{G}^F}(Z, \tilde{b})/C_{\mathbf{G}^F}(Z)$  is not an  $\ell'$ -group. On the other hand,  $N_{\mathbf{G}^F}(Z, \tilde{b})$  normalises  $\mathbf{L}^F$  and therefore acts by conjugation on the set of  $\ell$ -blocks of  $\mathbf{L}^F$  covered by  $\tilde{b}$ . Since  $C_{\mathbf{G}^F}(Z)$  acts transitively on the set of the  $\ell$ -blocks of  $\mathbf{L}^F$  covered by  $\tilde{b}$ , by the Frattini argument,  $N_{\mathbf{G}^F}(Z, \tilde{b}) = C_{\mathbf{G}^F}(Z)N_{\mathbf{G}^F}(Z, b)$ . Hence,

$$N_{\mathbf{G}^F}(Z, b)/\mathbf{L}^F = N_{\mathbf{G}^F}(Z, b)/(N_{\mathbf{G}^F}(Z, b) \cap C_{\mathbf{G}^F}(Z)) \cong N_{\mathbf{G}^F}(Z, \tilde{b})/C_{\mathbf{G}^F}(Z)$$

is not an  $\ell'$  group. But again since  $\lambda$  is of central  $\ell$  defect,  $N_{\mathbf{G}^F}(Z, b) \leq N_{\mathbf{G}^F}(\mathbf{L}, \lambda)$ . Hence  $N_{\mathbf{G}^F}(\mathbf{L}, \lambda)/\mathbf{L}^F$  is not an  $\ell'$  group, contradicting our assumption.  $\square$

Recall that by the fundamental result of  $e$ -Harish-Chandra theory [3, Thm. 3.2], for any unipotent  $e$ -cuspidal pair  $(\mathbf{L}, \lambda)$  of  $\mathbf{G}$  there is a bijection

$$\rho_{\mathbf{L}, \lambda} : \mathcal{E}(\mathbf{G}^F, (\mathbf{L}, \lambda)) \xrightarrow{1-1} \text{Irr}(W_{\mathbf{G}^F}(\mathbf{L}, \lambda))$$

between the set  $\mathcal{E}(\mathbf{G}^F, (\mathbf{L}, \lambda))$  of irreducible constituents of  $R_{\mathbf{L}}^{\mathbf{G}}(\lambda)$  and  $\text{Irr}(W_{\mathbf{G}^F}(\mathbf{L}, \lambda))$ . Moreover we have the following relationship between the degrees of corresponding characters.

**Lemma 2.10.** *Let  $(\mathbf{L}, \lambda)$  be a unipotent  $e$ -cuspidal pair of  $\mathbf{G}^F$  and let  $\chi \in \mathcal{E}(\mathbf{G}^F, (\mathbf{L}, \lambda))$ . Then*

$$\chi(1)_{\ell} = \frac{|\mathbf{G}^F|_{\ell} \lambda(1)_{\ell}}{|\mathbf{L}^F|_{\ell} \cdot |W_{\mathbf{G}^F}(\mathbf{L}, \lambda)|_{\ell}} (\rho_{\mathbf{L}, \lambda}(\chi))(1)_{\ell}.$$

*In particular, there exist  $\chi_1, \chi_2 \in \mathcal{E}(\mathbf{G}^F, (\mathbf{L}, \lambda))$  with  $\chi_1(1)_{\ell} \neq \chi_2(1)_{\ell}$  if and only if there exists an irreducible character of  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  with degree divisible by  $\ell$ .*

*Proof.* This follows from [20, Thm. 4.2 and Cor. 6.3].  $\square$

**Lemma 2.11.** *Let  $\mathbf{G}$  be connected reductive and let  $B$  be a unipotent  $\ell$ -block of  $\mathbf{G}^F$ . Then  $B$  has an irreducible unipotent character of height zero.*

*Proof.* We may assume that  $\mathbf{G} = [\mathbf{G}, \mathbf{G}]$ . Indeed, set  $\mathbf{G}_0 = [\mathbf{G}, \mathbf{G}]$  and let  $B_0$  be the unipotent block of  $\mathbf{G}_0^F$  covered by  $B$ . Then the degrees in  $\text{Irr}(B) \cap \mathcal{E}(\mathbf{G}^F, 1)$  are the same as the degrees in  $\text{Irr}(B_0) \cap \mathcal{E}(\mathbf{G}_0^F, 1)$ . On the other hand, if  $\chi \in \text{Irr}(B_0)$  and  $\chi' \in \text{Irr}(B)$

covers  $\chi$ , then  $\chi'(1)$  is divisible by  $\chi(1)$ . Since every  $\chi' \in \text{Irr}(B)$  covers some  $\chi \in \text{Irr}(B_0)$  and vice versa (see for example [25, Ch. 5, Lemmas 5.7, 5.8]), we may assume that  $\mathbf{G} = \mathbf{G}_0$ .

We next claim that we may assume that  $\mathbf{G}$  is simple. Indeed, let  $\bar{\mathbf{G}} = \mathbf{G}/Z(\mathbf{G})$  and  $\bar{B}$  the block of  $\bar{\mathbf{G}}^F$  dominated by  $B$ . Let  $H \cong \mathbf{G}^F/Z(\mathbf{G}^F)$  be the image of  $\mathbf{G}^F$  in  $\bar{\mathbf{G}}^F$  under the canonical map from  $\mathbf{G}$  to  $\bar{\mathbf{G}}$  and let  $C$  be the block of  $H$  dominated by  $B$ . Then  $H$  is normal in  $\bar{\mathbf{G}}^F$  and  $C$  is covered by  $\bar{B}$ . The degrees in  $\text{Irr}(\bar{B}) \cap \mathcal{E}(\bar{\mathbf{G}}^F, 1)$  are the same as the degrees in  $\text{Irr}(B) \cap \mathcal{E}(\mathbf{G}^F, 1)$  and by the same arguments as above every irreducible character degree of  $\bar{B}$  is divisible by an irreducible character degree of  $C$  and the set of irreducible character degrees of  $C$  is contained in the set of irreducible character degrees of  $B$ . Thus, if the result is true for  $B$ , it holds for  $\bar{B}$ . So, we may assume that  $\mathbf{G} = [\mathbf{G}, \mathbf{G}]$  is simply connected, and hence also that  $\mathbf{G}$  is simple.

