

# STEINBERG-LIKE CHARACTERS FOR FINITE SIMPLE GROUPS

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ABSTRACT. Let  $G$  be a finite group and, for a prime  $p$ , let  $S$  be a Sylow  $p$ -subgroup of  $G$ . A character  $\chi$  of  $G$  is called  $\text{Syl}_p$ -regular if the restriction of  $\chi$  to  $S$  is the character of the regular representation of  $S$ . If, in addition,  $\chi$  vanishes at all elements of order divisible by  $p$ ,  $\chi$  is said to be Steinberg-like. For every finite simple group  $G$  we determine all primes  $p$  for which  $G$  admits a Steinberg-like character, except for alternating groups in characteristic 2. Moreover, we determine all primes for which  $G$  has a projective  $FG$ -module of dimension  $|S|$ , where  $F$  is an algebraically closed field of characteristic  $p$ .

## 1. INTRODUCTION

Let  $G$  be a finite group and, for a prime  $p$ , let  $S$  be a Sylow  $p$ -subgroup of  $G$ . A character  $\chi$  of  $G$  is called  $\text{Syl}_p$ -vanishing if  $\chi(u) = 0$  for every  $1 \neq u \in S$ ; and if, additionally,  $\chi(1) = |S|$  then we say that  $\chi$  is  $\text{Syl}_p$ -regular. If  $\chi(g) = 0$  whenever  $|g|$  is divisible by  $p$  then  $\chi$  is called  $p$ -vanishing; and if, additionally,  $\chi(1) = |S|$  then we say that  $\chi$  is Steinberg-like. Steinberg-like and  $\text{Syl}_p$ -regular characters for Chevalley groups in defining characteristic  $p$  are studied in [17]. Specifically, for all simple groups of Lie type in characteristic  $p$  except  $B_n(q)$ ,  $n = 3, 4, 5$ , and  $D_n(q)$ ,  $n = 4, 5$ , the Steinberg-like characters for the prime  $p$  have been determined in [17].

Our main motivation to study this kind of character is their connection with characters of projective indecomposable modules. The study of projective indecomposable modules of dimension  $|S|$  was initiated by Malle and Weigel [13]; they obtained a full classification of such modules for arbitrary finite simple groups  $G$  assuming that the character of the module has the trivial character  $1_G$  as a constituent. In [23], this restriction was removed for simple groups of Lie type with defining characteristic  $p$ . Some parts of the proofs there were valid not only for characters of projective modules, but also for Steinberg-like or even  $\text{Syl}_p$ -regular characters.

In this paper we complete the classification of projective indecomposable modules of dimension  $|S|$  for simple groups  $G$ . The first main result is a classification of Steinberg-like characters for simple groups, with the sole exception of alternating groups for the prime  $p = 2$ :

**Theorem 1.1.** *Let  $G$  be a finite non-abelian simple group,  $p$  a prime dividing  $|G|$  and let  $\chi$  be a Steinberg-like character of  $G$  with respect to  $p$ . Then one of the following holds:*

- (1)  $\chi$  is irreducible, and the triple  $(G, p, \chi(1))$  is as in Proposition 3.1;
- (2) Sylow  $p$ -subgroups of  $G$  are cyclic and  $(G, p, \chi(1))$  is as in Proposition 4.4;
- (3)  $G$  is of Lie type in characteristic  $p$  (see [17]);
- (4)  $p = 2$  and  $G = \text{PSL}_2(q)$  with  $q + 1 = 2^k$ ; or

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(5)  $p = 2$  and  $G = A_n$ ,  $n \geq 8$ .

In fact, in many instances we even classify all  $\text{Syl}_p$ -regular characters. Examples for case (5) when  $n = 2^k$  or  $2^k + 1$  are presented in Corollaries 6.8 and 6.10. We are not aware of any further examples.

Our second main result determines reducible projective modules of simple groups of minimal possible dimension  $|G|_p$ .

**Theorem 1.2.** *Let  $G$  be a finite non-abelian simple group,  $p$  a prime dividing  $|G|$  and  $S$  a Sylow  $p$ -subgroup of  $G$ . Then  $G$  has a reducible projective  $\overline{\mathbb{F}}_p G$ -module of dimension  $|S|$  if and only if one of the following holds:*

- (1)  $G = \text{PSL}_2(q)$ ,  $q > 4$ ,  $|S| = q + 1$ ;
- (2)  $G = \text{PSL}_n(q)$ ,  $n$  is an odd prime,  $n \nmid (q - 1)$ ,  $|S| = (q^n - 1)/(q - 1)$ ;
- (3)  $G = A_p$ ,  $|S| = p \geq 5$ ;
- (4)  $G = M_{11}$ ,  $|S| = 11$ ; or
- (5)  $G = M_{23}$ ,  $|S| = 23$ .

Note that irreducible projective  $\overline{\mathbb{F}}_p G$ -modules of dimension  $|G|_p$  are in bijection with irreducible characters of defect 0 of that degree, listed in Proposition 3.1 for simple groups.

The paper is built up as follows. After some preliminaries we recall the classification of irreducible Steinberg-like characters in Section 3 (Proposition 3.1). In Section 4 we classify  $\text{Syl}_p$ -regular characters in the case of cyclic Sylow  $p$ -subgroups (Proposition 4.4), in Section 5 we treat the sporadic groups (Theorem 5.1). The alternating groups are handled in Section 6 (Theorem 6.4 for  $p$  odd, and in Section 6.2 some partial results for  $p = 2$ , see Theorems 6.12 and 6.14). The exceptional groups of Lie type are considered in Section 7 (Theorem 7.1). The rest of our paper deals with the classical groups of Lie type. We start off in Section 8 by ruling out the remaining possibilities in defining characteristic from [17]. The case of large Sylow  $p$ -subgroups for non-defining primes  $p$  is settled in Section 9. In Section 10 we discuss the small cases when  $p > 2$ , while the proofs of our main theorems are achieved in Section 11 by treating the case when  $p = 2$ .

## 2. PRELIMINARIES

We start off by fixing some notation. Let  $\mathbb{F}_q$  be the finite field of  $q$  elements and  $\overline{\mathbb{F}}_q$  an algebraic closure of  $\mathbb{F}_q$ . The cardinality of a set  $X$  is denoted by  $|X|$ . The greatest common divisor of integers  $m, n$  is denoted by  $(m, n)$ ; if  $p$  is a prime then  $|n|_p$  is the  $p$ -part of  $n$ , that is,  $n = |n|_p m$ , where  $(m, p) = 1$ . If  $(m, n) = m$ , we write  $m|n$ .

For a finite group  $G$ ,  $\text{Irr}(G)$  is the set of its irreducible characters and  $\text{Irr}_1(G)$  is the set of all linear characters of  $G$  (that is, of degree 1). We denote by  $1_G$  the trivial character and by  $\rho_G^{\text{reg}}$  the regular character of  $G$ . We write  $S \in \text{Syl}_p(G)$  to mean that  $S$  is a Sylow  $p$ -subgroup of  $G$ . A group of order coprime to  $p$  is called a  $p'$ -group. Further,  $Z(G)$ ,  $G'$  denote the centre and the derived subgroup of  $G$ , respectively.

If  $H$  is a subgroup of  $G$  then  $C_G(H)$ ,  $N_G(H)$  denote the centraliser and normaliser of  $H$  in  $G$ , respectively. If  $\chi$  is a character of  $G$  then we write  $\chi|_H$  for the restriction of  $\chi$  to  $H$ . The  $H$ -level of  $\chi$  is the maximal integer  $l \geq 0$  such that  $\chi|_H - l \cdot \rho_H^{\text{reg}}$  is a proper character of  $H$ . If a prime  $p$  is fixed then the  $p$ -level  $l_p(\chi)$  of  $\chi$  is the  $S$ -level of  $\chi$  for  $S \in \text{Syl}_p(G)$ . (For quasi-simple groups with cyclic Sylow  $p$ -subgroups irreducible characters of  $p$ -level  $l = 1, 2$  are studied in

[22, 18], respectively.) The inner product of characters  $\lambda, \mu$  of  $G$  is denoted by  $(\lambda, \mu)$ , sometimes by  $(\lambda, \mu)_G$ . The character of  $G$  induced from a character  $\mu$  of  $H$  is denoted by  $\mu^H$ .

Let  $P \leq G$  be finite groups,  $N$  a normal subgroup of  $P$  and  $L = P/N$ . Let  $F$  be a field and  $M$  an  $FG$ -module. Then  $M^N := C_M(N)$  becomes an  $FL$ -module, which is called the *generalised restriction of  $M$  to  $L$*  and denoted by  $r_{P/N}^G M$  in [2, §70A, p. 667]. If  $\beta$  is the Brauer (or ordinary) character of  $M$  then we also write  $r_{P/N}^G \beta$  for the Brauer (or ordinary) character of  $L$  afforded by  $M^N$ .

Let  $e = e_p(q)$  ( $p > 2$ ,  $(p, q) = 1$ ) be the minimal integer  $i > 0$  such that  $q^i - 1$  is divisible by  $p$ . If  $p = 2$  and  $q$  is odd then we set  $e_2(q) = 1$  if  $4|(q-1)$  and  $e_2(q) = 2$  if  $4|(q+1)$ .

The next two lemmas follow from the definitions; here  $G$  is a finite group and  $S \in \text{Syl}_p(G)$ .

**Lemma 2.1.** *Let  $\chi$  be a  $\text{Syl}_p$ -regular character of  $G$ . Then every linear character occurs in  $\chi|_S$  with multiplicity 1. In particular,  $(\chi|_S, 1_S) = 1$ . If  $S$  is abelian then  $\chi|_S$  is multiplicity free.*

*Proof.* As  $\chi|_S = \rho_S^{\text{reg}}$ , this follows from the corresponding properties of  $\rho_S^{\text{reg}}$ .  $\square$

**Lemma 2.2.** *Let  $G = G_1 \times G_2$  be a direct product, and let  $\chi_1, \chi_2$  be irreducible characters of  $G_1, G_2$  respectively. Then the  $p$ -level of  $\chi_1 \otimes \chi_2$  is the product of the  $p$ -levels of  $\chi_1$  and  $\chi_2$ .*

**Lemma 2.3.** *Let  $N$  be a  $p'$ -subgroup of  $G$  normalised by  $S$ . Let  $\chi$  be a faithful Steinberg-like character of  $G$ . Then  $N$  is abelian and  $C_G(S) = Z(G)Z(S)$ .*

*Proof.* Let  $H = NS$ . Then  $\chi|_H$  is Steinberg-like. Since  $H$  is  $p$ -solvable, every  $p$ -vanishing character is the character of a projective module [15, Lemma 10.16]. As  $\chi(1) = |S|$ , the module in question is indecomposable. Then  $\chi|_H$  is induced from an irreducible character  $\alpha$ , say, of  $N$  [15, Thm. 10.13]. As

$$\alpha^H(1) = \alpha(1) \cdot |H : N| = \alpha(1) \cdot |S| \quad \text{and} \quad \chi(1) = |S|,$$

it follows that  $\alpha(1) = 1$ . Let  $N'$  be the derived subgroup of  $N$ . Then  $N'$  is normal in  $H$  and  $\alpha(N') = 1$ . Therefore,  $\alpha^H|_{N'} = |S| \cdot 1_{N'}$ , that is,  $N'$  lies in the kernel of  $\alpha^H$ . Since  $\chi$  and hence  $\chi|_H = \alpha^H$  is faithful, we have  $N' = 1$ . So  $N$  is abelian as claimed.

Note that  $C_G(S) = A \times Z(S)$ , where  $A$  is a  $p'$ -group. Take  $N = A$  above, so  $H = A \times S$ . So now  $[N, S] = 1$  and  $N$  is abelian. It follows that in any representation afforded by  $\alpha^H$ ,  $N$  consists of scalar matrices. As  $\chi$  is faithful, we have  $[N, G] = 1$ , as required.  $\square$

Thus, if  $G$  is a simple group then  $C_G(S) = Z(S)$  is a necessary condition for  $G$  to have a Steinberg-like character.

**Remark 2.4.** A  $p'$ -subgroup  $N$  normalised by a Sylow  $p$ -subgroup of  $G$  is called a  *$p$ -signaliser* in the theory of finite groups. Thus, Lemma 2.3 tells us that if  $G$  admits a faithful Steinberg-like character then every  $p$ -signaliser is abelian, and  $C_G(S) = Z(G)Z(S)$ .

**Lemma 2.5.** *Let  $G$  be a finite group,  $P$  a subgroup with  $(|G : P|, p) = 1$ ,  $U$  a normal  $p$ -subgroup of  $P$  and let  $L = P/U$ . Let  $T, S$  be Sylow  $p$ -subgroups of  $L, G$ , respectively. Let  $\chi$  be a character of  $G$  and  $\lambda = r_{P/U}^G(\chi)$ .*

- (a) *If  $\chi|_S = m \cdot \rho_S^{\text{reg}}$  then  $\lambda|_T = m \cdot \rho_T^{\text{reg}}$ . In other words,  $l_p(\chi) = l_p(\lambda)$ . In particular, if  $\chi$  is  $\text{Syl}_p$ -regular then so is  $\lambda$ .*
- (b) *If  $\chi$  is a  $p$ -vanishing character of  $G$  then  $\lambda$  is a  $p$ -vanishing character of  $L$ .*

- (c) Let  $K := O^{p'}(L)$ . If  $\chi$  is a Steinberg-like or  $\text{Syl}_p$ -regular character of  $G$  then so is the character  $\lambda|_K$  of  $K$ .

*Proof.* We can assume that  $S \leq P$  and  $T = S/U$ .

- (a) As  $\chi|_S = m \cdot \rho_S^{\text{reg}}$ , it follows that  $\lambda|_T$  coincides with  $m \cdot \rho_T^{\text{reg}}$ , whence the claim.  
 (b) We have to show that  $\lambda$  vanishes at all  $p$ -singular elements of  $L$ . Let  $M$  be a  $\mathbb{C}G$ -module afforded by  $\chi$ . Then  $C_M(U) = \{\frac{1}{|U|} \sum_{u \in U} ux \mid x \in M\}$ . Observe that if  $g \in P$  has projection to  $L$  which is not a  $p'$ -element, then  $gu$  is not a  $p'$ -element for any  $u \in U$ . Thus, for any such element  $g$ , it follows that  $\lambda(g) = \frac{1}{|U|} \sum_{u \in U} \chi(gu) = 0$  by assumption, whence the claim.  
 (c) Obvious.  $\square$

**Lemma 2.6.** *Let  $G = G_1 \times G_2$  be a direct product. Suppose that  $l_p(\sigma) \geq k$  for every non-zero  $\text{Syl}_p$ -vanishing (resp.,  $p$ -vanishing) character  $\sigma$  of  $G_2$ . Then  $l_p(\chi) \geq k$  for every  $\text{Syl}_p$ -vanishing (resp.,  $p$ -vanishing) character  $\chi$  of  $G$ .*

*Proof.* Let  $S_1 \in \text{Syl}_p(G_1)$ . Set  $U = S_1$  and  $P = N_G(U)$ , so  $P = N_{G_1}(S_1) \times G_2$ . Then  $L := P/U = L_1 \times G_2$ , where  $L_1 = N_{G_1}(S_1)/S_1$ . Let  $\chi$  be a  $\text{Syl}_p$ -vanishing (resp.,  $p$ -vanishing) character of  $G$ . Let  $\lambda = r_{P/U}^G(\chi)$  be the generalised restriction of  $\chi$  to  $L$ . By Lemma 2.5,  $\lambda$  is a  $\text{Syl}_p$ -vanishing (resp.,  $p$ -vanishing) character of  $L$  and  $l_p(\chi) = l_p(\lambda)$ . Then  $l_p(\lambda) = l_p(\lambda|_{G_2})$ , as  $L_1$  is a  $p'$ -group. By assumption,  $l_p(\lambda|_{G_2}) \geq k$ , whence the result.  $\square$

**Lemma 2.7.** *Let  $G = G_1 \times G_2$ , where  $|G_2|_p > 1$  and let  $\chi$  be a  $p$ -vanishing character of  $G$ . Then  $\chi = \sum_i \eta_i \sigma_i$ , where  $\eta_i \in \text{Irr}(G_1)$  are all distinct, and  $\sigma_i$  are  $p$ -vanishing characters of  $G_2$ . In addition,  $\chi_1 := \sum_i l_p(\sigma_i) \eta_i$  is a  $p$ -vanishing character of  $G_1$ , and  $l_p(\chi_1) = l_p(\chi)$ .*

*Proof.* Write  $\chi = \sum_i \eta_i \sigma_i$ , where  $\eta_i \in \text{Irr}(G_1)$  are all distinct, and the  $\sigma_i$ 's are some characters of  $G_2$  (reducible, in general). Let  $g \in G_1$ , and let  $x \in G_2$  be  $p$ -singular. Then  $0 = \chi(gx) = \sum_i \eta_i(g) \sigma_i(x)$ . As the characters  $\eta_i$  are linearly independent, it follows that  $\sigma_i(x) = 0$  for every  $i$ , that is, the  $\sigma_i$ 's are  $p$ -vanishing.

In addition,  $|G_2|_p \sum_i l_p(\sigma_i) \eta_i = \sum_i \eta_i \sigma_i(1) = \chi|_{G_1}$ . So  $\sum_i l_p(\sigma_i) \eta_i$  is  $p$ -vanishing. Let  $l_p(\chi) = m$ ; then

$$\chi(1) = m|G|_p = m|G_1|_p|G_2|_p = \sum_i \eta_i(1) \sigma_i(1) = \sum_i \eta_i(1) l_p(\sigma_i) |G_2|_p,$$

whence  $m|G_1|_p = \sum_i \eta_i(1) l_p(\sigma_i)$ , as required.  $\square$

**Corollary 2.8.** *Let  $G = G_1 \times G_2$  and  $\chi$  be as in Lemma 2.7, and let  $S_i$  be a Sylow  $p$ -subgroup of  $G_i$ ,  $i = 1, 2$ . Let  $\eta_1, \dots, \eta_k$  be the irreducible constituents of  $\chi|_{G_1}$ , and  $\eta = \eta_1 + \dots + \eta_k$ . Suppose that  $l_p(\sigma) \geq m$  for every non-zero  $p$ -vanishing character  $\sigma$  of  $G_2$ . Then  $l_p(\chi) \geq m \cdot \eta(1)/|S_1|$ .*

*Proof.* Let  $\chi = \sum_i \eta_i \sigma_i$  be as in Lemma 2.7. By assumption,  $\sigma_i|_{S_2} = m_i \cdot \rho_{S_2}^{\text{reg}}$ , where  $m_i \geq m$ . So  $m \cdot \rho_{S_2}^{\text{reg}}$  is a subcharacter of  $\sigma_i|_{S_2}$ . Therefore,  $\sum_i (\eta_i|_{S_1} \cdot m \cdot \rho_{S_2}^{\text{reg}}) = (\sum_i \eta_i)|_{S_1} \cdot m \cdot \rho_{S_2}^{\text{reg}}$  is a subcharacter of  $\chi|_{S_1 \times S_2}$ . Now  $\chi(1) \geq m \eta(1) |S_2| = m \eta(1) |G|_p / |S_1|$ . As  $\chi(1)$  is a multiple of  $|G|_p$ , we have  $\chi(1) = l_p(\chi) |G|_p$ , and the result follows.  $\square$

**Proposition 2.9.** *Let  $G$  be a finite group and  $N \triangleleft G$  a normal subgroup such that  $G/N$  is a cyclic  $p$ -group. Let  $\chi$  be a  $p$ -vanishing character of  $G$ . Then:*

- (a)  $\chi = \psi^G$  for some character  $\psi$  of  $N$ ;  
 (b) if  $h \in N$  is  $p$ -singular and the conjugacy classes of  $h$  in  $G$  and in  $N$  coincide then  $\psi(h) = 0$ ;

(c) if  $\psi$  is  $G$ -invariant then  $\psi$  is  $p$ -vanishing.

*Proof.* (a) Let  $\lambda \in \text{Irr}(G)$  be a linear character that generates  $\text{Irr}(G/N)$ . As all elements of  $G \setminus N$  are  $p$ -singular,  $\chi$  vanishes on  $G \setminus N$ . It follows that  $\lambda \cdot \chi = \chi$ . Thus, if we write  $\chi = \sum_j a_j \chi_j$  as a non-negative linear combination of irreducible characters  $\chi_j \in \text{Irr}(G)$ , then  $a_j$  is constant on orbits under multiplication with  $\lambda$ . It clearly suffices to show the claim for a single orbit, say  $\chi = \sum_{i=1}^{p^f} \lambda^i \chi'$  with  $\chi' \in \text{Irr}(G)$  and  $f$  minimal such that  $\lambda^{p^f} \chi' = \chi'$ .

Set  $M := \ker(\lambda^{p^f})$ . Then  $\chi'|_M$  is irreducible as so is  $\chi'$ , so  $\chi = (\chi'|_M)^G$ . Now note that  $\lambda^{p^f}$  generates  $\text{Irr}(G/M)$ , so  $\lambda^{p^f}(m) \neq 1$  for  $m \notin (M \setminus N)$ . Thus, as  $\lambda^{p^f} \chi' = \chi'$ , it follows that  $\chi'$  vanishes on  $M \setminus N$ , and hence  $\chi'|_M = \psi^M$  is induced from some  $\psi \in \text{Irr}(N)$ . Then  $\chi = (\chi'|_M)^G = (\psi^M)^G = \psi^G$  as claimed.

(b) For  $g \in G$  define the character  $\psi^g$  of  $N$  by  $\psi^g(x) = \psi(gxg^{-1})$  ( $x \in N$ ). It is well known that  $\psi^G|_N$  is a sum of  $p^k$  characters  $\psi^g$  for suitable  $g \in G$ . By assumption,  $\psi^g(h) = \psi(h)$ , and hence  $0 = \chi(h) = p^k \psi(h)$ , whence (b).

(c) If  $\psi$  is  $G$ -invariant then  $\psi^g = \psi$ , and hence  $\chi|_N = p^k \cdot \psi$ . It follows that  $\psi$  is  $p$ -vanishing whence the result.  $\square$

**Remark 2.10.** Let  $G, N, p, \chi, \psi$  be as in Proposition 2.9. Then  $\psi$  is not necessarily  $p$ -vanishing. Indeed, let  $C = \langle c \rangle$  be the cyclic group of order 4, and let  $\varepsilon$  be a square root of  $-1$ . Define  $\mu_i \in \text{Irr}(C)$  ( $i = 1, 2, 3, 4$ ) by  $\mu_i(c) = \varepsilon^i$ . Then  $\sum_i \mu_i = \rho_C^{\text{reg}}$ , the regular character of  $C$ . Let  $D$  be the dihedral group of order 8 with normal subgroup  $C$ . Then  $(\sum_i \mu_i)^D = \rho_D^{\text{reg}}$ . One observes that  $\mu_1^D = \mu_3^D$ , and hence  $(2\mu_1 + \mu_2 + \mu_4)^D = \rho_D^{\text{reg}}$ . However,  $2\mu_1 + \mu_2 + \mu_4$  is not a 2-vanishing character of  $C$ .

**Corollary 2.11.** Let  $G, N$  be as in Proposition 2.9, and let  $\chi$  be a Steinberg-like character of  $G$ . Suppose that every irreducible character of  $N$  of degree at most  $|N|_p$  is  $G$ -invariant. Then  $\chi = \psi^G$  for some Steinberg-like character  $\psi$  of  $N$ . In particular, if  $N$  does not have Steinberg-like characters then neither has  $G$ .

*Proof.* By Proposition 2.9(a),  $\chi = \psi^G$  for some character  $\psi$  of  $N$ . Clearly,

$$\psi(1) = \chi(1)/|G : N| = |G|_p/|G : N| = |N|_p,$$

so, by assumption, every irreducible constituent of  $\psi$  is  $G$ -invariant. Therefore, so is  $\psi$ , and the claim follows from Proposition 2.9(c).  $\square$

**Lemma 2.12.** Let  $G$  be a finite group and  $N \triangleleft G$  a normal subgroup of  $p$ -power index. Suppose that  $l_p(\chi) \geq m$  for some integer  $m > 0$  and every  $p$ -vanishing character  $\chi$  of  $G$ . Then  $l_p(\chi_1) \geq m$  for every  $p$ -vanishing character  $\chi_1$  of  $N$ .

*Proof.* Suppose the contrary. Let  $\chi_1$  be a  $p$ -vanishing character of  $N$  such that  $l_p(\chi_1) < m$ . Then the induced character  $\chi_1^G$  is  $p$ -vanishing and

$$l_p(\chi_1^G) = l_p(\chi_1) < m.$$

This is a contradiction.  $\square$

The following fact is well known.

**Lemma 2.13.** *Let  $G$  be a finite group and  $N \triangleleft G$  a normal subgroup of  $p$ -power index. Let  $F$  be an algebraically closed field of characteristic  $p$ . Let  $\Phi$  be a projective indecomposable  $FG$ -module. Then  $\Phi = \Psi^G$ , where  $\Psi$  is a projective indecomposable  $FN$ -module and  $l_p(\Psi) = l_p(\Phi)$ .*

*Proof.* It is well-known that induction sends projective modules to projective modules. Furthermore, by Green's indecomposability theorem [4, Thm. 3.8] induction from normal subgroups of  $p$ -power index preserves indecomposability. So, if  $\Psi$  is an indecomposable direct summand of  $\Phi|_N$ , then  $\Psi$  is projective,  $\Psi^G$  is projective indecomposable and so  $\Psi^G = \Phi$ . The statement  $l_p(\Psi) = l_p(\Phi)$  also follows as  $|G : N| = |G : N|_p$  by assumption.  $\square$

### 3. IRREDUCIBLE STEINBERG-LIKE CHARACTERS FOR SIMPLE GROUPS

In this section we complete the list of irreducible characters of simple groups  $G$  of degree  $|G|_p$ . For this it suffices to extract the characters of degree  $|G|_p$  from the list of irreducible characters of prime-power degree obtained in [14, Thm. 1.1]. This list already appeared in [24, Prop. 2.8], where the case with  $p = 3$ ,  $G = {}^2F_4(2)'$  was inadvertently omitted.

Note that an irreducible character is Steinberg-like if and only if it is  $\text{Syl}_p$ -regular.

**Proposition 3.1.** *Let  $G$  be a non-abelian simple group. Suppose that  $G$  has an irreducible  $\text{Syl}_p$ -regular character  $\chi$ . Then one of the following holds:*

- (1)  $G$  is a simple group of Lie type in characteristic  $p$  and  $\chi$  is its Steinberg character;
- (2)  $G = \text{PSL}_2(q)$ ,  $q$  even, and  $p = \chi(1) = q \pm 1$ , or  $G = \text{SL}_2(8)$ ,  $p = 3$  and  $\chi(1) = 9$ ;
- (3)  $G = \text{PSL}_2(q)$ ,  $q$  odd,  $\chi(1) = (q \pm 1)/2$  is a  $p$ -power for  $p > 2$ , or  $p = 2$  and  $\chi(1) = q \pm 1$  is a 2-power;
- (4)  $G = \text{PSL}_n(q)$ ,  $q > 2$ ,  $n$  is an odd prime,  $(n, q - 1) = 1$ , such that  $\chi(1) = (q^n - 1)/(q - 1)$  is a  $p$ -power;
- (5)  $G = \text{PSU}_n(q)$ ,  $n$  is an odd prime,  $(n, q + 1) = 1$ , such that  $\chi(1) = (q^n + 1)/(q + 1)$  is a  $p$ -power;
- (6)  $G = \text{PSp}_{2n}(q)$ ,  $n > 1$ ,  $q = r^k$  with  $r$  an odd prime,  $kn$  is a 2-power such that  $\chi(1) = (q^n + 1)/2$  is a  $p$ -power;
- (7)  $G = \text{PSp}_{2n}(3)$ ,  $n > 2$  is a prime such that  $\chi(1) = (3^n - 1)/2$  is a  $p$ -power;
- (8)  $G = A_{p+1}$  and  $\chi(1) = p$ ;
- (9)  $G = \text{Sp}_6(2)$  and  $\chi(1) = 7$ ;
- (10)  $G \in \{M_{11}, M_{12}\}$  and  $\chi(1) = 11$ ;
- (11)  $G \in \{M_{11}, \text{PSL}_3(3)\}$  and  $\chi(1) = 16$ ;
- (12)  $G \in \{M_{24}, Co_2, Co_3\}$  and  $\chi(1) = 23$ ;
- (13)  $G = {}^2F_4(2)'$  and  $\chi(1) = 27$ ;
- (14)  $G = \text{PSU}_3(3) \cong G_2(2)'$  and  $\chi(1) = 32$ ; or
- (15)  $G = G_2(3)$  and  $\chi(1) = 64$ .

The problem of determining the minimal degree of irreducible characters of  $p$ -defect 0 looks much more complicated.

**Remark 3.2.** Let us point out the following cases not explicitly mentioned in Proposition 3.1.  $\text{SL}_3(2) \cong \text{PSL}_2(7)$ ,  $A_6 \cong \text{PSL}_2(9)$ ,  $\text{PSU}_4(2) \cong \text{PSp}_4(3)$ ,  $A_8 \cong \text{SL}_4(2)$ .

4. CYCLIC SYLOW  $p$ -SUBGROUPS

In this section we determine the reducible Steinberg-like characters for simple groups with cyclic Sylow  $p$ -subgroups.

**Proposition 4.1.** *Let  $G$  be a finite group with a cyclic TI Sylow  $p$ -subgroup  $S$ , and assume that  $N_G(S)/S$  is abelian. Then  $l_p(\tau) = \lfloor \tau(1)/|S| \rfloor$  for all  $\tau \in \text{Irr}(G)$ .*

*Proof.* Let  $N := N_G(S)$ . By assumption,  $N/S$  is abelian of order prime to  $p$ , so it has  $|N/S|$  irreducible  $p$ -Brauer characters of degree 1. Hence, each of the corresponding PIMs of  $N$  has dimension  $|S|$ . Since the Brauer tree for any  $p$ -block of  $N$  is a star, all PIMs are uniserial [4, Ch. VII, Cor. 2.22]. But then by [4, Ch. I, Thm. 16.14], any indecomposable  $FN$ -module, where  $F$  is a sufficiently large field of characteristic  $p$ , is a quotient of a PIM, so has dimension strictly smaller than  $|S|$  if it is not projective.