If  $\mathbf{G}$  is of type  $A$  and  $\ell$  is odd and divides the order of  $Z(\mathbf{G}^F)$ , then by [6, Theorem, Prop. 3.3]  $B$  is the principal block and the result holds. If  $\ell = 2$  and  $\mathbf{G}$  is of classical type, then by [4, Thm. 13] again  $B$  is the principal block. In the remaining cases by the results of [6] and [11] there exists an  $e$ -cuspidal pair  $(\mathbf{L}, \lambda)$  for  $B$  such that  $\lambda$  is of central  $\ell$ -defect and a defect group of  $B$  is an extension of  $Z(\mathbf{L}^F)_\ell$  by a Sylow  $\ell$ -subgroup of  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  (see [15, Thm. 7.12(a) and (d)]). Now the result follows from Lemma 2.10 by considering the character in  $\mathcal{E}(\mathbf{G}^F, (\mathbf{L}, \lambda))$  corresponding to the trivial character of  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$ .  $\square$

**Lemma 2.12.** *Suppose that  $\mathbf{G}$  is simple and let  $\lambda$  be a unipotent  $e$ -cuspidal character of  $\mathbf{G}^F$  of central  $\ell$ -defect. Then  $\lambda$  is of  $\ell$ -defect zero. Moreover, any diagonal automorphism of  $\mathbf{G}^F$  of  $\ell$ -power order is an inner automorphism of  $\mathbf{G}^F$ .*

*Proof.* Let  $\mathbf{G} \hookrightarrow \tilde{\mathbf{G}}$  be a regular embedding and set  $\bar{\mathbf{G}} := \mathbf{G}/Z(\mathbf{G})$ . If  $\ell$  is odd, good for  $\mathbf{G}$  and  $\ell \neq 3$  if  $\mathbf{G}^F = {}^3D_4(q)$ , then by [6, Prop. 4.3], every unipotent  $e$ -cuspidal character of  $\bar{\mathbf{G}}^F$  and of  $\tilde{\mathbf{G}}^F$  is of central  $\ell$ -defect. The first assertion follows since  $\bar{\mathbf{G}}^F$  has trivial center and since  $\bar{\mathbf{G}}^F$  and  $\mathbf{G}^F$  have the same order. For the second assertion, note the central  $\ell$ -defect property of  $\lambda$  as a character of  $\mathbf{G}^F$  and  $\tilde{\mathbf{G}}^F$  implies that  $|\tilde{\mathbf{G}}^F : Z(\tilde{\mathbf{G}}^F)|_\ell = |\mathbf{G}^F : Z(\mathbf{G}^F)|_\ell$ , hence  $Z(\tilde{\mathbf{G}}^F)\mathbf{G}^F$  is of  $\ell'$ -index in  $\tilde{\mathbf{G}}^F$ , thus proving the result.

If  $\ell = 2$  and  $\mathbf{G}$  is of classical type  $A, B, C$  or  $D$  then by [4, Thm. 13] the principal block of  $\mathbf{G}^F$  is the only unipotent block of  $\mathbf{G}^F$ , and the Sylow 2-subgroups of  $\mathbf{G}^F$  are non-abelian, hence  $\mathbf{G}^F$  has no unipotent character of central 2-defect. If  $\ell$  is bad for  $\mathbf{G}$  and  $\mathbf{G}$  is of exceptional type, or if  $\ell = 3$  and  $\mathbf{G}^F = {}^3D_4(q)$ , then the result follows by inspecting the tables in [11]. The last assertion follows as in type  $E_6$  the outer diagonal automorphism is of order 3, but there are no unipotent  $e$ -cuspidals of central 3-defect, and similarly in type  $E_7$ , the outer diagonal automorphism has order 2, but there are no unipotent  $e$ -cuspidals of central 2-defect.  $\square$

**2.4. Some special blocks.** Here we investigate in some detail certain unipotent blocks for  $\ell \leq 3$  related to the exceptions in Lemma 2.8.

**Lemma 2.13.** *Let  $\mathbf{G}^F = \text{SL}_3(q)$ ,  $3|(q-1)$ , and let  $B$  be the principal 3-block of  $\mathbf{G}^F$ .*

- (a) *There exists an irreducible character of positive 3-height in  $B$ . This contains  $Z(\mathbf{G}^F)$  in its kernel when  $q \equiv 1 \pmod{9}$ .*
- (b) *If  $q \not\equiv 1 \pmod{9}$ , then there exists an irreducible character in  $B$  with  $Z(\mathbf{G}^F)$  in its kernel and which is not stable under the outer diagonal automorphism of  $\mathbf{G}^F$ .*

The analogous result holds for  $\mathbf{G}^F = \mathrm{SU}_3(q)$  with 3 dividing  $q + 1$ .

*Proof.* Let  $\mathbf{G}$  be simple, simply connected of type  $A_2$  such that  $\mathbf{G}^F = \mathrm{SL}_3(q)$  with  $3|(q-1)$ . Then the Sylow 3-subgroups of  $\mathbf{G}^F$  are non-abelian and if  $q \equiv 1 \pmod{9}$ , then the Sylow 3-subgroups of  $\mathbf{G}^F/Z(\mathbf{G}^F)$  are non-abelian, hence (a) is a consequence of [1]. So we may assume that  $q \not\equiv 1 \pmod{9}$ . Let  $\eta$  be a primitive third root of unity in  $\mathbb{F}_q$  and let  $t \in \mathbf{G}^{*F} = \mathrm{PGL}_3(q)$  be the image of  $\mathrm{diag}(1, \eta, \eta^2)$  under the canonical surjection of  $\mathrm{GL}_3(q)$  onto  $\mathrm{PGL}_3(q)$ . So,  $C_{\mathbf{G}^*}^\circ(t)$  is a maximal torus of  $\mathbf{G}^*$  and  $|C_{\mathbf{G}^*}^\circ(t)/C_{\mathbf{G}^*}^\circ(t)| = 3$ . Let  $\mathbf{T}$  be an  $F$ -stable maximal torus of  $\mathbf{G}$  in duality with  $C_{\mathbf{G}^*}^\circ(t)$  and let  $\hat{t}$  be the linear character of  $\mathbf{T}^F$  in duality with  $t$ . Let  $\psi$  be an irreducible constituent of  $R_{\mathbf{T}}^{\mathbf{G}}(\hat{t})$ . Then,  $\psi$  is not stable under the outer diagonal automorphism of  $\mathbf{G}^F$ . Further,  $\psi \in \mathrm{Irr}(B)$  as  $t$  is a 3-element and the principal block of  $\mathbf{G}^F$  is the only unipotent block of  $\mathbf{G}^F$ . Finally,  $Z(\mathbf{G}^F)$  is contained in the kernel of  $\psi$  as  $t \in [\mathbf{G}^{*F}, \mathbf{G}^{*F}]$ . The proof for the unitary case is entirely similar.  $\square$

**Lemma 2.14.** *Let  $\mathbf{G}$  be simple, simply connected of type  $E_6$ ,  $\mathbf{G}^F = E_6(q)$ ,  $3|(q-1)$ , and let  $(\mathbf{L}, \lambda)$  be a unipotent 1-cuspidal pair corresponding to Line 8 of the  $E_6$ -table in [11].*

- (a) *There exists an irreducible character of positive 3-height in  $B = b_{\mathbf{G}^F}(\mathbf{L}, \lambda)$ . This contains  $Z(\mathbf{G}^F)$  in its kernel when  $q \equiv 1 \pmod{9}$ .*
- (b) *If  $q \not\equiv 1 \pmod{9}$ , then there exists an irreducible character in  $B$  with  $Z(\mathbf{G}^F)$  in its kernel and which is not stable under the outer diagonal automorphism of  $\mathbf{G}^F$ .*

An analogous result holds for  $\mathbf{G}^F = {}^2E_6(q)$  with 3 dividing  $q + 1$ .