Now let  $\tau \in \text{Irr}(G)$ . If  $\tau$  is of  $p$ -defect zero,  $\tau|_S$  is a multiple of  $\rho_S^{\text{reg}}$ , and the claim follows. Else,  $\tau$  lies in a block of full defect, and there exists an indecomposable  $FG$ -module  $X$  with lift  $\tau$  [4, Ch. I, Thm. 17.12]. Then  $X|_{FN} = Y \oplus P$ , where  $P$  is projective (and hence of dimension divisible by  $|S|$ ) and  $Y$  is the Green correspondent of  $X$ , an indecomposable, non-projective  $FN$ -module [4, Ch. VII, Lem. 1.5]. Thus,  $\dim Y < |S|$  by what we said before, so  $\tau(1)/|S| \leq l_p(\tau) < \tau(1)/|S| + 1$ , and the claim follows.  $\square$

**Lemma 4.2.** *Let  $G$  be a non-abelian simple group. Let  $p$  be a prime such that a Sylow  $p$ -subgroup of  $G$  is cyclic. Let  $\mu$  denote the minimal degree of any non-linear irreducible character of  $G$ . Then  $2\mu > |G|_p$ , except in the case where  $G = \text{PSL}_2(p)$ ,  $p \equiv 3 \pmod{4}$  and  $\mu = (p-1)/2$ .*

*Proof.* The values of  $\mu = \mu(G)$  for every simple group  $G$  are either known explicitly or there is a good lower bound. For the sporadic simple groups one can inspect [1], for the alternating groups  $A_n$  we have  $\mu(A_n) = n-1$  for  $n > 5$ , and  $\mu(A_5) = 3$ , for simple groups  $G$  of Lie type the values  $\mu(G)$  are listed in [20]. The lemma follows by comparison of these data with  $|G|_p$ .  $\square$

**Proposition 4.3.** *Let  $p$  be a prime and let  $G$  be a non-abelian simple group with a cyclic Sylow  $p$ -subgroup  $S$ . Let  $\chi$  be a  $\text{Syl}_p$ -regular character of  $G$ . Then one of the following holds:*

- (1)  $\chi$  is irreducible of degree  $|G|_p$ ;
- (2)  $(\chi, 1_G) = 1$ ,  $\tau := \chi - 1_G$  is irreducible and  $(\tau|_S, 1_S) = 0$ ; or
- (3)  $G = \text{PSL}_2(p)$ ,  $p \equiv 3 \pmod{4}$  and  $\chi = 1_G + \tau_1 + \tau_2$ , where  $\tau_1, \tau_2$  are distinct irreducible characters of degree  $(p-1)/2$ .

*Proof.* Suppose that  $\chi$  is reducible. The result for  $G = \text{PSL}_2(p)$  easily follows by computation with the character table of this group. Suppose  $G \not\cong \text{PSL}_2(p)$ . Let  $\tau \neq 1_G$  be an irreducible constituent of  $\chi$ . By Lemma 4.2,  $\chi = \tau + k \cdot 1_G$ , where  $k = |G|_p - \tau(1)$ . Therefore,  $1_G$  is a constituent of  $\chi$ . By Lemma 2.1,  $k = 1$  and  $(\tau|_S, 1_S) = 0$ .  $\square$

**Proposition 4.4.** *Let  $p$  be a prime and let  $G$  be a non-abelian simple group with a cyclic Sylow  $p$ -subgroup  $S$ . Then  $G$  has a reducible  $\text{Syl}_p$ -regular character  $\chi$  if and only if one of the following holds:*

- (1)  $G = \text{PSL}_2(q)$ ,  $q > 4$  even,  $|S| = q + 1$ ;
- (2)  $G = \text{PSL}_2(p)$ ,  $|S| = p > 5$ ;
- (3)  $G = \text{PSL}_n(q)$ ,  $n$  is an odd prime,  $n \nmid (q-1)$ ,  $|S| = (q^n - 1)/(q-1)$ ;
- (4)  $G = \text{PSU}_n(q)$ ,  $n$  is an odd prime,  $n \nmid (q+1)$ ,  $|S| = (q^n + 1)/(q+1)$ ;

- (5)  $G = A_p$ ,  $|S| = p \geq 5$ ;
- (6)  $G = M_{11}$ ,  $|S| = 11$ ; or
- (7)  $G = M_{23}$ ,  $|S| = 23$ .

Furthermore, in each case (1)–(7),  $C_G(S) = S$  and  $\chi$  is Steinberg-like. In addition,  $\chi - 1_G$  is an irreducible character of  $G$ , unless possibly when (2) holds, when  $\chi - 1_G$  may be the sum of two irreducible constituents of equal degree.

*Proof.* The additional statement follows from Proposition 4.3. If  $\chi - 1_G$  is reducible, we have the case (3) of Proposition 4.3. So we may assume that  $\tau = \chi - 1_G$  is irreducible and thus that  $(\tau|_S, 1_S) = 0$ . The irreducible characters of  $G$  of level 0 are determined in [22, Thm. 1.1], so  $\tau$  belongs to the list in [22, Thm. 1.1]. If we drop from that list the characters of degree other than  $|S| - 1$ , the remaining cases are given in the statement of the proposition. (Note that the list in [22, Thm. 1.1] includes quasi-simple groups so one first needs to delete the representations that are non-trivial on the centre. For instance, if  $G = \mathrm{PSp}_{2n}(q)$  then  $|S| = (q^n + 1)/2$  is odd, and hence  $\tau(1) = \chi(1) - 1 = |S| - 1$  is even. However, every irreducible representation of  $\mathrm{Sp}_{2n}(q)$  of even degree  $(q^n - 1)/2$  is faithful. In other words,  $G$  has no irreducible representation of even degree  $(q^n - 1)/2$ . In contrast, there do exist irreducible representations of  $G = \mathrm{PSL}_n(q)$  and  $\mathrm{PSU}_n(q)$  for  $n$  odd of degree  $|S| - 1$ .)

To prove the converse, we have to show that in each case  $1_G + \tau$  is  $\mathrm{Syl}_p$ -regular, that is,  $\chi|_S = \rho_S^{\mathrm{reg}}$ . Let  $\Psi$  be a representations of  $G$  afforded by  $\tau$ . Let  $s \in S$  with  $S = \langle s \rangle$ . By [22, Cor. 1.3(2)], the multiplicity of every eigenvalue of  $\Psi(s)$  is 1. As  $\det \Psi(s) = 1$ , it follows that 1 is not an eigenvalue of  $\Psi(s)$ . Therefore,  $\chi|_S = \rho_S^{\mathrm{reg}}$ , as required.

Next, we show that  $C_G(S) = S$ . In cases (6) and (7) this follows by inspection in [1]. The cases (1), (2) and (5) are trivial. In cases (3) and (4) one can take the preimage  $T$ , say, of  $S$  in  $G_1 = \mathrm{SL}_n(q)$ ,  $\mathrm{SU}_n(q)$ , respectively. Then  $T$  is irreducible on the natural module for  $G_1$ . The groups  $C_{G_1}(T)$  are described by Huppert [8, Sätze 4,5]. It easily follows that  $T$  is self-centralising in  $G_1$ . Then  $C_G(S) = S$  unless  $[g, T] \subseteq Z(G_1)$  for some  $g \in N_{G_1}(T) \setminus T$ . By order consideration,  $S$  is a Sylow  $p$ -subgroup of  $G$ , so  $g$  is not a  $p$ -element. Let  $t \in T$ . Then  $[g, t^i] = [g^i, t] = 1$  for  $i = |S|$ , so  $g^{|S|} \in C_{G_1}(T) = T$  by the above. This is a contradiction as  $S$  is a Sylow  $p$ -subgroup.

It follows that every element of  $G$  is either a  $p$ - or a  $p'$ -element. Therefore,  $\chi$  is Steinberg-like if and only if  $\chi|_S = \rho_S^{\mathrm{reg}}$ .  $\square$

**Lemma 4.5.** *Under the assumptions and in the notation of Proposition 4.4 we have the following:*

- (a)  $\chi$  is unique unless (2) or (6) holds;
- (b)  $\chi$  is the character of a projective module when (1), (3), (5) or (7) holds; and
- (c)  $\chi - 1_G$  is a proper character, and if  $m$  is the minimal degree of a non-linear character of  $G$  then either  $m = \chi(1) - 1$ , or (1) holds and  $m = \chi(1) - 2$ , or (2) holds and  $m = (\chi(1) \pm 1)/2$ .

*Proof.* (a) Let  $\tau = \chi - 1_G$ . Then  $\tau(1) = |S| - 1$  and  $\tau$  is irreducible unless (2) holds. We show that an irreducible character of this degree is unique unless (2) or (6) holds. If  $G = M_{23}$ , this follows from the character table of this group, for  $A_p$  this is well known. For  $G = \mathrm{PSL}_n(q)$ ,  $n > 2$ , and  $\mathrm{PSU}_n(q)$ ,  $n > 2$ , this is observed in [20, Table II].

In case (2) the number of characters equals the number of irreducible characters of degree  $p - 1$ , which is  $(p - 3)/4$  if  $p \equiv 3 \pmod{4}$ , otherwise  $(p - 1)/4$ . If  $G = M_{11}$  then there are three Steinberg-like characters, see [1].

(b) Recall that the principal projective indecomposable module is the only PIM whose character contains  $1_G$  as a constituent. All the characters  $\chi$  in Proposition 4.4 contain  $1_G$  as a constituent. Therefore, if  $\chi$  is the character of a projective module  $\Phi$ , say, then  $\Phi$  is indecomposable and principal. So we compare the list of characters  $\chi$  in Proposition 4.4 with the main result of [13]. The comparison rules out the case (4) of Proposition 4.4. Furthermore, if  $G$  admits at least two Steinberg-like characters then at most one of them can be the character of a projective module. By (a) this leaves us with cases (1), (5) and (7). As in each of these cases  $\chi$  is unique, it must be the character of the principal projective indecomposable module listed in [13].

(c) This follows by inspection in [20, Table II].  $\square$

**Remark 4.6.** The group  $G = \mathrm{PSL}_2(p)$  has several  $\mathrm{Syl}_p$ -regular characters, all of them are Steinberg-like, and only one of them is projective.

## 5. SPORADIC GROUPS

**Theorem 5.1.** *Let  $G$  be a sporadic simple group. Then  $G$  does not have a reducible  $\mathrm{Syl}_p$ -regular character unless one of the following holds:*

- (1)  $G = M_{12}$ ,  $p = 3$ , four characters with constituents of degrees 11 and 16 each, all Steinberg-like;
- (2)  $G = M_{24}$ ,  $p = 2$ , six characters, none of them Steinberg-like;
- (3)  $G = M_{11}$ ,  $|S| = 11$ ; or
- (4)  $G = M_{23}$ ,  $|S| = 23$ .

*Proof.* For most groups and primes, by [1] there is a conjugacy class of non-trivial  $p$ -elements taking strictly positive value at all irreducible characters of degree at most  $|G|_p$ . In a few cases, like in  $Co_3$  and  $Fi_{23}$  at  $p = 3$ , or  $Co_1$  and  $J_4$  at  $p = 2$ , one has to solve a little linear system of equations for non-negative integral solutions. The only cases where such solutions exist are listed in the statement. Note that the cases (3) and (4) occur also in Proposition 4.4.  $\square$

## 6. ALTERNATING GROUPS

In this section we consider Steinberg-like characters of alternating groups.

**6.1. Alternating groups for  $p > 2$ .** For odd primes we give a short proof using a recent result of Giannelli and Law [5] which replaces our earlier more direct proof.

**Lemma 6.1.** *Let  $G = A_p$ ,  $p > 3$ , and  $\chi \in \mathrm{Irr}(G)$ . Then  $l_p(\chi) = \lfloor \chi(1)/p \rfloor$ . In addition,  $l_p(\chi) \neq 1$  for  $p > 7$  (this fact has also been observed in [18]).*

*Proof.* The first part is just Proposition 4.1. In addition, if  $p > 7$  then  $G$  has no irreducible character of degree  $d$  for  $p \leq d < 2p$ . This implies the claim.  $\square$

**Lemma 6.2.** *Let  $n = kp$ , where  $p > 5$  and  $k < p$ . Let  $G = A_n$  and let  $\chi$  be a  $p$ -vanishing character. Then  $l_p(\chi) \geq 2^{k-1}$ , equivalently,  $\chi(1) \geq 2^{k-1}|G|_p$ .*

*Proof.* For  $k = 1$  the lemma is trivial. Let  $k > 1$ . Let  $X_1 \cong A_p$ ,  $X_2 \cong A_{n-p}$  be commuting subgroups of  $G$ . Set  $X = X_1 X_2$ . Then  $\chi|_X = \sum \eta_i \sigma_i$ , where the  $\sigma_i$ 's are  $p$ -vanishing characters of  $X_2$  and the  $\eta_i$ 's are distinct irreducible characters of  $X_1$  (Lemma 2.7). By induction,  $l_p(\sigma_i) \geq 2^{k-2}$ . If  $l_p(\eta_i) \geq 2$  for some  $i$  then  $l_p(\chi) \geq l_p(\eta_i \sigma_i) \geq 2^{k-1}$ . By Lemma 6.1, if  $l_p(\eta_i) < 2$  and  $p > 7$  then  $l_p(\eta_i) = 0$ , and hence either  $\eta_i = 1_{X_1}$  or  $\eta_i$  is the unique irreducible character of degree  $p - 1$ . (If  $p = 7$  then we may have  $\eta_i(1) = 10$ , see [18].)

Suppose the lemma is false and  $p > 7$ . Then we can rearrange the above to get

$$\chi|_X = 1_{X_1} \cdot \sigma_1 + \eta_2 \cdot \sigma_2,$$

where  $\eta_2(1) = p - 1$  and  $\sigma_1, \sigma_2$  are  $p$ -vanishing characters of  $X_2$ . It follows that  $\chi|_{X_1}$ , as well as  $\tau|_{X_1}$  for every irreducible constituent  $\tau$  of  $\chi$ , contains no irreducible constituent distinct from  $1_{X_1}, \eta_2$ . It is well known and easily follows from the branching rule that this implies  $\tau(1) = n - 1$  or  $1$ . Recall that  $G$  has a single character of degree  $n - 1$ . Therefore,  $\chi = a \cdot 1_G + b \cdot \tau$ , where  $\tau(1) = n - 1$ . Let  $x \in X_1$  be of order  $p$ . Then  $\tau(x) = n - p - 1 > 0$ , which implies  $\chi(x) > 0$ .

Suppose  $p = 7$ . Then  $\eta_i(1) \in \{1, 6, 10\}$ . There are two irreducible characters of  $X_1$  of degree 10, let us denote them by  $\eta_3, \eta'_3$ . Therefore, assuming the lemma is false, we can write  $\chi|_X = 1_X \cdot \sigma_1 + \eta_2 \sigma_2 + \eta_3 \sigma_3 + \eta'_3 \sigma_4$ . Let  $1 \neq x \in X_1$  be a  $p$ -element. Then  $\eta_3(x) = \varepsilon + \varepsilon^4 + \varepsilon^2$  and  $\eta'_3(x) = \varepsilon^{-1} + \varepsilon^{-4} + \varepsilon^{-2}$ , where  $\varepsilon$  is some primitive 7th root of unity. Since  $\chi(x)$  and  $\eta_2(x)$  are integers, so is  $\eta_3(x)\sigma_3(1) + \eta'_3(x)\sigma_4(1)$ . This implies  $\sigma_3(1) = \sigma_4(1)$ . Then

$$\chi(1) = \sigma_1(1) + (p - 1)\sigma_2(1) + 20\sigma_3(1) > 14\sigma_3(1),$$

and the lemma follows unless  $\sigma_3(1) = 0$ . If  $\sigma_3(1) = 0$  then

$$\chi|_X = 1_{X_1} \cdot \sigma_1 + \eta_2 \cdot \sigma_2,$$

and the above argument applies.  $\square$

**Lemma 6.3.** *Let  $p \geq 3$  be odd and let  $\lambda$  be a hook partition of  $n \geq 2p$ . Then the corresponding character  $\chi^\lambda$  of  $S_n$  takes a positive value on  $p$ -cycles.*

*Proof.* It is well known that any hook character  $\chi^\lambda$  is the  $m$ th exterior power, for some  $0 \leq m \leq n - 1$ , of the irreducible reflection character  $\rho_n$  of  $S_n$  (the constituent of degree  $n - 1$  of the natural permutation character  $\pi_n$ ). Let  $Y = Y_1 \times Y_2$ , with  $Y_1 = S_p$  and  $Y_2 = S_{n-p}$ , be a Young subgroup of  $S_n$  and  $g = g' \times 1 \in Y$  a  $p$ -cycle. Clearly  $\rho_n|_Y = \rho_p \boxtimes 1_{Y_2} + 1_{Y_1} \boxtimes (\pi_{n-p})$ , and  $\Lambda^i(\rho_p)(g') = (-1)^i$  for  $i < p$ ,  $\Lambda^i(\rho_p)(g') = 0$  for  $i \geq p$ . Thus

$$\chi^\lambda(g) = \Lambda^m(\rho_n)(g) = \sum_{i=0}^m \Lambda^i(\rho_p)(g') \Lambda^{m-i}(\pi_{n-p})(1) = \sum_{i=0}^{\min(p-1, m)} (-1)^i \binom{n-p}{m-i},$$

which clearly is positive for  $m \leq (n-p)/2$  since the binomial coefficients are (strictly) increasing up to the middle. Now observe that it suffices to prove the claim for  $n = 2p$ , since the restriction of a hook character from  $S_n$  to  $S_{n-1}$  only contains hook characters. But for  $n = 2p$  we are done since by symmetry we may assume that  $m \leq p = (n-p)/2$ .  $\square$

**Theorem 6.4.** *Let  $p$  be odd and  $G = A_n$  with  $n > \max\{6, p + 1\}$ . Then  $G$  has no  $\text{Syl}_p$ -regular character. If  $n = p + 1 > 4$  then every  $\text{Syl}_p$ -regular character of  $G$  is irreducible, unless  $(n, p) = (6, 3)$ .*

*Proof.* If  $p \leq n < 2p$  the Sylow  $p$ -subgroups of  $G$  are cyclic and so the claim is in Proposition 4.4. Now assume that  $n \geq 2p$  and let  $S$  be a Sylow  $p$ -subgroup of  $S_n$ . First assume that  $n \neq p^k$  for some  $k \geq 2$  and that  $n > 10$  when  $p = 3$ . Then by the main result of [5], the restriction of any irreducible character of  $S_n$  to  $S$  contains the trivial character. A moments thought shows that the same is true for the restriction of any irreducible character of  $A_n$  to  $S$ . So by Lemma 2.1 any  $\text{Syl}_p$ -regular character of  $A_n$  is irreducible.

Now assume that  $n = p^k$  for some  $k \geq 2$ , and  $n > 10$  when  $p = 3$ . Then again by [5, Thm. A] the only irreducible characters of  $S_n$  whose restriction to  $S$  does not contain the trivial character are the two characters of degree  $n - 1$ . So the only irreducible character of  $A_n$  whose restriction to  $S$  does not contain the trivial character is  $\psi$  of degree  $n - 1$ . Hence a  $\text{Syl}_p$ -regular character  $\chi$  of  $A_n$  has the form  $\chi = a\psi + \psi'$ , for some  $a \geq 0$  and some  $\psi' \in \text{Irr}(A_n)$ . Let  $g \in A_n$  be a  $p^k$ -cycle. Then  $\psi(g) = -1$ , and by the Murnaghan–Nakayama rule any irreducible character of  $S_n$  takes value 0 or  $\pm 1$  on  $g$ . In particular, if  $\chi$  is reducible then we have that  $a = 1$  and  $\psi'(g) \neq 0$ . But then  $\psi'$  is parametrised by a hook partition, of degree  $\binom{n-1}{m}$  for some  $m \leq n$ . But then  $\chi$  takes positive values on  $p$ -cycles by Lemma 6.3, a contradiction.

Finally, the cases when  $p = 3$  and  $6 \leq n \leq 10$  can easily be checked individually. For example, all irreducible characters of  $A_9$  of degree at most 81 are non-negative on class 3C, and those which vanish there are positive either on class 3B or 3A. So  $A_9$  has no  $\text{Syl}_3$ -regular character. As  $A_{10}$  has the same Sylow 2-subgroup, this also deals with  $n = 10$ .  $\square$

**Corollary 6.5.** *Let  $G$  be a finite group and  $p > 2$ . Suppose that  $G$  has a subgroup  $P$  containing a Sylow  $p$ -subgroup of  $G$  and such that  $P/O_p(P) \cong A_n$  with  $n > \max\{6, p + 1\}$ . Then  $G$  has no Steinberg-like character.*

*Proof.* This follows from Lemma 2.5 and Theorem 6.4.  $\square$

**6.2. Alternating groups for  $p = 2$ .** The situation is more complicated in the case of  $p = 2$  and we do not have complete results. This is in part due to the existence of an infinite family of examples which we now construct.

Set  $\Gamma = \sum_{i=1}^n \Gamma_i$ , where  $\Gamma_i$  is the irreducible character of  $S_n$  corresponding to the partition  $[i, 1^{n-i}]$  for  $i > 1$ , and  $[1^n]$  for  $i = 1$ . So the Young diagram  $\gamma_i$  of  $\Gamma_i$  is a hook with leg length  $n - i$ , and

$$\Gamma_i(1) = \frac{n!}{n(n-i)!(i-1)!} = \binom{n-1}{i-1}$$

so  $\Gamma(1) = \sum_{i=1}^n \Gamma_i(1) = 2^{n-1}$ .

**Lemma 6.6.** *Let  $0 < m < n$ , where  $m$  is even, and  $g = ch \in S_m \times S_{n-m} \leq S_n$ , where  $c$  is an  $m$ -cycle and  $h$  fixes all letters moved by  $c$ . Let  $\Gamma_k^{n-m} \in \text{Irr}(S_{n-m})$  correspond to the hook partition  $[k, 1^{n-m-k}]$ . Then*

$$\Gamma_i(g) = \begin{cases} -\Gamma_i^{n-m}(h) & \text{if } i \leq m, \\ \Gamma_{i-m}^{n-m}(h) & \text{if } n-m < i, \\ \Gamma_{i-m}^{n-m}(h) - \Gamma_i^{n-m}(h) & \text{if } m < i \leq n-m. \end{cases}$$

*Proof.* One observes that the restriction of  $\Gamma_i$  to  $S_m \times S_{n-m}$  is a sum of irreducible characters  $\sigma\tau$ , where  $\sigma, \tau$  are irreducible characters of  $S_m, S_{n-m}$ , resp., and both  $\sigma, \tau$  are hook characters of the respective groups (see [9, Lemma 21.3]). Next we use [9, Lemma 21.1] which states that

$\Gamma_i(g) = \sum_j (-1)^j \chi_{\nu_j}(h)$ , where  $\chi_{\nu_j} \in \text{Irr}(S_{n-m})$ ,  $\nu_j$  is the Young diagram of  $\chi_{\nu_j}$ , and  $\nu_j$  is such that  $\gamma_i \setminus \nu_j$  is a skew hook with leg length  $j$ . In our case  $\gamma_i$  is a hook, so the rim of  $\gamma_i$  is  $\gamma_i$  itself. By definition, a skew hook is connected, so it is either a row or a column in our case, and hence  $j = 0$  or  $j = m - 1$ . (A column hook of length  $m$  has leg length  $m - 1$ , which is odd as  $m$  is even.) If  $j = 0, m - 1$  then  $\nu = [i - m, 1^{n-i}], [i, 1^{n-i-m}]$ , respectively. This is a proper diagram if and only if  $i > m$ , resp.,  $n - i \geq m$ . So if  $n - i < m$  then  $\nu = [i - m, 1^{n-i}], j = 0$ , and  $\Gamma_i(g) = \chi_\nu(h) = \Gamma_{i-m}^{n-m}(h)$ ; if  $i \leq m$  then  $\nu = [i, 1^{n-i-m}], j = m - 1$ , and  $\Gamma_i(g) = -\chi_\nu(h) = -\Gamma_i^{n-m}(h)$ ; if  $m < i \leq n - m$  then  $\nu \in \{[i - m, 1^{n-i}], [i, 1^{n-i-m}]\}$  and  $\Gamma_i(g) = \Gamma_{i-m}^{n-m}(h) - \Gamma_i^{n-m}(h)$ , as claimed.  $\square$

**Proposition 6.7.** *Suppose that  $n$  is even. Then:*

- (a)  $\Gamma$  is a 2-vanishing character of  $S_n$ .
- (b)  $\Gamma$  is Steinberg-like if and only if  $n = 2^k$  for some integer  $k > 0$ .

*Proof.* (a) Let  $g \in S_n$  be of even order. Suppose first that  $g$  is a cycle of length  $n$ . By [9, Lemma 21.1],  $\Gamma_i(g) = (-1)^{n-i}$ , so  $\Gamma(g) = 0$ .

Suppose that  $g$  is not a cycle of length  $n$ . Then we can express  $g$  as the product  $ch$  of a cycle  $c$  of even size  $m$ , say, and an element  $h$  fixing all letters moved by  $c$ . Then  $g \in S_m \times S_{n-m}$ . By Lemma 6.6, we have

$$\Gamma(g) = \sum_{i=1}^n \Gamma_i(g) = \sum_{i=m+1}^n \Gamma_{i-m}^{n-m}(h) - \sum_{i=1}^{n-m} \Gamma_i^{n-m}(h) = \sum_{k=1}^{n-m} \Gamma_k^{n-m}(h) - \sum_{i=1}^{n-m} \Gamma_i^{n-m}(h) = 0.$$

(b) If  $n = 2^k$  then  $|S_n|_2 = 2 \cdot |S_{n/2}|_2^2$ . As  $|S_2|_2 = 2$ , by induction we have

$$|S_n|_2 = 2 \cdot (2^{2^{k-1}-1})^2 = 2^{2^k-1} = 2^{n-1}.$$

Write  $n = 2^k + l$  where  $0 < l < 2^k$ . Then  $|S_n|_2 = |S_{2^k}|_2 \cdot |S_l|_2$ . By induction,  $|S_l|_2 \leq 2^{l-1}$ , so

$$|S_n|_2 = 2^{2^k-1} \cdot |S_l|_2 \leq 2^{(2^k-1)+(l-1)} = 2^{2^k+l-2} = 2^{n-2}.$$

The statement follows as  $\Gamma(1) = 2^{n-1}$ .  $\square$

**Corollary 6.8.** *Let  $n$  be even, and  $\Gamma^0 = \sum_{i=1}^{n/2} \Gamma_i|_{A_n}$ . Then  $\Gamma^0$  is a 2-vanishing character of  $A_n$ . If  $n = 2^k$  then this character is Steinberg-like.*

*Proof.* The characters  $\Gamma_i$  remain irreducible under restriction to  $A_n$  and  $\Gamma_i|_{A_n} = \Gamma_{n-i+1}|_{A_n}$ . It follows that  $\Gamma|_{A_n} = 2\Gamma^0$ . Therefore,  $\Gamma^0(g) = \Gamma(g)/2 = 0$  by Proposition 6.7 for elements  $g$  of even order. The last claim follows from Proposition 6.7(b).  $\square$

Suppose that  $n$  is odd. Set

$$\begin{aligned} \Gamma^e &= \sum_{i=1}^{(n-1)/2} \Gamma_{2i} = \Gamma_2 + \Gamma_4 + \cdots + \Gamma_{n-1}, \quad \text{and} \\ \Gamma^o &= \sum_{i=1}^{(n+1)/2} \Gamma_{2i-1} = \Gamma_1 + \Gamma_3 + \cdots + \Gamma_n. \end{aligned}$$

Observe that  $\Gamma_i|_{S_{n-1}} = \Gamma_i^{n-1} + \Gamma_{i-1}^{n-1}$  provided  $1 < i < n$ , and  $\Gamma_1|_{S_{n-1}} = \Gamma_1^{n-1}$ ,  $\Gamma_n|_{S_{n-1}} = \Gamma_{n-1}^{n-1}$ . Therefore,

$$\Gamma^e|_{S_{n-1}} = \Gamma_1^{n-1} + \cdots + \Gamma_{n-1}^{n-1} = \Gamma^o|_{S_{n-1}}.$$

As  $\Gamma = \Gamma^e + \Gamma^o$  we have  $\Gamma^e(1) = \Gamma^o(1) = \Gamma(1)/2 = 2^{n-2}$ .

**Proposition 6.9.** *Suppose that  $n$  is odd. Then:*

- (a)  $\Gamma^e$  and  $\Gamma^o$  are 2-vanishing characters of  $S_n$ .
- (b)  $\Gamma^e$  is Steinberg-like if and only if  $n = 2^k + 1$  for some integer  $k > 0$ .

*Proof.* (a) Let  $g \in S_n$  be of even order, and  $g = ch$  where  $c$  is a cycle of even size  $m$ . By Lemma 6.6,

$$\Gamma^e(g) = \sum_{i=1}^{(n-1)/2} \Gamma_{n-2i+1}(g) = \sum_{i=1}^{(n-1-m)/2} \Gamma_{n-m-2i+1}^{n-m}(h) - \sum_{i=(m+2)/2}^{(n-1)/2} \Gamma_{n-2i+1}^{n-m}(h),$$

as  $\gamma_{n-m-2i+1}^{n-m}$  is a proper diagram only for  $i < (n-m)/2$  and  $\gamma_{n-2i+1}^{n-m}$  is a proper diagram only for  $i \geq (m+2)/2$ . Set  $k = i - m/2$ . So the second sum can be written as  $\sum_{k=1}^{(n-1-m)/2} \Gamma_{n-m-2k+1}^{n-m}(h)$ , whence  $\Gamma^e(g) = 0$ .

Similarly,

$$\Gamma^o(g) = \sum_{i=1}^{(n+1)/2} \Gamma_{n-2i+2}(g) = \sum_{i=1}^{(n-1-m)/2} \Gamma_{n-m-2i+2}^{n-m}(h) - \sum_{i=(m+2)/2}^{(n-1)/2} \Gamma_{n-2i+2}^{n-m}(h),$$

as  $\gamma_{n-m-2i+1}^{n-m}$  is a proper diagram only for  $i \leq (n-m-1)/2$  and  $\gamma_{n-2i+2}^{n-m}$  is a proper diagram only for  $i \geq (m+2)/2$ . Set  $k = i - m/2$ . Then the second sum can be written as  $\sum_{k=1}^{(n-1-m)/2} \Gamma_{n-m-2k+2}^{n-m}(h)$ . So  $\Gamma^o(g) = 0$  as well.