*Proof.* There exists  $t \in \mathbf{G}_3^{*F}$  such that  $\mathbf{M}^* := C_{\mathbf{G}^*}(t)$  is a 1-split Levi subgroup of  $\mathbf{G}^*$  of type  $D_5$  containing  $\mathbf{L}^*$ , which is contained in  $[\mathbf{G}^{*F}, \mathbf{G}^{*F}]$  if and only if  $q \equiv 1 \pmod{9}$ , see e.g. [17]. Denoting by  $\mathbf{M} \geq \mathbf{L}$  an  $F$ -stable Levi subgroup of  $\mathbf{G}$  in duality with  $\mathbf{M}^*$  and by  $\hat{t}$  the linear character of  $\mathbf{M}^F$  corresponding to  $t$  we thus have that  $Z(\mathbf{G}^F)$  is contained in the kernel of  $\hat{t}$  if  $q \equiv 1 \pmod{9}$ . Moreover there is an irreducible constituent  $\eta$  of  $R_{\mathbf{L}}^{\mathbf{M}}(\lambda)$  such that  $\psi := \epsilon_{\mathbf{M}} \epsilon_{\mathbf{G}} R_{\mathbf{M}}^{\mathbf{G}}(\hat{t}\eta)$  has  $\psi(1)_3 > \chi(1)_3$  for any  $\chi \in \mathcal{E}(\mathbf{G}^F, 1) \cap \mathrm{Irr}(B)$ . Now

$$d^{1, \mathbf{G}^F}(\psi) = \pm d^{1, \mathbf{G}^F}(R_{\mathbf{M}}^{\mathbf{G}}(\hat{t}\eta)) = \pm R_{\mathbf{M}}^{\mathbf{G}}(d^{1, \mathbf{M}^F}(\hat{t}\eta)) = \pm R_{\mathbf{M}}^{\mathbf{G}}(d^{1, \mathbf{M}^F}(\eta)) = d^{1, \mathbf{G}^F}(R_{\mathbf{M}}^{\mathbf{G}}(\eta)).$$

Since  $\eta$  is a constituent of  $R_{\mathbf{L}}^{\mathbf{M}}(\lambda)$  and  $\mathbf{M}$  is 1-split in  $\mathbf{G}$ , the positivity of 1-Harish-Chandra theory yields that every constituent of  $R_{\mathbf{M}}^{\mathbf{G}}(\eta)$  is a constituent of  $R_{\mathbf{L}}^{\mathbf{G}}(\lambda)$  and hence in particular  $\psi$  is in  $\mathrm{Irr}(B)$ , proving (a).

Now assume that  $q \not\equiv 1 \pmod{9}$ . Again by [17] there is  $t' \in \mathbf{G}_3^{*F}$  such that  $C_{\mathbf{G}^*}^\circ(t') = \mathbf{L}^*$ , and  $|C_{\mathbf{G}^*}^\circ(t')/C_{\mathbf{G}^*}^\circ(t')| = 3$ . Let  $\psi'$  be an irreducible constituent of  $R_{\mathbf{L}}^{\mathbf{G}}(\hat{t}'\lambda)$  for  $\lambda \in \mathcal{E}(\mathbf{L}^F, 1)$  and  $\hat{t}'$  in duality with  $t'$ . Then  $\psi'$  is not stable under the diagonal automorphism of  $\mathbf{G}^F$ , and it lies in  $B$  by the same argument as for  $\psi$ . The arguments for  ${}^2E_6(q)$  are entirely similar.  $\square$

**Lemma 2.15.** *Let  $\mathbf{G}^F = \mathrm{SL}_2(q)$  with  $q$  odd. The principal 2-block  $B$  of  $\mathbf{G}^F$  contains an irreducible character of even degree. If  $q \equiv 1 \pmod{4}$ , then there exists an irreducible character of even degree in  $B$  which contains  $Z(\mathbf{G}^F)$  in its kernel. If  $q \equiv 3 \pmod{4}$  then there exists an irreducible character in  $B$  which contains  $Z(\mathbf{G}^F)$  in its kernel and which is not stable under the outer diagonal automorphism of  $\mathbf{G}^F$ .*

*Proof.* This follows the lines of the proof of Lemma 2.13.  $\square$



**Lemma 2.16.** *Let  $\mathbf{G}$  be simple, simply connected of type  $E_7$ ,  $4|(q-1)$ , and let  $(\mathbf{L}, \lambda)$  be a unipotent 1-cuspidal pair corresponding to Line 3 of the  $E_7$ -table in [11].*

- (a) *There exists an irreducible character of positive 2-height in  $B = b_{\mathbf{G}^F}(\mathbf{L}, \lambda)$ . This contains  $Z(\mathbf{G}^F)$  in its kernel when  $q \equiv 1 \pmod{8}$ .*
- (b) *If  $q \not\equiv 1 \pmod{8}$ , then there exists an irreducible character in  $B$  with  $Z(\mathbf{G}^F)$  in its kernel and which is not stable under the outer diagonal automorphism of  $\mathbf{G}^F$ .*

*An analogous result holds when  $4|(q+1)$  and  $(\mathbf{L}, \lambda)$  is a unipotent 2-cuspidal pair corresponding to Line 7 of the  $E_7$ -table in [11].*

*Proof.* There exists  $t \in \mathbf{G}_2^{*F}$  of order 4 such that  $\mathbf{M}^* := C_{\mathbf{G}^*}(t)$  is a 1-split Levi subgroup of  $\mathbf{G}^*$  of type  $E_6$  containing  $\mathbf{L}^*$ , which is contained in  $[\mathbf{G}^{*F}, \mathbf{G}^{*F}]$  if and only if  $q \equiv 1 \pmod{8}$ . As in the proof of Lemma 2.14, this gives rise to a character as in (a). For (b), consider the involution  $t' \in \mathbf{L}^{*F}$  with  $C_{\mathbf{G}^*}^\circ(t') = \mathbf{L}^*$  and  $|C_{\mathbf{G}^*}(t')/C_{\mathbf{G}^*}^\circ(t')| = 2$ . This lies in  $[\mathbf{G}^{*F}, \mathbf{G}^{*F}]$  (see [17]), and thus again arguing as before we find  $\psi' \in \text{Irr}(B)$  as in (b). The arguments for  $4|(q+1)$  are entirely similar.  $\square$

**2.5. The height zero conjecture for unipotent blocks.** We need the following general observation on covering blocks.

**Lemma 2.17.** *Let  $G$  be a finite group,  $b$  an  $\ell$ -block of  $G$ ,  $H$  a normal subgroup of  $G$  and  $c$  a block of  $H$  covered by  $b$ .*