(b) If  $n = 2^k + 1$  then  $|S_n|_2 = |S_{n-1}|_2 = 2^{n-2}$  (see the proof of Proposition 6.7(b)). By the above,  $\Gamma^e(1) = \Gamma^o(1) = 2^{n-2}$ , so both  $\Gamma^e$  and  $\Gamma^o$  are Steinberg-like. If  $n-1$  is not a 2-power then  $|S_n|_2 = |S_{n-1}|_2 < 2^{n-2}$  by Proposition 6.7(b).  $\square$

Let  $n$  be odd. Then  $\Gamma_i|_{A_n} = \Gamma_{n+1-i}|_{A_n}$  is irreducible for  $i \neq (n+1)/2$ , whereas  $\Gamma_{(n+1)/2}|_{A_n}$  is the sum of two irreducible constituents, denoted by  $\Gamma_{(n+1)/2}^+$  and  $\Gamma_{(n+1)/2}^-$ . If  $n = 4l + 1$  then set

$$\begin{aligned} \Gamma^{ea} &= \sum_{i=1}^{(n-1)/4} \Gamma_{2i}|_{A_n} = (\Gamma_2 + \Gamma_4 + \cdots + \Gamma_{(n-1)/2})|_{A_n}, \quad \text{and} \\ \Gamma^{o\pm} &= \Gamma_{(n+1)/2}^{\pm} + \sum_{i=1}^{(n-1)/4} \Gamma_{2i-1}|_{A_n} = (\Gamma_1 + \Gamma_3 + \cdots + \Gamma_{(n-3)/2})|_{A_n} + \Gamma_{(n+1)/2}^{\pm}, \end{aligned}$$

while for  $n = 4l + 3$  we set

$$\begin{aligned} \Gamma^{oa} &= \sum_{i=1}^{(n+1)/4} \Gamma_{2i-1}|_{A_n} = (\Gamma_1 + \Gamma_3 + \cdots + \Gamma_{(n-1)/2})|_{A_n}, \quad \text{and} \\ \Gamma^{e\pm} &= \Gamma_{(n+1)/2}^{\pm} + \sum_{i=1}^{(n-3)/4} \Gamma_{2i}|_{A_n} = (\Gamma_2 + \Gamma_4 + \cdots + \Gamma_{(n-3)/2})|_{A_n} + \Gamma_{(n+1)/2}^{\pm}. \end{aligned}$$

**Corollary 6.10.** *The following statements hold.*

- (a) *Let  $n = 4l + 1$ . Then  $\Gamma^{ea}$ ,  $\Gamma^{o+}$  and  $\Gamma^{o-}$  are 2-vanishing characters of  $A_n$ . If  $n = 2^k + 1$  then they are Steinberg-like characters.*
- (b) *Let  $n = 4l + 3 > 3$ . Then  $\Gamma^{e+}$ ,  $\Gamma^{e-}$  and  $\Gamma^{oa}$  are 2-vanishing characters of  $A_n$ . None of them is Steinberg-like.*

*Proof.* Let  $g \in A_n$  be of even order.

(a) Let  $i \neq (n+1)/2$ . Then  $\Gamma_i$  remains irreducible under restriction to  $A_n$ . As  $\Gamma_i$  and  $\Gamma_{n-i+1}$  coincide under restriction to  $A_n$ , it follows that  $\Gamma^e|_{A_n} = 2\Gamma^{ea}$ , and hence  $\Gamma^{ea}$  is a 2-vanishing character. As  $\Gamma^{ea}(1) = 2^{n-3} = |A_n|_2$ , this is Steinberg-like for  $n = 2^k + 1$ .

Observe that

$$\Gamma_{(n+1)/2}^+(g) = \Gamma_{(n+1)/2}^-(g), \quad \Gamma_{(n+1)/2}^+(g) + \Gamma_{(n+1)/2}^-(g) = \Gamma_{(n+1)/2}(g).$$

It follows that  $\Gamma^{o+}(g) = \Gamma^{o-}(g) = \Gamma^o(g)/2$ , and thus  $\Gamma^{o+}(g) = \Gamma^{o-}(g) = 0$  by Proposition 6.9. Therefore,  $\Gamma^{o+}$  and  $\Gamma^{o-}$  are 2-vanishing characters of  $A_n$ . In addition, suppose that  $n = 2^k + 1$ . Then  $\Gamma^{o+}(1) = \Gamma^{o-}(1) = \Gamma^o(1)/2 = |A_n|_2$ , so both  $\Gamma^{o+}$  and  $\Gamma^{o-}$  are Steinberg-like.

(b) Let  $i \neq (n+1)/2$ . Then as above it follows that  $\Gamma^o|_{A_n} = 2\Gamma^{oa}$ , and hence  $\Gamma^{oa}$  is a 2-vanishing character. In addition,  $\Gamma^{oa}(1) = \Gamma^o(1)/2 = 2^{n-3}$ . As here we never have  $n = 2^k + 1$ ,  $\Gamma^{oa}$  is not Steinberg-like.

Consider  $\Gamma^{e\pm}$ . Observe that

$$\Gamma_{(n+1)/2}^+(g) = \Gamma_{(n+1)/2}^-(g), \quad \Gamma_{(n+1)/2}^+(g) + \Gamma_{(n+1)/2}^-(g) = \Gamma_{(n+1)/2}(g).$$

It follows that  $\Gamma^{e+}(g) = \Gamma^{e-}(g) = \Gamma^e(g)/2$ , and so  $\Gamma^{e+}(g) = \Gamma^{e-}(g) = 0$  by Proposition 6.9. Therefore,  $\Gamma^{e+}$  and  $\Gamma^{e-}$  are 2-vanishing characters of  $A_n$  but not Steinberg-like.  $\square$

**Lemma 6.11.** *Let  $2 \leq n \leq 12$ . Then in addition to the character  $\Gamma$  when  $n = 2^k$ , and the characters  $\Gamma^e$  and  $\Gamma^o$  when  $n = 2^k + 1$ , the only Steinberg-like characters of  $S_n$  are:*

- (a) *if  $n = 4$  the sum of all non-linear irreducible characters;*
- (b) *if  $n = 6$  the irreducible character of degree 16;*
- (c) *if  $n = 8$  the sum of the two irreducible characters of degree 64.*

*Proof.* For  $n \leq 6$  this is easily checked from the known character tables. For  $n = 8$  we use a computer program to go through all possibilities. For  $S_{10}$  one checks that no character exists with the right restriction to  $S_8 \times S_2$ , and similarly for  $S_{12}$  one considers the restriction to  $S_8 \times S_4$ . Finally, the cases  $n \in \{7, 9, 11\}$  are treated by restricting to  $S_{n-1}$ .  $\square$

**Theorem 6.12.** *Suppose that the only Steinberg-like character of  $S_{2^k}$ ,  $k \geq 4$ , for  $p = 2$  is the one constructed in Proposition 6.7. Then  $A_n$  does not have Steinberg-like characters for  $p = 2$  for  $n \geq 13$  unless  $n$  or  $n - 1$  is a 2-power. In the latter case, the only Steinberg-like characters are those listed in Proposition 6.9.*

*Proof.* Let  $\psi$  be a Steinberg-like character for  $p = 2$  of  $A_n$ , with  $n \geq 10$ . Then  $\chi := \psi^{S_n}$  is Steinberg-like for  $S_n$ . We argue by induction on  $n$  that  $S_n$  does not have a Steinberg like character, unless  $n$  or  $n - 1$  is a power of 2.

Assume that  $n$  is not a power of 2 and write  $n = 2^{a_1} + \dots + 2^{a_r}$  for distinct exponents  $a_1, \dots, a_r > 0$ . By Lemma 6.11 we may assume  $n \neq 12$ , so one of the summands, say  $2^{a_1}$  is different from 4 and 8. Then the Young subgroup  $Y = Y_1 \times Y_2 := S_{2^{a_1}} \times S_{n-2^{a_1}}$  of  $S_n$  contains

a Sylow 2-subgroup, so  $\chi|_Y$  is Steinberg-like. Then by Lemma 2.7 we have  $\chi|_Y = \sum_i \eta_i \sigma_i$  where  $\eta_i \in \text{Irr}(Y_1)$  are all distinct, the  $\sigma_i$  are 2-vanishing characters of  $Y_2$ , and  $\chi_1 := \sum_i l_p(\sigma_i) \eta_i$  is a 2-vanishing character of  $Y_1$  with  $l_2(\chi_1) = l_2(\chi) = 1$ . Thus by assumption  $\chi_1$  is the character  $\Gamma$  from Proposition 6.7. In particular,  $\chi_1$  is multiplicity-free and so  $l_p(\sigma_i) = 1$  for all  $i$ . So the  $\sigma_i$  are Steinberg-like as well. This is not possible, unless  $n - 2^{a_1}$  is a 2-power as well.

In the latter case, by Lemma 6.11 we conclude that  $n \geq 17$ . The above argument shows that  $\chi|_Y = \Gamma^{(1)} \boxtimes \Gamma^{(2)}$ , with  $\Gamma^{(j)}$  a Steinberg-like character of  $Y_j$ . So in particular  $\chi|_Y$  and hence also  $\chi$  is multiplicity-free. By possibly interchanging  $a_1, a_2$  we may assume that  $2^{a_1} > 8$ . Now consider  $\chi|_{Y_1} = |Y_2|_2 \Gamma^{(1)}$ , a sum of hooks. By the branching rule, any non-hook character of  $S_m$  restricted to  $S_{m-1}$  contains a non-hook character (except when  $m = 4$  which is excluded here). Thus, inductively,  $\chi$  cannot contain any non-hook constituent. This in turn means that all constituents of  $\chi|_{Y_2}$  are hooks and thus by induction that  $\chi|_{Y_2} = |Y_1|_2 \Gamma^{(2)}$ . Now observe that by the Littlewood–Richardson rule [9, Lemma 21.3],  $\Gamma_i|_Y$  contains  $\Gamma_j^{(1)} \boxtimes \Gamma_l^{(2)}$  if and only if  $j + l \in \{i, i + 1\}$ . Thus, on the one hand,  $\Gamma_i|_Y$  and  $\Gamma_{i+1}|_Y$  have a common constituent, and so at most every second hook character occurs in  $\chi$ . On the other hand, every second hook must indeed occur. Thus either  $\chi = \Gamma^e$  or  $\chi = \Gamma^o$  as defined above. If  $n = 2^{a_1} + 1$  then our claim follows from Proposition 6.9, otherwise the degree of  $\chi$  is larger than  $|S_n|_2$ .  $\square$

### 6.3. Projective characters for $p = 2$ .

**Lemma 6.13.** *Let  $p = 2$ . Then  $A_n$  has a projective character of degree  $|A_n|_2$  if and only if  $S_n$  has a projective character of degree  $|S_n|_2$ .*

*Proof.* This follows from Lemma 2.13.  $\square$

**Theorem 6.14.** *Let  $p = 2$  and  $G = A_n$  or  $S_n$  for  $n > 4$ . Then  $G$  has no reducible projective character of degree  $|G|_2$ .*

*Proof.* One can inspect the decomposition matrix modulo 2 of  $G = A_n$  for  $n \leq 9$  to observe that  $G$  has no projective character of degree  $|G|_2$ . Analysing the character table of  $G = A_n$  for  $9 < n \leq 15$  one observes that  $G$  has no  $\text{Syl}_2$ -regular characters, and hence no PIM of dimension  $|G|_2$ .

One can inspect the decomposition matrix of  $G = A_9$  to observe that the minimal dimension of a PIM is 320. Analysing the character table of  $G = A_n$  for  $9 < n \leq 15$  one observes that  $G$  has no  $\text{Syl}_2$ -regular characters, and hence no PIM of dimension  $|G|_2$ .

Let  $n = 16$ . Using the known character table of  $A_{16}$  one finds that there is a unique  $\text{Syl}_2$ -regular character, viz. the character  $\Gamma^0$ ; it is multiplicity-free with constituents of degrees 1, 15, 105, 455, 1365, 3003, 5005, 6435, and Steinberg-like. Recall that the principal PIM is the only one that has  $1_G$  as a constituent. However, by [13], the principal PIM is not of degree  $|G|_2$ .

Let  $n = 2^k$ , where  $k > 4$ . Then  $G$  has a subgroup  $Y$  such that  $Y/N \cong A_{16}$  for some normal 2-subgroup  $N$  and  $|Y|_2 = |G|_2$ . Indeed, let  $P_1, \dots, P_{16}$  be a partition of  $\{1, \dots, n\}$  with all parts of size  $n/16$ . If  $G = S_n$  then  $N$  is the direct product of 16 copies of a Sylow 2-subgroup of  $S_{n/16}$ . If  $G = A_n$  then we take  $N \cap A_n$  for the subgroup in question. Then  $Y$  is a semidirect product of  $N$  with  $S_{16}$ . The latter permutes  $P_1, \dots, P_{16}$  in the natural way. One easily observes that  $|G : Y|$  is odd.

If  $\Phi$  is a PIM of degree  $|G|_2 = |Y|_2$  then so is  $\Phi|_Y$ . By [23, Lemma 3.8], the generalised restriction  $r_{Y/N}^G(\Phi)$  of  $\Phi$  is a PIM of dimension  $|Y/N|_2$ . Such a PIM does not exist as we have just seen.

Let  $n > 16$  be not a 2-power, and write  $n = 2^k + m$ , where  $m < 2^k$ . Further, let  $X = X_1 \times X_2 \leq S_n$ , where  $X_1 \cong S_{2^k}$  and  $X_2 \cong S_m$ . Then the index  $|S_n : X|$  is odd, so  $\Phi|_X$  is a PIM of degree  $|X|_2$ . Therefore,  $\Phi|_X$  is a direct product  $\Phi_1 \times \Phi_2$ , where  $\Phi_i$  is a PIM for  $X_i$  for  $i = 1, 2$ . Obviously,  $\dim \Phi_i = |X_i|_2$ . This is a contradiction, as  $X_1$  has no PIM of degree  $|X_1|_2$ . For  $G = A_n$  the result follows from Lemma 6.13.  $\square$

## 7. EXCEPTIONAL GROUPS OF LIE TYPE

**Theorem 7.1.** *Let  $G$  be a simple group of Lie type which is not classical. Then  $G$  does not have a  $\text{Syl}_p$ -regular character in non-defining characteristic, except for the group  $G = {}^2F_4(2)'$  which has two reducible  $\text{Syl}_3$ -regular characters and two irreducible Steinberg-like characters for  $p = 3$ .*

*Proof.* As in the proof of [13, Thm. 4.1] we compare the maximal order of a Sylow  $p$ -subgroup of  $G$ , which is bounded above by the order of the normaliser of a maximal torus, with the smallest irreducible character degrees (given for example in [20, Tab. I]). This shows that except for very small  $q$  there cannot be any examples of  $\text{Syl}_p$ -regular characters. A closer inspection of the finitely many remaining cases shows that  $G = {}^2F_4(2)'$  has two reducible  $\text{Syl}_3$ -regular characters and two irreducible Steinberg-like character for  $p = 3$ , but no further cases arise.  $\square$

## 8. GROUPS OF LIE TYPE IN THEIR DEFINING CHARACTERISTIC

It was shown in [17] that simple groups of Lie type of sufficiently large rank do not have Steinberg-like characters with respect to the defining characteristic apart from the (irreducible) Steinberg character. More precisely, the Steinberg-like characters were classified except for groups of types  $B_n$  with  $3 \leq n \leq 5$  and  $D_n$  with  $n = 4, 5$ .

Here we deal with the remaining cases.

**Proposition 8.1.** *Let  $G = \text{Spin}_{2n+1}(q)$ ,  $n \in \{3, 4, 5\}$ , with  $q = p^f$  odd. Then  $G$  has no reducible Steinberg-like character with respect to  $p$ .*

*Proof.* We freely use results and methods from [17]. First assume that  $n = 3$ . According to [17, Prop. 6.2] it suffices to consider a group  $H$  (coming from an algebraic group with connected centre) such that  $[H, H] = \text{Spin}_7(q)$ . Let  $\chi$  be a reducible Steinberg-like character of  $H$ . Then  $\chi$  has a linear constituent by [17, Thm. 8.6]. Multiplying by the inverse of that character, we may assume that the trivial character occurs in  $\chi$  (exactly once). By [17, Lemma 2.1], then all constituents of  $\chi$  belong to the principal  $p$ -block, so we may in fact replace  $H$  by  $H/Z(H)$ , that is, we may assume that  $H$  is of adjoint type.

Let  $P \leq H$  be a parabolic subgroup of  $H$  and  $U = O_p(P)$ . By Lemma 2.5(c) the Harish-Chandra restriction  $r_L^H(\chi)$  is a Steinberg-like character of  $L = P/U$ . We will show that there is no possibility for  $\chi$  compatible with Harish-Chandra restriction to all Levi subgroups.

Clearly,  $r_L^H(\chi)$  also contains the trivial character, so is again reducible. The reducible Steinberg-like characters of all proper Levi subgroups of  $H$  are known by [17, Lemmas 7.4 and 7.8]. In particular we must have  $7|(q+1)$  and for  $L$  of type  $A_2$  we have  $r_L^H(\chi) = 1_L + \mu$  with  $\mu \in \text{Irr}(L)$  of degree  $q^3 - 1$ . Thus  $\mu$  lies in the Lusztig series of a regular semisimple element

$s \in L^*$  (the dual group of  $L$ ) with centraliser a maximal torus of order  $(q^2 - 1)(q - 1)$ . Thus  $\chi$  has to contain a constituent  $\psi_1$  lying in the Lusztig series of  $s$ . It is easily seen that the centraliser of  $s$  in  $G^*$  is either a maximal torus, or of type  $A_1(q).(q^2 - 1)$ . Correspondingly,

$$\psi_1(1) \in \{(q^6 - 1)(q^2 + 1)(q + 1), (q^6 - 1)(q^2 + 1), q(q^6 - 1)(q^2 + 1)\}.$$

But the first and the last are bigger than  $q^9$ , so  $\psi_1(1) = (q^6 - 1)(q^2 + 1)$ . Now if  $\chi$  contains any other constituent apart from  $1_G$  in the principal series, then its generalised restriction to  $L$  is non-zero, contradicting  $r_L^H(\chi) = 1_L + \mu$ .

Next, the Harish-Chandra restriction to a Levi subgroup  $L$  of type  $B_2$  has the form  $r_L^H(\chi) = 1_L + \nu_1 + \nu_2 + \nu_3$  with  $\nu_1(1) = (q - 1)^2(q^2 + 1)$  and  $\nu_2(1) = \nu_3(1) = (q - 1)(q^2 + 1)$ . In particular  $\nu_1$  lies in the Lusztig series of a regular semisimple element  $t \in L^*$  (of order 7 dividing  $q + 1$ ) with centraliser a maximal torus of order  $(q^2 - 1)(q + 1)$ . The centraliser of  $t$  in  $G^*$  then either is the same maximal torus, or of type  $A_1(q).(q + 1)^2$ . Correspondingly,  $\chi$  has a constituent  $\psi_2$  in the Lusztig series of  $t$  of degree

$$d_1 := \frac{(q^6 - 1)(q^2 + 1)(q - 1)}{(q + 1)}, \quad d_2 := \frac{q(q^6 - 1)(q^2 + 1)(q - 1)}{(q + 1)} \text{ or } (q^6 - 1)(q^2 + 1)(q - 1).$$

The last one is larger than  $q^9 - 1 - \psi_1(1)$ , so  $\psi_2(1) \in \{d_1, d_2\}$ . Furthermore, by [17, Lemma 3.1],  $\chi$  contains at least one regular constituent. This is either  $\psi_2$  of degree  $d_2$ , or, if  $\psi_2(1) = d_1$  then one can check from the known list of character degrees of  $H$  (which can be found at [12]) that the only regular character  $\psi_3$  of small enough degree has degree  $d_3 := (q^6 - 1)(q^2 + 1)(q - 1)^2 / (q + 1)$ . Observe that  $d_2 = d_1 + d_3$ . So the sum of remaining character degrees is

$$d := q^9 - 1 - \psi_1(1) - d_2 = q(q^3 - 1)(q^4 - 3q^3 + 3q^2 - 3q + 1).$$

Now note that  $\chi$  cannot have further unipotent constituents since they would lead to unipotent constituents of  $r_L^H(\chi)$  (as  $H$  has no cuspidal unipotent characters). It turns out that all remaining candidates except for one of degree  $\lambda(1) = (q^3 - 1)(q^2 + 1)(q - 1)$  have degree divisible by  $q^2 - q + 1$ . Now we have  $\lambda(1) \equiv 2 \pmod{q^2 - q + 1}$ , while  $d \equiv -2 \pmod{q^2 - q + 1}$ . It follows that  $\lambda$  would have to occur at least  $q^2 - q$  times in  $\chi$ . As  $(q^2 - q)\lambda(1) > d$ , this is not possible. This contradiction concludes the proof for the case  $n = 3$ .

The cases of  $\text{Spin}_9(q)$  and  $\text{Spin}_{11}(q)$  now follow from the previous one by application of the inductive argument in the proof of [17, Thm. 10.1].  $\square$

**Proposition 8.2.** *Let  $G = \text{Spin}_{2n}^+(q)$ ,  $n \in \{4, 5\}$  and  $q = p^f$ . Then  $G$  has no reducible Steinberg-like character with respect to  $p$ .*

*Proof.* First consider the case  $n = 4$ . As in the previous proof, by [17, Prop. 6.2 and Lemma 2.1] we may work with  $H$  of adjoint type. Let  $\chi$  be a reducible Steinberg-like character of  $H$ . Then  $\chi$  contains  $1_H$  by [17, Thm. 8.6] and hence so does its Harish-Chandra restriction  $r_L^H(\chi)$  to a Levi subgroup of type  $A_3$ . Then by [17, Lemma 9.1] we have  $q \equiv -1 \pmod{3}$  and

$$r_L^H(\chi) = 1_L + \mu_1 + \mu_2 + \mu_3$$

with  $\mu_1$  a cuspidal character labelled by a regular element  $s \in L^*$  in a torus of order  $q^4 - 1$ , of order dividing  $(q^2 + 1)(q + 1)$  in  $L^*/Z(L^*)$ . But then  $s$  is also regular in  $H^*$ , that is,  $\chi$  has a constituent  $\psi$  of degree  $(q^6 - 1)(q^4 - 1)(q^2 - 1)$ . This holds for all three conjugacy classes of Levi subgroups of type  $A_3$ . Comparison of degrees shows that this is not possible. The case of

$\text{Spin}_{10}(q)$  again follows from the previous one by application of the argument in the proof of [17, Thm. 10.1].  $\square$

## 9. CLASSICAL GROUPS OF LARGE RANK

As an application of results obtained in Section 6 we show here that classical groups of large rank have no Steinberg like character for  $p > 2$ , provided  $p$  is not the defining characteristic of  $G$ . Throughout  $p$  is an odd prime not dividing  $q$  and we set  $e := e_p(q)$ , the order of  $q$  modulo  $p$ . We first illustrate our method on the groups  $\text{GL}_n(q)$ .

**Lemma 9.1.** *Let  $G = \text{GL}_n(q)$ ,  $p > 2$ , and let  $S$  be a Sylow  $p$ -subgroup of  $G$ .*

- (a) *Write  $n = me + m'$ , where  $0 \leq m' < e$ . Then there exist subgroups  $U \leq S \leq N \leq G$ , where  $U$  is an abelian normal  $p$ -subgroup of  $N$  and  $N/U \cong A_m$ .*
- (b) *If  $m > \max\{6, p+1\}$  or  $m' > 0$  then  $G$  has no Steinberg-like character.*

*Proof.* (a) See [21]. (b) If  $m' > 0$  then  $G$  contains a subgroup  $X$  such that  $X \cong \text{GL}_{m'}(q)$  and  $C_G(X)$  contains a Sylow  $p$ -subgroup of  $G$ . As  $X$  is a  $p'$ -group, the result follows from Lemma 2.3. Let  $m' = 0$ . Suppose the contrary, and let  $\chi$  be a Steinberg-like character of  $G$ . By Lemma 2.5,  $A_m$  must have a Steinberg-like character. However, this is false by Theorem 6.4.  $\square$

For other classical groups the argument is similar, but involves more technical details. Let  $d = e_p(-q)$  be the order of  $-q$  modulo  $p$ , equivalently,  $d = 2e$  if  $e$  is odd,  $d = e/2$  if  $e \equiv 2 \pmod{4}$ , and  $d = e$  if  $4|e$ . So  $d = 1$  if and only if  $e = 2$ , equivalently,  $p|(q+1)$ . Note that  $e = 2e_p(q^2)$  if  $e$  is even.

**Lemma 9.2.** [21] *Let  $G = \text{GU}_n(q)$  and  $p > 2$ . Then the Sylow  $p$ -subgroups of  $G$  are isomorphic to those of  $H$ , where  $H \cong \text{GL}_{\lfloor n/2 \rfloor}(q)$  if  $e$  is odd,  $H \cong \text{GL}_{\lfloor n/2 \rfloor}(q^2)$  if  $4|e$  and  $H \cong \text{GL}_n(q^2)$  if  $e \equiv 2 \pmod{4}$ .*

**Lemma 9.3.** *Let  $G = \text{GU}_n(q)$ ,  $p > 2$ , and let  $S$  be a Sylow  $p$ -subgroup of  $G$ . Suppose that  $e \equiv 2 \pmod{4}$ , equivalently,  $d$  is odd.*

- (a) *Write  $n = md + m'$ , where  $0 \leq m' < d$ . Then there exist subgroups  $U \leq S \leq N \leq G$ , where  $U$  is an abelian normal  $p$ -subgroup of  $N$  and  $N/U \cong A_m$ .*
- (b) *If  $m > \max\{6, p+1\}$  or  $m' > 0$  then  $G$  has no Steinberg-like character.*

*Proof.* (a) Suppose first that  $e = 2$ . Let  $V$  be the natural  $\mathbb{F}_q H$ -module. Then  $V$  is a direct sum  $\bigoplus_{i=1}^n V_i$ , where  $V_i$ 's are non-degenerate subspaces of dimension 1. Let  $X$  be the stabiliser of this decomposition, that is,  $X = \{x \in G \mid xV_i = V_j \text{ for some } j = j(x) \in \{1, \dots, n\}\}$ . Then  $X \cong X_1 \cdot S_n$  (a semidirect product), where  $X_1 \cong (\text{GU}_1(q) \times \dots \times \text{GU}_1(q))$  ( $n$  factors). Let  $U$  be the Sylow  $p$ -subgroup of  $X_1$ . Then  $U$  is normal in  $X$  and abelian. It is well known that  $X$  contains a Sylow  $p$ -subgroup of  $G$ . Therefore,  $N = UA_n$  satisfies the statement.

Let  $e > 2$ . As  $d$  is odd, there is an embedding  $\text{GU}_m(q^d) \rightarrow \text{GU}_{md}(q)$  (see [8, Hilfssatz 1]). Note that  $e_p(q^d) = 2$  and  $|\text{GU}_m(q^d)|_p = |\text{GU}_{md}(q)|_p$ . As  $\text{GU}_{md}(q)$  is isomorphic to a subgroup of  $G$ , the result follows.

(b) is similar to the proof of Lemma 9.1(b).  $\square$

**Lemma 9.4.** *Let  $p > 2$ ,  $2n = me$ , where  $e = e_p(q)$  is even, and  $X = \text{GU}_m(q^{e/2})$ .*

- (a) *If  $m$  is even (resp., odd) then  $X$  is isomorphic to a subgroup of  $\text{GO}_{2n}^+(q)$  (resp.,  $\text{GO}_{2n}^-(q)$ ).*

(b)  $X$  is isomorphic to a subgroup of  $\mathrm{Sp}_{2n}(q)$ , of  $\mathrm{GO}_{2n+1}(q)$ , of  $\mathrm{GO}_{2n+e}^+(q)$  as well as of  $\mathrm{GO}_{2n+e}^-(q)$ .

In addition,  $X$  contains a Sylow  $p$ -subgroup of the respective group.

*Proof.* (a) follows from [3, Lemma 6.6] as well as (b) for  $\mathrm{Sp}_{2n}(q)$ . The second case in (b) follows from (a) as the groups  $\mathrm{GO}_{2n+1}(q)$ ,  $\mathrm{GO}_{2n+e}^+(q)$  and  $\mathrm{GO}_{2n+e}^-(q)$  contain subgroups isomorphic to  $\mathrm{GO}_{2n}^+(q)$  and  $\mathrm{GO}_{2n}^-(q)$ .

The additional statement can be read off from the orders of the groups in question. (The cases with  $\mathrm{Sp}_{2n}(q)$ ,  $\mathrm{GO}_{2n}^-(q)$  and  $\mathrm{GO}_{2n+1}(q)$  are considered in [6, Lemmas 3.14 and 3.16], that of  $\mathrm{GO}_{2n}^+(q)$  is similar.)  $\square$

**Lemma 9.5.** *Let  $p > 2$ . Let  $H$  be one of the following groups:*

- (1)  $H = \mathrm{GU}_n(q)$  with  $n = md + m'$ , where  $m' < d$ ;
- (2)  $H \in \{\mathrm{Sp}_{2n}(q), \mathrm{GO}_{2n+1}(q), \mathrm{GO}_{2n}^+(q), \mathrm{GO}_{2(n+1)}^-(q)\}$  with  $n = me + m'$ , where  $e$  is odd and  $m' < e$ ;
- (3)  $H \in \{\mathrm{Sp}_{2n}(q), \mathrm{GO}_{2n+1}(q)\}$  with  $2n = me + m'$  where  $e$  is even and  $m' < e$ ;
- (4)  $H = \mathrm{GO}_{2n}^\pm(q)$  with  $2n = me + m'$  where  $e$  is even,  $m' < e$ , and either  $m' > 0$ , or  $m' = 0$  and then either  $H = \mathrm{GO}_{2n}^+(q)$ ,  $m$  is even, or  $H = \mathrm{GO}_{2n}^-(q)$ ,  $m$  is odd;
- (5) let  $e$  be even,  $2n = (m+1)e$  and  $H = \mathrm{GO}_{2n}^+(q)$ ,  $m+1$  is odd, or  $H = \mathrm{GO}_{2n}^-(q)$  and  $m+1$  is even.

Let  $S$  be a Sylow  $p$ -subgroup of  $H$ . Then there exist subgroups  $U \leq S \leq P \leq H$ , where  $U$  is an abelian normal  $p$ -subgroup of  $P$  and  $P/U \cong A_m$ .

*Proof.* (1) The case  $e \equiv 2 \pmod{4}$  is handled in Lemma 9.3. In the remaining cases the result follows from Lemmas 9.1 and 9.2, as  $\mathrm{GL}_{[n/2]}(q^2)$  is isomorphic to a subgroup of  $G$ .