- (a) *Suppose  $H$  has  $\ell'$ -index in  $G$ . Then a defect group of  $c$  is a defect group of  $b$ . Further,  $c$  has irreducible character degrees with different  $\ell$ -height if and only if  $b$  does.*
- (b) *Suppose that  $H = XY$  where  $X$  and  $Y$  are commuting normal subgroups such that  $X \cap Y$  is a central  $\ell'$ -subgroup of  $H$ . Let  $c_X$  be the block of  $X$  covered by  $c$  and let  $c_Y$  be the block of  $Y$  covered by  $c$ ,  $D_X$  a defect group of  $c_X$  and  $D_Y$  a defect group of  $c_Y$ . Then  $D_X D_Y$  is a defect group of  $c$ . In particular,  $D$  is non-abelian if and only if at least one of  $D_X$  or  $D_Y$  is non-abelian. Further,  $c$  has irreducible character degrees with different  $\ell$ -height if and only if one of  $c_X$  or  $c_Y$  does.*
- (c) *Suppose  $G = HU$  where  $U$  is a central  $\ell$ -subgroup of  $G$ . Then  $b$  has abelian defect groups if and only if  $c$  has abelian defect groups and  $b$  has irreducible characters of different  $\ell$ -height if and only if  $c$  does.*

*Proof.* Part (a) follows from the Clifford theory of characters and blocks (see for instance [25, Ch. 5, Thm. 5.10, Lem. 5.7 and 5.8]). Part (b) is immediate from the fact that  $H = XY$  is a quotient of  $X \times Y$  by a central  $\ell'$ -subgroup. In (c), every irreducible character of  $H$  extends to a character of  $G$ ,  $c$  is  $G$ -stable and  $b$  is the unique block of  $G$  covering  $c$ , and if  $D$  is a defect group of  $c$ , then  $DU$  is a defect group of  $b$ .  $\square$

**Theorem 2.18.** *Let  $Z$  be a central subgroup of  $\mathbf{G}^F$  and let  $\bar{B}$  be a block of  $\mathbf{G}^F/Z$  dominated by a unipotent block  $B$  of  $\mathbf{G}^F$ . Suppose that  $\bar{B}$  has non-abelian defect groups. Then  $\bar{B}$  has irreducible characters of different height.*

*Proof.* By Lemma 2.11,  $B$  has a unipotent character of height zero. Since  $Z$  is contained in the kernel of every unipotent character of  $\mathbf{G}^F$  it suffices to prove that there exists an irreducible character in  $\text{Irr}(B)$  of positive height and containing  $Z$  in its kernel.

By [11, Thm. A] there exists a unipotent  $e$ -cuspidal pair  $(\mathbf{L}, \lambda)$  of  $\mathbf{G}^F$  such that  $B = b_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  with  $\lambda$  of central  $\ell$ -defect, unique up to  $\mathbf{G}^F$ -conjugacy. Here note that the existence of such a pair for bad primes is only proved for  $\mathbf{G}$  simple and simply connected in [11], but by Lemma 2.12, the conclusion carries over to arbitrary  $\mathbf{G}$ . Suppose first that  $\ell \geq 5$ . By Lemmas 2.6 and 2.9,  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  is not an  $\ell'$ -group. Thus, by Lemmas 2.10 and 2.8 there are irreducible unipotent characters of different height in  $\mathcal{E}(\mathbf{G}^F, (\mathbf{L}, \lambda))$ . This proves the claim as  $Z$  is in the kernel of all unipotent characters.

We assume from now on that  $\ell \leq 3$ . Without loss of generality, we may assume that  $Z$  is an  $\ell$ -group. We let  $\mathbf{G}$  be a counter-example to the theorem of minimal semisimple rank. Let  $\mathbf{X}$  be the product of an  $F$ -orbit of simple components of  $[\mathbf{G}, \mathbf{G}]$ , and  $\mathbf{Y}$  be the product of the remaining components of  $[\mathbf{G}, \mathbf{G}]$  (if any) with  $Z^\circ(\mathbf{G})$ . Then  $\mathbf{G} = \mathbf{X}\mathbf{Y}$  and  $\mathbf{X}^F\mathbf{Y}^F$  is a normal subgroup of  $\mathbf{G}^F$  of index  $|\mathbf{X}^F \cap \mathbf{Y}^F| = |Z(\mathbf{X}^F) \cap Z(\mathbf{Y}^F)|$ . Denote by  $B_{\mathbf{X}}$  the unique block (also unipotent) of  $\mathbf{X}^F$  covered by  $B$  and let  $B_{\mathbf{Y}}$  be defined similarly. Let  $\bar{B}_{\mathbf{X}}$  be the block of  $\mathbf{X}^F Z/Z \cong \mathbf{X}^F/(Z \cap \mathbf{X}^F)$  dominated by  $B_{\mathbf{X}}$  and let  $\bar{B}_{\mathbf{Y}}$  be defined similarly.

Let  $\eta \in \text{Irr}(B_{\mathbf{X}})$  with  $Z \cap \mathbf{X}^F \leq \ker(\eta)$ . We claim that  $\eta$  is  $\mathbf{G}^F$ -stable and is of height zero in  $B_{\mathbf{X}}$ . Indeed, let  $\tau_{\mathbf{X}} \in \text{Irr}(B_{\mathbf{X}}) \cap \mathcal{E}(\mathbf{X}^F, 1)$  and  $\tau_{\mathbf{Y}} \in \text{Irr}(B_{\mathbf{Y}}) \cap \mathcal{E}(\mathbf{Y}^F, 1)$  be of height zero (see Lemma 2.11) and let  $\tau \in \text{Irr}(B) \cap \mathcal{E}(\mathbf{G}^F, 1)$  be the unique unipotent extension of  $\tau_{\mathbf{X}}\tau_{\mathbf{Y}}$  to  $\mathbf{G}^F$ . Since  $Z$  is central,  $\eta$  extends to an irreducible character, say  $\hat{\eta}$  of  $\mathbf{X}^F Z$  with  $Z$  in its kernel. Since  $Z$  is an  $\ell$ -group, there is a unique block of  $\mathbf{X}^F Z$  covering  $B_{\mathbf{X}}$ , and this block is necessarily covered by  $B$ . Let  $\psi$  be an irreducible character of  $B$  lying above  $\hat{\eta}$ . Then  $Z \leq \ker(\psi)$ . Any irreducible constituent of the restriction of  $\psi$  to  $\mathbf{X}^F\mathbf{Y}^F$  is of the form  $\eta\eta'$ , with  $\eta' \in B_{\mathbf{Y}}$  and

$$\psi(1) = a|\mathbf{G}^F : I_{\mathbf{G}^F}(\eta\eta')|\eta(1)\eta'(1)$$

for some integer  $a$  (in fact  $a = 1$  but we will not use this here). Since  $\psi(1)_\ell = \tau(1)_\ell = \tau_{\mathbf{X}}(1)_\ell\tau_{\mathbf{Y}}(1)_\ell$  and since  $\tau_{\mathbf{X}}$  and  $\tau_{\mathbf{Y}}$  are of height zero, it follows from the above that  $\eta$  is of height zero and that  $|\mathbf{G}^F : I_{\mathbf{G}^F}(\eta\eta')|$  is not divisible by  $\ell$ . But  $|\mathbf{G}^F : I_{\mathbf{G}^F}(\eta\eta')|$  is divisible by  $|\mathbf{G}^F : I_{\mathbf{G}^F}(\eta)|$  and the latter index is a power of  $\ell$  since  $\eta \in \mathcal{E}_\ell(\mathbf{X}^F, 1)$ . Thus,  $\eta$  is  $\mathbf{G}^F$ -stable as claimed. Similarly, one sees that if  $\zeta \in \text{Irr}(B_{\mathbf{Y}})$  with  $Z \cap \mathbf{Y}^F \leq \ker(\zeta)$ , then  $\zeta$  is  $\mathbf{G}^F$ -stable and is of height zero in  $B_{\mathbf{Y}}$ . In particular, all elements of  $\text{Irr}(\bar{B}_{\mathbf{X}})$  and of  $\text{Irr}(\bar{B}_{\mathbf{Y}})$  are of height zero.