(2) By Lemma 9.2,  $|H|_p = |\mathrm{GL}_n(q)|_p$ . So the result follows from Lemma 9.1.

(3) This follows from Lemmas 9.4 and 9.1. (Note that  $H = \mathrm{GO}_{2n+1}(q)$  contains subgroups isomorphic to  $\mathrm{GO}_{2n+1}^+(q)$  and  $\mathrm{GO}_{2n}^-(q)$  and one of them contains a Sylow  $p$ -subgroup of  $H$ .)

(4) Similar to (3). Note that if  $m' > 0$  then  $H$  contains subgroups isomorphic to  $\mathrm{GO}_{me}^+(q)$  and  $\mathrm{GO}_{me}^-(q)$ , and one of them contains a Sylow  $p$ -subgroup of  $H$ .

(5) In this case a subgroup  $X$  of  $H$  isomorphic to  $\mathrm{GO}_{2n-e}^+(q)$  and  $\mathrm{GO}_{2n-e}^-(q)$ , respectively, contains a Sylow  $p$ -subgroup of  $H$ . ( $|H : X|_p = 1$  is easily checked.) So the result follows from (4).  $\square$

Our result for alternating groups (Corollary 6.5) implies the following:

**Proposition 9.6.** *Let  $p > 2$  and  $e = e_p(q)$ . Let  $m = \max\{7, p+2\}$ . Let  $G$  be one of the following groups:*

- (1)  $\mathrm{PSL}_n(q)$  and  $n \geq em$ ;
- (2)  $\mathrm{PSU}_n(q)$  and  $n \geq dm$ , where  $d = e_p(-q)$ ;
- (3)  $\Omega_{2n+1}(q)$ ,  $q$  odd, or  $\mathrm{P}\Omega_{2n}^+(q)$ ,  $n > 1$ , and  $n \geq em$  if  $e$  is odd, otherwise  $2n \geq em$ ;
- (4)  $\mathrm{P}\Omega_{2n}^+(q)$ , and  $n \geq em$  if  $e$  is odd, otherwise  $2n \geq em$ ;
- (5)  $\mathrm{P}\Omega_{2n}^-(q)$ , and  $n-1 \geq em$  if  $e$  is odd, otherwise  $2n \geq em$ .

Then  $G$  has no Steinberg-like character. This remains true for any group  $H$  such that  $G$  is normal in  $H/Z(H)$  and  $(H/Z(H))/G$  is abelian.

*Proof.* Suppose first that  $H$  is as in Lemma 9.5. Let  $S \in \text{Syl}_p(H)$ . Then there are subgroups  $U \leq S \leq P \leq H$ , where  $U$  is normal in  $P$  and  $N/U \cong A_m$  with  $m \geq m = \max\{7, p+2\}$ . So  $A_m$  is perfect. Let  $H_1$  be the derived subgroup of  $H$ . Set  $P_1 = P \cap H_1$ ,  $S_1 = S \cap H_1$ ,  $U_1 = U \cap H_1$ . Then we have  $S_1 \in \text{Syl}_p(H_1)$ ,  $U_1 \leq S_1 \leq P_1 \leq H_1$  and  $P_1/U_1 \cong A_m$ , as  $A_m$  is perfect. A similar statement is true for the quotient of  $H_1$  by a central subgroup. Then the result follows from Theorem 6.4 using Lemma 2.5.  $\square$

## 10. MINIMAL CHARACTERS AND SYLOW $p$ -SUBGROUPS, $p > 2$

In this section we show that if  $p > 2$  and  $G$  is a simple classical group not satisfying the assumptions in Proposition 9.6,  $p$  is not the defining characteristic of  $G$  and a Sylow  $p$ -subgroup  $S$  of  $G$  is not cyclic, then  $G$  has no  $\text{Syl}_p$ -regular character and hence no Steinberg-like character. Observe that  $S$  is cyclic if and only if  $m = 1$ , and abelian if and only if  $m < p$ , where  $m$  is as in Lemma 9.5. The case where  $S$  is cyclic has been dealt with in Section 4.

For a group  $G$ , let  $\mu_0(G) = 1 < \mu_1(G) < \mu_2(G) < \dots$  denote the sequence of integers such that for  $i > 0$ ,  $G$  has an irreducible character of degree  $\mu_i(G)$  and no irreducible character  $\rho$  with  $\mu_{i-1}(G) < \rho(1) < \mu_i(G)$ . For universal covering groups of finite classical groups the values  $\mu_1(G), \mu_2(G), \mu_3(G)$  were determined in [20]. In our analysis below these three values play a significant role, but mainly for classical centreless groups  $G$  such as  $\text{PGL}_n(q)$ ,  $\text{PGU}_n(q)$ ,  $\text{PSp}_{2n}(q)$ ,  $\text{P}\Omega_{2n}^\pm(q)$  and  $\Omega_{2n+1}(q)$ . For these groups, mainly for  $2e \leq n \leq pe$  with  $p > 2$ , we observe that  $|G|_p < \mu_3(G)$  and sometimes  $|G|_p < \mu_1(G)$ . In the latter case it is immediate to conclude that  $G$  has no  $\text{Syl}_p$ -regular character, in the other cases we observe that there exists an element  $g \in G$  of order  $p$  such that  $\rho(g) > 0$  for each irreducible character  $\rho$  of degree at most  $\mu_2(G)$ . For  $n > pe$  we use a different method.

Recall that  $e = e_p(q)$  denotes the minimal integer  $i > 0$  such that  $q^i - 1$  is divisible by  $p$ .

**10.1. The groups  $\text{GL}_n(q)$ ,  $n \geq 2e$ .** Set  $d_n = (q^n - 1)/(q - 1)$ . Let  $G = \text{SL}_n(q)$ . The minimal degrees of projective irreducible representations of  $\text{PSL}_n(q)$  are given in [20, Table IV]. Table 1 is obtained from this by omitting the representations that are not realisable as ordinary representations of  $\text{SL}_n(q)$ .

$n, q$	$\mu_1(G)$	$\mu_2(G)$	$\mu_3(G)$
$n = 3, q > 2$	$d_3 - 1$	$d_3$	$(q^2 - 1)(q - 1)/(3, q - 1)$
$n = 4, q > 3$	$d_4 - 1$	$d_4$	$(q^3 - 1)(q - 1)/(2, q - 1)$
$n = 4, q = 3$	26	39	52
$n > 4, q > 2, (n, q) \neq (6, 3)$	$d_n - 1$	$d_n$	$d_n(q^{n-1} - q^2)/(q^2 - 1)$
$n > 4, q = 2, n \neq 6$	$d_n - 1$	$d_n(2^{n-1} - 4)/3$	$d_n d_{n-1}/3$
$n = 6, q = 2$	62	217	588
$n = 6, q = 3$	363	364	6318

TABLE 1. Minimal degrees of irreducible characters of  $\text{SL}_n(q)$

**Lemma 10.1.** *Let  $p > 2, e > 1$ , and  $G = \text{GL}_{en}(q)$ . Suppose that  $1 < n \leq p$ , and if  $q = 2$  suppose that either  $n < p$  or  $p < 2^e - 1$ . Then  $|G|_p < \mu_1(G)$  and  $G$  has no  $\text{Syl}_p$ -regular character.*

*Proof.* If  $n < p$  then we have  $|G|_p \leq (q^e - 1)^n / (q - 1)^n$  (as  $p$  is coprime to  $q - 1$ ), and  $\mu_1(G) = (q^{en} - q) / (q - 1)$ . So the statement is obvious in this case.

Let  $n = p$ . If  $q > 2$  then  $|G|_p \leq \frac{p(q^e - 1)^p}{(q - 1)^p}$ , while  $\mu_1(G) = \frac{q^{ep} - q}{q - 1}$ . (As  $p > 2$ , the exceptions in Table 1 can be ignored, except for  $e = 3$  and  $(n, q) = (6, 2)$  or  $(6, 3)$ ; these two cases are trivial.) We have  $p(q^e - 1)^p < (q - 1)^{p-1}(q^{ep} - q)$  as  $p < (q - 1)^{p-1}$  for  $q > 2$  and  $(q^e - 1)^p < q^{ep} - q$ .

If  $q = 2$  and  $p < 2^e - 1$  then we have  $p \leq (2^e - 1)/3$  and  $|S| \leq p(2^e - 1)^p / 3^p$  is less than  $2^{ep} - 2 = \mu_1(G)$  as  $p < 3^p$ .  $\square$

**Remark 10.2.** Lemma 10.1 does not extend to the case  $q = 2$  with  $n = p = 2^e - 1$  as then

$$|G|_p = p(2^e - 1)^p = p^{p+1} > (p + 1)^p - 2 = 2^{ep} - 2 = \mu_1(G).$$

So the case  $e > 1$  leaves us with  $q = 2$ , which we deal with next.

**Lemma 10.3.** *Let  $e > 1$  and  $G = \text{GL}_{ep}(2)$ . Then  $|G|_p < \mu_2(G)$  and  $G$  has no  $\text{Syl}_p$ -regular character.*

*Proof.* We have  $|G|_p \leq p(2^e - 1)^p$ . By Table 1 we have

$$\mu_1(G) = 2^{ep} - 2 \quad \text{and} \quad \mu_2(G) = \frac{(2^{ep} - 1)(2^{ep-1} - 4)}{3} > |G|_p,$$

or  $ep = 3, 4, 6$ . As  $p$  is odd and  $e > 1$ , we have  $ep \neq 3, 4$ , so in the exceptional cases  $e = 2, p = 3$  where  $|G|_p = 81 < \mu_2(G) = 217$ .

Let  $\pi$  be the permutation character of  $G$  associated with the action of  $G$  on the non-zero vectors of the natural  $\mathbb{F}_2G$ -module. Then  $\pi = \tau + 1_G$ , where  $\tau$  is a character of  $G$  of degree  $\tau(1) = 2^{ep} - 2$ . There is a unique irreducible character of  $G$  of degree  $2^{ep} - 2$  (see [20, Table IV]), and hence it coincides with  $\tau$ . Let  $\chi$  be a  $\text{Syl}_p$ -regular character of  $G$ . As  $\chi(1) = |G|_p$ , it follows that the irreducible constituents of  $\chi$  are either  $1_G$  or  $\tau$ . As  $\chi(g) = 0$  for every  $p$ -element  $g \in G$ , we get a contradiction as soon as we show that  $\tau(g) > 0$  for some  $p$ -element  $g \in G$ . This is equivalent to showing that  $\pi(g) > 1$ . This can be easily verified.  $\square$

**Lemma 10.4.** *Suppose that  $p > 2$ ,  $p|(q - 1)$  and let  $\text{SL}_n(q) \leq G \leq \text{GL}_n(q)$  for  $2 < n < p$ . Then  $G$  has no  $\text{Syl}_p$ -regular character.*

*Proof.* Let  $G_1 = G/Z(G)$ . Then  $|G_1|_p \leq |q - 1|_p^{n-1}$  and  $\mu_1(G_1) = (q^n - q) / (q - 1) > q^{n-1}$  as above. So  $|G_1|_p < \mu_1(G_1)$ , and  $G_1$  has no  $\text{Syl}_p$ -regular character. Then neither has  $G$  by Lemma 2.5.  $\square$

**10.2. The groups  $\text{GU}_n(q)$ ,  $n > 2$ .** In this section we consider the case where  $p > 2$  and a Sylow  $p$ -subgroup of  $\text{GU}_n(q)$  is abelian or abelian-by-cyclic. This implies  $n < dp^2$ , where  $d$  is the order of  $-q$  modulo  $p$ , equivalently,  $d = 2e$  if  $e$  is odd,  $d = e/2$  if  $e \equiv 2 \pmod{4}$ , and  $d = e$  if  $4|e$ . So  $d = 1$  if and only if  $e = 2$ , equivalently,  $p|(q + 1)$ . Note that  $e = 2e_p(q^2)$  if  $e$  is even.

**Lemma 10.5.** *Let  $p$  be odd, and let  $S$  be a Sylow  $p$ -subgroup of  $G = \text{GU}_n(q)$ . Then  $S$  is abelian and not cyclic if and only if  $2d \leq n < dp$ .*

*Proof.* If  $X = \text{GL}_n(q)$  then Sylow  $p$ -subgroups of  $X$  are abelian if and only if  $n < ep$ . Let  $S$  be a Sylow  $p$ -subgroup of  $G$ . We use Lemma 9.2. If  $e \equiv 2 \pmod{4}$  then  $|G|_p = |\text{GL}_n(q^2)|_p$ , so  $S$  is abelian if and only if  $n < e_p(q^2)p = ep/2 = dp$ . If  $e$  is odd then  $|G|_p = |\text{GL}_{\lfloor n/2 \rfloor}(q)|_p$ , so  $S$  is abelian if and only if  $\lfloor n/2 \rfloor < ep$ , equivalently,  $n < 2ep = dp$ . If  $4|e$  then  $|G|_p = |\text{GL}_{\lfloor n/2 \rfloor}(q^2)|_p$ ,

so  $S$  is abelian if and only if  $\lfloor n/2 \rfloor < e_p(q^2)p$ , equivalently,  $n < ep = dp$ . Similarly,  $S$  is cyclic if and only if  $n < 2d$ . So the lemma follows.  $\square$

**Lemma 10.6.** *Let  $p > 2$ ,  $d > 1$  and  $\mathrm{SU}_{dp}(q) \leq G \leq \mathrm{GU}_{dp}(q)$ . Then  $|G|_p < \mu_1(G)$  and  $G$  has no  $\mathrm{Syl}_p$ -regular character. This remains true if  $\mathrm{SU}_n(q) \leq G \leq \mathrm{GU}_n(q)$  with  $2d \leq n < dp$ .*

*Proof.* Note that  $d > 1$  means that  $p$  does not divide  $q + 1$ , so  $|G|_p = |\mathrm{SU}_d(q)|_p$ . So it suffices to prove the lemma for  $G = \mathrm{SU}_{dp}(q)$ . First assume that  $d$  is odd, so  $e \equiv 2 \pmod{4}$  and  $d = e/2$ . Then we have

$$|G|_p \leq \frac{p(q^{e/2} + 1)^p}{(q + 1)^p} = \frac{p(q^d + 1)^p}{(q + 1)^p} \quad \text{and} \quad \mu_1(G) = \frac{(q^{dp} - q)}{(q + 1)}.$$

In this case  $|G|_p < \mu_1(G)$ . Similarly, if  $e$  is even then  $d = 2e$ ,

$$|G|_p \leq \frac{p(q^d - 1)^p}{(q + 1)^p} \quad \text{and} \quad \mu_1(G) = \frac{(q^{dp} - 1)}{(q + 1)},$$

so again  $|G|_p < \mu_1(G)$ .

Finally assume that  $4|e$ . Then  $d = e$ ,

$$|G|_p \leq \frac{p(q^e - 1)^p}{(q^2 - 1)^p} \quad \text{and} \quad \mu_1(G) = \frac{(q^{ep} - 1)}{(q + 1)}.$$

So  $|G|_p < \mu_1(G)$  again. This implies that  $G$  has no  $\mathrm{Syl}_p$ -regular character.

The proof of the additional statement is similar.  $\square$

Thus, we are left with primes such that  $p|(q + 1)$ . We first consider the case where  $n < p$ .