Suppose that  $\ell = 3$ . By Lemma 2.6 and 2.9,  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  has order divisible by 3. Thus, by Lemma 2.4, there exists  $\mathbf{X}$  such that  $|W_{\mathbf{X}^F}(\mathbf{L}_{\mathbf{X}}, \lambda_{\mathbf{X}})|$  is divisible by 3 where  $(\mathbf{L}_{\mathbf{X}}, \lambda_{\mathbf{X}})$  is the unipotent  $e$ -cuspidal pair of  $\mathbf{X}^F$  corresponding to  $(\mathbf{L}, \lambda)$  by Lemmas 2.4 and 2.5, necessarily of central  $\ell$ -defect. By Lemma 2.8,  $W_{\mathbf{X}^F}(\mathbf{L}_{\mathbf{X}}, \lambda_{\mathbf{X}}) \cong \mathfrak{S}_3$ ,  $|Z(\mathbf{X}^F)|$  is divisible by 3 and either the components of  $\mathbf{X}$  are of type  $A_2$  or of type  $E_6$ . Without loss of generality, we may assume that  $\mathbf{X}$  is simple. Suppose first that  $\mathbf{X}$  is simple of type  $A_2$ . By Lemma 2.8,  $\mathbf{X} = \mathbf{X}_{\mathbf{a}}$  in the notation of [6]. Hence, by [4, Thm. 13],  $B$  is the principal block of  $B_{\mathbf{X}}$ . As has been shown above, every irreducible character of  $\mathbf{X}^F$  which contains  $\mathbf{X}^F \cap Z$  in its kernel has height zero and is stable under  $\mathbf{G}^F$ . By Lemma 2.13 it follows that  $Z \cap \mathbf{X}^F \neq 1, 3 \mid (q-1)$  (respectively  $3 \mid (q+1)$ ) and that  $\mathbf{G}^F$  induces inner automorphisms of  $\mathbf{X}^F$ , that is  $\mathbf{G}^F = \mathbf{X}^F\mathbf{Y}^F U$  for some central subgroup  $U$  of  $\mathbf{G}^F$ . Since  $Z \cap \mathbf{X}^F \neq 1$ ,  $\mathbf{X}^F/(Z \cap \mathbf{X}^F) \cong L_3(q)$  (respectively  $U_3(q)$ ) and  $\mathbf{X}^F/(Z \cap \mathbf{X}^F)$  is a direct factor of  $\mathbf{G}^F/Z$ . Further,  $\mathbf{X}^F/(Z \cap \mathbf{X}^F)$  has abelian Sylow 3-subgroups. Since  $U$  is central in  $\mathbf{G}^F$ , it follows

by Lemma 2.17 that the block  $\bar{B}_{\mathbf{Y}}$  of  $\mathbf{Y}^F/(Z \cap \mathbf{Y}^F)$  has non-abelian defect groups. On the other hand, it has been shown above that all irreducible characters of  $\bar{B}_{\mathbf{Y}}$  are of height zero. Hence,  $\mathbf{Y}^F/(Z \cap \mathbf{Y}^F)$  is a counter-example to the theorem. But the semisimple rank of  $\mathbf{Y}$  is strictly smaller than that of  $\mathbf{G}$ , a contradiction. Exactly the same argument works for the case that the components of  $\mathbf{X}$  are of type  $E_6$  by replacing Lemma 2.13 with Lemma 2.14.

Suppose now that  $\ell = 2$  and that the components of  $\mathbf{X}$  are of classical type. Then  $\mathbf{X}^F$  has a unique unipotent 2-block, namely the principal block and it follows by the above that all unipotent character degrees of  $\mathbf{X}^F$  are odd. Thus, the components of  $\mathbf{X}$  are of type  $A_1$ , so  $\mathbf{X}^F$  is either  $\mathrm{PGL}_2(q^d)$  or  $\mathrm{SL}_2(q^d)$  for some  $d$ . Again we are done by the same arguments as above using Lemma 2.15. Thus, we may assume that all components of  $\mathbf{G}$  are of exceptional type. By Lemmas 2.6 and 2.9,  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  has even order and by Lemma 2.4, there exists  $\mathbf{X}$  such that  $|W_{\mathbf{X}^F}(\mathbf{L}_{\mathbf{X}}, \lambda_{\mathbf{X}})|$  is divisible by 2 where  $(\mathbf{L}_{\mathbf{X}}, \lambda_{\mathbf{X}})$  is the unipotent  $e$ -cuspidal pair of  $\mathbf{X}^F$  corresponding to  $(\mathbf{L}, \lambda)$  necessarily of central  $\ell$ -defect. Since  $\mathbf{X}$  is of exceptional type, Lemma 2.8(b) gives that  $\mathbf{L}_{\mathbf{X}}$  is of type  $E_6$  and  $\lambda_{\mathbf{X}}$  corresponds to either line 3 or 7 of the  $E_7$ -table of [11, p. 354]. Then we are done by the same arguments as above using Lemma 2.16.  $\square$

**2.6. General blocks.** We also need to deal with the so-called quasi-isolated blocks of exceptional groups of Lie type.

**Proposition 2.19.** *Assume that  $\mathbf{G}^F$  is of exceptional Lie type and  $\ell$  is a bad prime different from the defining characteristic. Let  $Z$  be a central subgroup of  $\mathbf{G}^F$  and let  $\bar{B}$  be an  $\ell$ -block of  $\mathbf{G}^F/Z$  dominated by a quasi-isolated non-unipotent block  $B$  of  $\mathbf{G}^F$ . If  $\bar{B}$  has non-abelian defect groups, then  $\mathrm{Irr}(\bar{B})$  contains characters of positive height.*

*Proof.* We first deal with the case that  $Z = 1$ , so  $\bar{B} = B$ . Here, the quasi-isolated blocks for bad primes were classified in [15, Thm. 1.2]. Any such block is of the form  $B = b_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  for a suitable  $e$ -cuspidal pair  $(\mathbf{L}, \lambda)$  in  $\mathbf{G}$ , in such a way that all constituents of  $R_{\mathbf{L}}^{\mathbf{G}}(\lambda)$  lie in  $b_{\mathbf{G}^F}(\mathbf{L}, \lambda)$ , and the defect groups are abelian if and only if the relative Weyl group  $W_{\mathbf{G}^F}(\mathbf{L}, \lambda)$  has order prime to  $\ell$ .

It is easily checked from the tables in [15] that all blocks  $B$  occurring in the situation of [15, Thm. 1.2] have the following property: either the characters in  $B \cap \mathcal{E}(\mathbf{G}^F, \ell')$  lie in at least two different  $e$ -Harish-Chandra series, above  $e$ -cuspidal characters the  $\ell$ -part of whose degrees is different, or the relative Weyl group has an irreducible character whose degree is divisible by  $\ell$ . In the first case, the claim follows since then by inspection there are characters in  $\mathrm{Irr}(B) \cap \mathcal{E}(\mathbf{G}^F, \ell')$  the  $\ell$ -part of whose degrees is different. In the second case, let  $s \in \mathbf{G}^{*F}$  be a semisimple (quasi-isolated)  $\ell'$ -element such that  $\mathrm{Irr}(B) \subseteq \mathcal{E}_{\ell}(\mathbf{G}^F, s)$ . Lusztig's Jordan decomposition [18, Prop. 5.1] (see also [10, Rem. 13.24]) gives an  $\ell$ -defect preserving bijection from  $\mathcal{E}(\mathbf{G}^F, s)$  to the unipotent characters of the (possibly disconnected) centraliser  $\mathbf{C} = C_{\mathbf{G}^*}(s)$  of  $s$ . By the results of [15, Thm. 1.2] this bijection sends  $B \cap \mathcal{E}(\mathbf{G}^F, s)$  to a unipotent  $e$ -Harish-Chandra series in  $\mathcal{E}(\mathbf{C}^F, 1)$  (see [20, Thm 4.6] for  $e$ -Harish-Chandra series for disconnected groups), and the corresponding relative Weyl groups are isomorphic. Since the relative Weyl group has a character with degree divisible by  $\ell$ , a straightforward generalisation of the arguments in [20, Cor. 6.6] shows that the relevant  $e$ -Harish-Chandra series in  $\mathcal{E}(\mathbf{C}^F, 1)$  contains characters the  $\ell$ -part of whose degrees is different, and so there also exist characters in  $B$  of different height.

Now assume that  $Z(\mathbf{G}^F) \neq 1$  and  $Z = Z(\mathbf{G}^F)$ , so that  $\mathbf{G}$  is either of type  $E_6$  and  $\ell = 3$ , or of type  $E_7$  and  $\ell = 2$ . The only quasi-isolated block to consider for type  $E_6$  is the one numbered 13 in [15, Tab. 3], respectively its Ennola dual in  ${}^2E_6$ . Since here the relative Weyl group has an irreducible character of degree divisible by 3, we get characters of different height in  $\text{Irr}(B) \cap \mathcal{E}(\mathbf{G}^F, \ell')$ , which have the centre in their kernel. Similarly, the only cases in  $E_7$  are the ones numbered 1 and 2 in [15, Tab. 4], for which the same argument applies.  $\square$

We can now show the Main Theorem for quasi-simple groups of Lie type. Let us write (BHZ2) for the assertion that blocks with all characters of height zero have abelian defect groups.

**Theorem 2.20.** *Suppose that  $\mathbf{G}$  is simple and simply connected, not of type  $A$ , and  $\ell \neq p$ . Then (BHZ2) holds for  $\mathbf{G}^F/Z$  for any central subgroup  $Z$  of  $\mathbf{G}^F$ .*

*Proof.* We may assume that  $Z$  is an  $\ell$ -group. The Suzuki groups and the Ree groups  ${}^2G_2(q^2)$  have no non-abelian Sylow subgroups for non-defining primes. The height zero conjecture for  $G_2(q)$ , Steinberg's triality groups  ${}^3D_4(q)$  and the Ree groups  ${}^2F_4(q^2)$  has been checked in [13, 9, 19]. Thus, we will assume that we are not in any of these cases.

Let  $B$  be an  $\ell$ -block of  $\mathbf{G}^F$  and  $\bar{B}$  the  $\ell$ -block of  $\mathbf{G}^F/Z$  dominated by  $B$ . We assume that  $\bar{B}$  has non-abelian defect groups. Let  $s \in \mathbf{G}^{*F}$  be a semisimple  $\ell'$ -element such that  $\text{Irr}(B) \subseteq \mathcal{E}_\ell(\mathbf{G}^F, s)$ . Let  $\mathbf{G}_1$  be a minimal  $F$ -stable Levi subgroup of  $\mathbf{G}$  such that  $C_{\mathbf{G}^*}(s) \leq \mathbf{G}_1^*$ , thus  $s$  is quasi-isolated in  $\mathbf{G}_1^*$ . Let  $C$  be a Bonnafé–Rouquier correspondent of  $B$  in  $\mathbf{G}_1^F$ , and  $\bar{C}$  the block of  $\mathbf{G}_1^F/Z$  dominated by  $C$ . By [15, Thm. 1.3] Jordan decomposition induces a defect preserving bijection between  $\text{Irr}(\bar{B})$  and  $\text{Irr}(\bar{C})$  and  $\bar{B}$  has abelian defect if and only if  $\bar{C}$  does. Thus it suffices to prove the result for  $C$ . In particular, by Theorem 2.18, we may assume that  $s$  is not central in  $\mathbf{G}_1$  and hence that  $C_{\mathbf{G}_1^*}(s) = C_{\mathbf{G}^*}(s)$  is not a Levi subgroup of  $\mathbf{G}_1^*$  (nor of  $\mathbf{G}^*$ ).

We first consider the case that  $Z(\mathbf{G})^F$  is an  $\ell'$ -group. Let  $\mathbf{G} \hookrightarrow \tilde{\mathbf{G}}$  be a regular embedding. If  $\mathbf{G}$  has connected center we let  $\mathbf{G} = \tilde{\mathbf{G}}$ . Let  $\tilde{B}$  be a block of  $\tilde{\mathbf{G}}^F$  covering  $B$  and let  $\tilde{s} \in \tilde{\mathbf{G}}^{*F}$  be a semisimple element such that  $\text{Irr}(\tilde{B}) \leq \mathcal{E}(\tilde{\mathbf{G}}^F, \tilde{s})$ . Then by Lemma 2.17 it suffices to prove that  $\tilde{B}$  has characters of different  $\ell$ -heights (note that  $Z = 1$  here). Further, let  $\tilde{\mathbf{G}}_1$  be an  $F$ -stable Levi subgroup of  $\tilde{\mathbf{G}}$  containing  $C_{\tilde{\mathbf{G}}^*}(\tilde{s})$  such that  $\tilde{s}$  is quasi-isolated in  $\tilde{\mathbf{G}}_1$  and let  $\tilde{C}$  be a Bonnafé–Rouquier correspondent of  $\tilde{B}$  in  $\tilde{\mathbf{G}}_1^F$ . By [15, Thm. 7.12, Prop. 7.13(b)],  $\tilde{C}$  has non-abelian defect groups. Hence it suffices to prove that  $\tilde{C}$  has irreducible characters of different  $\ell$ -heights. By the same reasoning as above, we may assume that  $\tilde{s}$  is not central in  $\tilde{\mathbf{G}}_1$  and hence that  $C_{\tilde{\mathbf{G}}_1^*}(\tilde{s}) = C_{\tilde{\mathbf{G}}^*}(\tilde{s})$  is not a Levi subgroup of  $\tilde{\mathbf{G}}_1^*$  (nor of  $\tilde{\mathbf{G}}^*$ ).

If moreover  $\ell$  is odd and good for  $\tilde{\mathbf{G}}_1$ , then by [12], there is a defect preserving bijection between  $\text{Irr}(\tilde{C})$  and  $\text{Irr}(C_0)$  for a unipotent block  $C_0$  of  $C_{\tilde{\mathbf{G}}_1^*}(\tilde{s})^F$  whose defect groups are isomorphic to those of  $\tilde{C}$  and the result follows by Theorem 2.18. Enguehard has informed us that the prime 3 should have been excluded from the results of [12]. However, for classical groups with connected center Jordan decomposition commutes with Lusztig induction (see for instance appendix to latest version of [12]) and hence by [5, Thm. 2.5] and [7, 5.1, 5.2] the prime 3 may be included in the above.

Thus, we may assume that if  $\ell$  is odd and  $Z(\mathbf{G})$  is an  $\ell'$ -group, then  $\ell$  is bad for  $\tilde{\mathbf{G}}_1$  and hence for  $\tilde{\mathbf{G}}$  and  $\mathbf{G}$ . We now consider the various cases. Suppose that  $\mathbf{G}$  is classical of type  $B, C, D$ . If  $\ell = 2$ , then  $s$  has odd order and  $C_{\mathbf{G}^*}(s)$  is a Levi subgroup of  $\mathbf{G}^*$ , a contradiction. If  $\ell$  is odd, then  $\ell$  is good for  $\mathbf{G}$ . On the other hand,  $Z(\mathbf{G})$  is a 2-group, a contradiction.

So,  $\mathbf{G}$  is of exceptional type. If  $\ell$  is good for  $\mathbf{G}$ , then  $\ell \geq 5$ , and in all cases  $Z(\mathbf{G})$  is an  $\ell'$ -group, a contradiction. Thus  $\ell$  is bad for  $\mathbf{G}$ . Then by Proposition 2.19,  $\mathbf{G}_1$  is proper in  $\mathbf{G}$ . Suppose that  $\ell = 5$  and so  $\mathbf{G}$  is of type  $E_8$ . Since  $Z(\mathbf{G}) = 1$ , 5 is bad for  $\mathbf{G}_1$ . Thus  $\mathbf{G} = \mathbf{G}_1$ , a contradiction.

Now assume that  $\ell = 3$ . Suppose that  $\mathbf{G}$  is of type  $F_4$ . Then all components of  $[\mathbf{G}_1, \mathbf{G}_1]$  are classical, hence 3 is good for  $\mathbf{G}_1$  and  $Z(\mathbf{G})$  is connected, a contradiction.

Suppose  $\mathbf{G}$  is of type  $E_6$ . If all components of  $\mathbf{G}_1$  are of type  $A$ , then  $C_{\mathbf{G}_1^*}(s)$  is a Levi subgroup of  $\mathbf{G}_1$ . On the other hand,  $Z(\mathbf{G}_1)/Z^\circ(\mathbf{G}_1) \leq Z(\mathbf{G})/Z^\circ(\mathbf{G})$  is a 3-group, and  $s$  is a 3'-element, hence  $C_{\mathbf{G}_1^*}(s)$  is connected. So,  $C_{\mathbf{G}_1^*}(s)$  is a Levi subgroup of  $\mathbf{G}_1^*$ , a contradiction. Suppose  $\mathbf{G}_1$  has a component, say  $\mathbf{H}$  of type  $D_4$  or  $D_5$ . So  $\mathbf{G}_1 = \mathbf{H}Z^\circ(\mathbf{G}_1)$ . Since the centre of  $\mathbf{H}$  is a 2-group, by Lemma 2.17 we may replace  $\mathbf{G}_1^F/Z$  with the direct product of  $\mathbf{H}^F$  and  $Z^\circ(\mathbf{G}_1)/Z$ . Since (BHZ2) has been shown to be true for  $\mathbf{H}^F$  above (here note that  $\mathbf{H}$  is simply-connected),  $\mathbf{H}^F$  has abelian Sylow 3-subgroups and we are done.

Suppose  $\mathbf{G}$  is of type  $E_7$ . Then  $|Z(\mathbf{G})| = 2$ , hence 3 is bad for  $\tilde{\mathbf{G}}_1$  and it follows that  $[\mathbf{G}_1, \mathbf{G}_1]$  is of type  $E_6$  (note that if  $\mathbf{G}_1$  is proper in  $\mathbf{G}$  then  $\tilde{\mathbf{G}}_1$  is proper in  $\tilde{\mathbf{G}}$ ). Denoting by  $\bar{s}$  the image of  $s$  in  $[\mathbf{G}_1, \mathbf{G}_1]^*$  and by  $D$  a block of  $[\mathbf{G}_1, \mathbf{G}_1]^F$  covered by  $C$ , one sees that  $D$  corresponds to one of the lines 13, 14, 15 of Table 3 of [15]. If  $D$  corresponds to one of the lines 13 or 14, there are irreducible characters of different 3-heights in  $\mathcal{E}([\mathbf{G}_1, \mathbf{G}_1]^F, \bar{s}) \cap \text{Irr}(D)$ . But since  $\mathbf{G}_1$  has connected centre, and since  $Z([\mathbf{G}_1, \mathbf{G}_1])/Z^\circ([\mathbf{G}_1, \mathbf{G}_1])$  is a 3-group and  $s$  has order prime to 3, all characters in  $\mathcal{E}([\mathbf{G}_1, \mathbf{G}_1]^F, \bar{s})$  are  $\mathbf{G}_1^F$ -stable and extend to irreducible characters of  $\mathbf{G}_1^F$  (see [2, Cor. 11.13]). All irreducible characters of  $\mathbf{G}_1^F$  covering the same irreducible character of  $[\mathbf{G}_1, \mathbf{G}_1]^F$  have the same degree and every element of  $\text{Irr}(D)$  is covered by an element of  $\mathcal{E}(\mathbf{G}_1^F, s) \cap \text{Irr}(C)$ . Thus there exist elements in  $\text{Irr}(C) \cap \mathcal{E}(\mathbf{G}_1^F, s)$  of different 3-heights. If  $D$  corresponds to line 15, then 3 does not divide the order of  $Z(\mathbf{G}_1^F)$ . Hence,  $\mathbf{G}_1^F = Z^\circ(\mathbf{G}_1^F) \times [\mathbf{G}_1, \mathbf{G}_1]^F$ . By [15, Prop. 4.3],  $D$  has abelian defect groups hence so does  $C$  and there is nothing to prove.

If  $\mathbf{G}$  is of type  $E_8$ , then exactly the same arguments as in the  $E_7$  case apply hence we are left with one of the following cases:  $[\mathbf{G}_1, \mathbf{G}_1]$  is of type  $E_6 + A_1$  or of type  $E_7$ . In the former case, by Lemma 2.17 we may assume that the fixed point subgroup of the component of type  $A_1$  is a direct factor of  $\mathbf{G}_1^F$  and so has abelian Sylow 3-subgroups. Therefore, we may assume that  $[\mathbf{G}_1, \mathbf{G}_1]$  is of type  $E_6$  and we are done by the same argument as in the case that  $\mathbf{G}$  is of type  $E_7$ . If  $[\mathbf{G}_1, \mathbf{G}_1]$  has type  $E_7$ , then

$$|\mathbf{G}_1^F : [\mathbf{G}_1, \mathbf{G}_1]^F Z^\circ(\mathbf{G}_1)^F| = |[\mathbf{G}_1, \mathbf{G}_1]^F \cap Z^\circ(\mathbf{G}_1)^F| = 2,$$

hence by Lemma 2.17 we may assume that  $\mathbf{G}_1$  is simple of type  $E_7$ , and we are done by Proposition 2.19.

Finally suppose that  $\ell = 2$ . In case  $\mathbf{G}$  is of type  $E_6$ , we may replace  $\mathbf{G}$  by  $\tilde{\mathbf{G}}$  by Lemma 2.17 and still keep the assumption that  $\tilde{\mathbf{G}}_1$  is proper in  $\tilde{\mathbf{G}}$ . Thus, either  $Z(\mathbf{G})$  is connected or  $Z(\mathbf{G})/Z^\circ(\mathbf{G})$  has order 2 (in case  $\mathbf{G}$  is of type  $E_7$ ). Consequently, since  $s$

has odd order,  $C_{\mathbf{G}_1^*}(s) = C_{\mathbf{G}^*}(s)$  is connected. Thus, if all components of  $[\mathbf{G}_1, \mathbf{G}_1]$  are of classical type, then  $C_{\mathbf{G}_1^*}(s)$  is a Levi subgroup of  $\mathbf{G}_1^*$ , a contradiction. We are left with the following cases:  $\mathbf{G}$  is of type  $E_7$  and  $[\mathbf{G}_1, \mathbf{G}_1]$  is of type  $E_6$ , or  $\mathbf{G}$  is of type  $E_8$  and  $[\mathbf{G}_1, \mathbf{G}_1]$  is of type  $E_6$ ,  $E_6 + A_1$  or  $E_7$ .

Suppose that  $[\mathbf{G}_1, \mathbf{G}_1]$  is of type  $E_6$ . Since  $C_{\mathbf{G}_1^*}(s)$  is connected and  $s$  is quasi-isolated in  $\mathbf{G}_1^*$ ,  $C_{\mathbf{G}_1^*}(s)$  has the same semisimple rank as  $\mathbf{G}_1^*$ . Thus,  $\bar{s}$  and  $D$  correspond to one of the lines 1, 2, 6, 7, 8 or 12 of Table 3 of [15]. In all of these cases, there are characters in  $\mathcal{E}([\mathbf{G}_1, \mathbf{G}_1]^F, \bar{s}) \cap \text{Irr}(D)$  of different 2-heights. Since  $Z(\mathbf{G})/Z^\circ(\mathbf{G})$  is a 2-group, every element of  $\mathcal{E}([\mathbf{G}_1, \mathbf{G}_1]^F, \bar{s}) \cap \text{Irr}(D)$  extends to an element of  $\text{Irr}(C) \cap \mathcal{E}(\mathbf{G}_1^F, s)$ . Since  $Z$  is in the kernel of all characters in  $\mathcal{E}(\mathbf{G}_1^F, s)$ ,  $\bar{B}$  has characters of different 2-heights and we are done.

Suppose  $\mathbf{G}$  is of type  $E_8$  and  $[\mathbf{G}_1, \mathbf{G}_1]$  is of type  $E_6 + A_1$ . Then by Lemma 2.17, we may assume that  $\mathbf{G}_1^F = \mathbf{H}_1^F \times \mathbf{H}_2^F$ , where  $\mathbf{H}_1^F$  is isomorphic to  $E_6(q)$  or  ${}^2E_6(q)$ ,  $\mathbf{H}_2$  has connected center and  $[\mathbf{H}_2, \mathbf{H}_2]$  has a single component of type  $A_1$ . Since the block of  $\mathbf{H}_2^F$  covered by  $C$  is quasi-isolated, we may assume that  $C$  covers a unipotent (in fact the principal) block of  $\mathbf{H}_2^F$ . If  $\mathbf{H}_2^F/Z$  has non-abelian Sylow 2-subgroups, then we are done by Theorem 2.18. If the block of  $\mathbf{H}_1^F$  covered by  $C$  has non-abelian defect groups, then we are done by Proposition 2.19.

Finally, assume that  $\mathbf{G}$  is of type  $E_8$  and  $[\mathbf{G}_1, \mathbf{G}_1]$  is of type  $E_7$ . Since  $s$  is not central in  $\mathbf{G}_1$ ,  $1 \neq \bar{s}$  is a quasi-isolated element of  $[\mathbf{G}_1, \mathbf{G}_1]^*$ . By Table 5 of [15] the block  $D$  of  $[\mathbf{G}_1, \mathbf{G}_1]^F$  has non-abelian defect groups. Now we are done by the same argument as given at the end of Proposition 2.19.  $\square$

### 3. BRAUER'S HEIGHT ZERO CONJECTURE FOR QUASI-SIMPLE GROUPS

*Proof of the Main Theorem.* We invoke the classification of finite simple groups. One direction of the assertion has been shown in [15, Thm. 1.1]. So we may now assume that all  $\chi \in \text{Irr}(B)$  have height zero. We need to show that  $B$  has abelian defect groups. If  $S$  is a covering group of a sporadic simple group or of  ${}^2F_4(2)'$  it can be checked using the tables in [8] that the only  $\ell$ -blocks with defect groups of order at least  $\ell^3$  and all characters in  $\text{Irr}(B)$  of height zero are the principal 2-block of  $J_1$ , the principal 3-block of  $O'N$  and a 2-block of  $Co_3$  with defect groups of order  $2^7$ . For the first two groups, Sylow  $\ell$ -subgroups are abelian, and the latter block has elementary abelian defect groups, see [16, §7].

Similarly, if  $S$  is an exceptional covering group of a finite simple group of Lie type, again by [8] there is no such block of positive defect at all.

The height zero conjecture for alternating groups  $\mathfrak{A}_n$ ,  $n \geq 7$ , and their covering groups was verified in [24], for example, except for the 2-blocks of the double covering  $2\mathfrak{A}_n$ . Since the height zero conjecture has been checked for the 2-blocks of  $\mathfrak{A}_n$  we know that the only 2-blocks of  $2\mathfrak{A}_n$  which could possibly consist of characters of height zero are those whose defect groups in  $\mathfrak{A}_n$  are abelian. But the latter have defect group of order at most 4, so the defect groups in  $2\mathfrak{A}_n$  have order at most 8, and for those the claim is again known by work of Olsson [23].

Now assume that  $S$  is of Lie type. If  $\ell$  is the defining characteristic of  $S$ , then the result is contained in Proposition 2.3. We may hence suppose that  $\ell$  is a non-defining prime. There, Brauer's height zero conjecture for groups of type  $A_n$  has been shown by Blau and Ellers [1]. For all the other types, the claim is shown in Theorem 2.20.  $\square$

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