**Lemma 10.7.** *Let  $p|(q + 1)$ ,  $2 < n < p$ , and  $\mathrm{SU}_n(q) \leq G \leq \mathrm{GU}_n(q)$ . Then  $G$  has no  $\mathrm{Syl}_p$ -regular character.*

*Proof.* Let

$$G_1 = \{g \in G \mid \det g \text{ is an element of } \mathrm{GU}_1(q) \text{ of } p'\text{-order}\}.$$

Then  $|G : G_1| = |Z_p|$ , where  $Z_p$  is the Sylow  $p$ -subgroup of  $Z(G)$ . It follows that  $G = G_1 \times Z_p$  as  $Z_p \cap G_1 = 1$ . By Lemma 2.6, the result for  $G$  follows if we show that  $G_1$  has no  $\mathrm{Syl}_p$ -regular character. In turn, this follows from the same result for  $G' = \mathrm{SU}_n(q)$  as  $|G_1 : G'|$  is coprime to  $p$ . So we deal with  $G'$ .

Suppose the contrary, and let  $\chi$  be a  $\mathrm{Syl}_p$ -regular character of  $G'$ .

First, let  $n = 3$ . Then  $\chi(1) = |G'|_p = (q + 1)_p^2$  for  $p > 3$ . By [20, Table V],  $\mu_1(G') = q^2 - q$ . Let  $q > 4$ . Then

$$\chi(1) = |G'|_p = (q + 1)_p^2 < 2(q^2 - q) = 2\mu_1(G').$$

So  $\chi$  has a single non-trivial irreducible constituent  $\rho$ , and  $\rho(1) \leq \chi(1)$ . Again by [20, Table V],  $q^2 - q \leq \rho(1) \leq q^2 - q + 1$ . As  $\chi$  is  $\mathrm{Syl}_p$ -regular,  $(\chi, 1_{G'}) \leq 1$  (Lemma 2.1). Therefore,  $\chi(1) \leq \rho(1) + 1 \leq q^2 - q + 2$ , which is false. The case with  $q = 4$  can be read off from the character table of  $G'$ .

Let  $n > 3$  and let  $V$  be the natural  $\mathbb{F}_{q^2}G'$ -module. Let  $b_1, \dots, b_n$  be an orthogonal basis in  $V$  and let  $W = \langle b_1, b_2, b_3 \rangle$ . Then  $W$  is a non-degenerate subspace of  $V$  of dimension 3. Set  $X = \{h \in G' \mid hW = W \text{ and } hb_i \in \langle b_i \rangle \text{ for } i = 4, \dots, n\}$  and  $U := O_p(X)$ . Then  $U \subseteq Z(X)$  and every element of  $U$  acts diagonally on  $W$ . Let  $X'$  be the derived subgroup of  $X$  and  $P = X'U$ .

Then  $X' \cong \mathrm{SU}_3(q)$  and  $P/U \cong \mathrm{SU}_3(q)$  (as  $p > 3$ ). By the above,  $\mathrm{SU}_3(q)$  has no  $\mathrm{Syl}_p$ -regular character. As  $P$  contains a Sylow  $p$ -subgroup of  $G'$ , the result follows from Lemma 2.5.  $\square$

**Lemma 10.8.** *Let  $\mathrm{SU}_3(8) \leq G \leq \mathrm{GU}_3(8)$ . Then  $G$  has no  $\mathrm{Syl}_3$ -regular character.*

*Proof.* By Lemma 2.5, it suffices to prove that  $\mathrm{PSU}_3(8)$  and  $\mathrm{PGU}_3(8)$  have no  $\mathrm{Syl}_3$ -regular character. Suppose the contrary, and let  $\chi$  be a  $\mathrm{Syl}_3$ -regular character of any of these groups. As  $|\mathrm{PGU}_3(8)|_3 = 243$ , we have  $\chi(1) \leq 243$ . Let  $\rho$  be an irreducible constituent of  $\chi$ . Then  $\rho(1) \leq 243$ . By [1],  $\rho(1) \in \{1, 56, 57, 133\}$ , and the characters of degree 1, 56, 133 are positive at the class 9A, whereas those of degree 57 vanish at this class. It follows that  $\rho(1) = 57$ , but then  $\rho$  is positive at the class 3C. This is a contradiction.  $\square$

**Lemma 10.9.** *Let  $H = \mathrm{SU}_p(q)$ , where  $p > 2$ ,  $p|(q+1)$ , or  $H = \mathrm{SL}_p(q)$ , where  $p > 2$ ,  $p|(q-1)$ , and  $h = \mathrm{diag}(1, \varepsilon, \varepsilon^2, \dots, \varepsilon^{p-1}) \in H$  with  $\varepsilon \in \mathbb{F}_{q^2}^\times$  a primitive  $p$ -th root of unity. Let  $\chi$  be an irreducible character of  $H$  whose kernel has order prime to  $p$ . Then  $\chi(h) = 0$ .*

*Proof.* The element  $h$  is written in an orthogonal basis of the underlying vector space in the unitary case. Then  $h \in E$ , where  $E \leq H$  is an extraspecial group of order  $p^3$  such that  $Z(E) = Z(H)$ . The restriction of  $\chi$  to  $E$  is a direct sum of irreducible representations of  $E$  non-trivial on  $Z(E)$ . It is well known and can be easily checked that the character of every such representation vanishes at  $h$ . So the claim follows.  $\square$

Let  $H = \mathrm{GU}_n(q)$  or  $\mathrm{GL}_n(q)$  with  $n > 2$ . Weil representations of these groups were studied by Howe [7] and other authors, and have many applications, mainly because their irreducible constituents (which we call irreducible Weil representations) essentially exhaust the irreducible representations of degree  $\mu_1(H)$  and  $\mu_2(H)$ . More details are given below for  $n = p$ ,  $p$  odd. Let  $M$  be the underlying space of the Weil representation of  $H$ . Then  $M = \bigoplus_{\zeta \in \mathrm{Irr}(Z(H))} M_\zeta$ , where  $M_\zeta = \{m \in M \mid zm = \zeta(z)m \text{ for } z \in Z(H)\}$ . In general,  $H$  is irreducible on  $M_\zeta$ , except for the case where  $H = \mathrm{GL}_p(q)$  and  $\zeta = 1_{Z(H)}$ . In this case  $M_\zeta$  is a sum of a one-dimensional and an irreducible  $H$ -invariant subspace.

So the irreducible Weil representations  $\rho$  of  $H$  of dimension greater than 1 are parameterised by their restriction to  $Z(H)$ , and each of them remains irreducible under restriction to  $H' = \mathrm{SU}_n(q)$  or  $\mathrm{SL}_n(q)$ . By [20], every irreducible representation of  $H'$  of degree  $\mu_1(H)$  and  $\mu_2(H)$  is an irreducible Weil representation. Moreover, every irreducible representation of  $H$  of degree  $\mu_1(H)$  and  $\mu_2(H)$  is obtained from an irreducible Weil representation by tensoring with a one-dimensional representation.

**Lemma 10.10.** *Let  $p > 2$ , and  $H = \mathrm{GU}_p(q)$ , where  $p|(q+1)$ ,  $(p, q) \neq (3, 2)$ , or  $\mathrm{GL}_p(q)$ , where  $p|(q-1)$ . Let  $\zeta \in \mathrm{Irr}(Z(H))$ . Let  $\rho = \rho_\zeta$  be the character of an irreducible constituent of the Weil representation  $\omega$  of  $H$  labelled by  $\zeta$  (where  $\rho(1) > 1$ ). Let  $h$  be as in Lemma 10.9. Then  $\rho(h) \in \{0, p, p-1\}$ , except for the case with  $G = \mathrm{GL}_p(q)$  and  $\zeta = 1_{Z(H)}$ , where  $\rho(h) = p-2$ . In addition,  $\rho(h) \neq 0$  if and only if  $\rho(z) = 1$  for an element  $z \in Z(H)$  of order  $p$ .*

*Proof.* We only consider the case  $H = \mathrm{GU}_p(q)$ , as the case  $H = \mathrm{GL}_p(q)$  is similar.

Let  $Z = Z(H)$ ,  $\zeta \in \mathrm{Irr}(Z)$  and let  $\rho = \rho_\zeta$  be the irreducible constituent of  $\omega$  labelled by  $\zeta$ . This means that  $\rho(z) = \rho(1)\zeta(z)$ .

Let  $X = \langle Z, h \rangle$ . Let  $\varepsilon_i$  be the character of  $\langle h \rangle$  such that  $\varepsilon_i(h) = \nu^i$ , where  $\nu$  is a fixed  $p$ th root of unity,  $i = 1, \dots, p$ . Then the multiplicity of the eigenvalue  $\nu^i$  of  $\rho(h)$  equals  $(\omega|_X, \zeta \cdot \varepsilon_i)$ .

Recall that  $\omega(x) = -(-q)^d$ , where  $d$  is the multiplicity of the eigenvalue 1 of  $x$  as a matrix in  $\mathrm{GU}_p(q)$ . Therefore,  $\omega(1) = q^n$ , and if  $x = zh^k$  and  $z^p \neq 1$  then  $\omega(x) = -1$ ; if  $z^p = 1, h \neq 1$  then  $\omega(x) = q$  (also for  $z = 1$ ).

We compute  $|X| \cdot (\omega|_X, \zeta \cdot \varepsilon_i) = \sum_{x \in X} \omega(x) \zeta(z) \varepsilon_i(h)$ , where  $x = zh$ . Note that  $\omega(x)$  is an integer, so  $\omega$  is self-dual. Let  $Z_p$  be the subgroup of order  $p$  in  $Z$ , and  $X_p = \langle Z_p, h \rangle$ . Then

$$\sum_{x \in X} \omega(x) \zeta(z) \varepsilon_i(h) = \sum_{x \in X_p} \omega(x) \zeta(z) \varepsilon_i(h) + \sum_{x \notin X_p} \omega(x) \zeta(z) \varepsilon_i(h).$$

We first show that the second sum equals 0 if  $i < p$ . Note that  $x = zh \notin X_p$  is equivalent to  $z \notin Z_p$ . Therefore,  $d = d(x) = 0$  for  $x \notin X_p$ , and  $\omega(x) = -1$ . For  $z$  fixed we have a partial sum  $\zeta(z) \sum_h \varepsilon_i(h)$ , and  $\sum_h \varepsilon_i(h) = 0$ , as claimed.

If  $i = p$  and  $\zeta \neq 1_Z$  then

$$\sum_{x \notin X_p} \omega(x) \zeta(z) \varepsilon_i(h) = -p \sum_{z \notin Z_p} \zeta(z) = -p \left( \sum_{z \in Z} \zeta(z) - \sum_{z \in Z_p} \zeta(z) \right) = p^2$$

as  $\zeta(z) = 1$  for  $z \in Z_p$ . If  $i = p$  and  $\zeta = 1_Z$  then

$$\sum_{x \notin X_p} \omega(x) \zeta(z) \varepsilon_i(h) = -(|X| - |X_p|) = -p(q+1) + p^2.$$

Next, we compute  $\sum_{x \in X_p} \omega(x) \zeta(z) \varepsilon_i(h)$ . Observe that  $\zeta(z) = 1$  for  $z \in Z_p$  so this sum simplifies to  $\sum_{zh \in X_p} \omega(zh) \varepsilon_i(h)$ . Note that  $d(zh) = 1$  if  $h \neq 1$  and any  $z \in Z_p$ . So if  $h \neq 1$  then  $\omega(zh) = q$ . If  $h = 1$  then  $d(zh) = d(z) = 0$  for  $z \neq 1$  so  $\omega(z) = -1$ . And  $\omega(1) = q^p$ .

Therefore, we have

$$\begin{aligned} \sum_{zh \in X_p} \omega(zh) \varepsilon_i(h) &= \sum_{z \in Z_p, h \neq 1} \omega(zh) \varepsilon_i(h) + \sum_{z \in Z_p, z \neq 1} \omega(z) + q^p \\ &= \sum_{z \in Z_p, h \neq 1} q \cdot \varepsilon_i(h) + \sum_{z \in Z_p, z \neq 1} (-1) + q^p = pq \sum_{h \neq 1} \varepsilon_i(h) - (p-1) + q^p. \end{aligned}$$

(i) Let  $i \neq p$ . Then  $\sum_{h \neq 1} \varepsilon_i(h) = -1$ , and the last sum equals  $-pq - p + 1 + q^p = q^p + 1 - p(q+1)$ .

(ii) Let  $i = p$ . Then  $\sum_{h \neq 1} \varepsilon_i(h) = p-1$ , and the last sum equals  $pq(p-1) - (p-1) + q^p = q^p + 1 + p^2q - pq - p$ .

Therefore,  $|X| \cdot (\omega|_X, \zeta \cdot \varepsilon_i) = q^p + 1 - p(q+1)$  if  $i \neq p$ ,  $q^p + 1 + (p-1)p(q+1)$  if  $i = p$ ,  $\zeta \neq 1_Z$ , and  $q^p + 1 + (p-2)p(q+1)$  if  $i = p$ ,  $\zeta = 1_Z$ .

In particular, the multiplicities of eigenvalue  $\nu^i$  for  $i \neq p$  of  $h$  on the module  $M_\zeta$  for fixed  $\zeta$  are the same. As  $\sum_{i \neq p} \nu^i = -1$ , the trace of  $h$  on  $M_\zeta$  for  $\zeta \neq 1_Z$  with  $\zeta(Z_p) = 1$  equals

$$(1/|X|)(q^p + 1 + (p-1)p(q+1) - (q^p + 1 - p(q+1))) = p$$

as  $|X| = p(q+1)$ . Similarly, if  $\zeta = 1_Z$  then the trace in question equals  $p-1$ . In other words, if  $\omega_\zeta$  is the character of  $M_\zeta$  and  $\zeta(Z_p) = 1$  then  $\omega_\zeta(h) = p$  for  $\zeta \neq 1_Z$  and  $p-1$  otherwise.  $\square$

**Lemma 10.11.** *Let  $H = \mathrm{GU}_p(q)$ , where  $p|(q+1)$ ,  $p > 2$ ,  $(p, q) \neq (3, 2)$  or  $\mathrm{GL}_p(q)$ , where  $p|(q-1)$ . Then  $H$  has no  $\mathrm{Syl}_p$ -regular character. The same is true for  $H' = \mathrm{SU}_p(q)$  and  $\mathrm{SL}_p(q)$  and for all groups  $X$  with  $H' \leq X \leq H$ .*

*Proof.* Set  $G = H/O_p(Z(H))$ . By Lemma 2.5, it suffices to prove the lemma for  $G$  in place of  $H$ .

Suppose the contrary, and let  $\chi$  be a  $\text{Syl}_p$ -regular character of  $G$ , and let  $\lambda$  be an irreducible constituent of  $\chi$ . We first observe that  $\lambda(1) < \mu_3(G)$ , and hence by [20],  $\lambda(1) \in \{1, \mu_1(G), \mu_2(G)\}$ .

Indeed, note that  $|G|_p = p|q+1|_p^{p-1}$  in the unitary case, respectively  $|G|_p = p|q-1|_p^{p-1}$  in the linear case. By [20, Table IV],

$$\mu_3(G) \geq \mu_3(H') \geq \frac{(q^p+1)(q^{p-1}-q^2)}{(q+1)(q^2-1)},$$

respectively,

$$\frac{(q^p-1)(q^{p-1}-q^2)}{(q-1)(q^2-1)} \quad \text{if } p > 3.$$

This value is greater than  $|G|_p$ . Let  $p = 3$ . Then

$$\mu_3(G) \geq \mu_3(H') \geq \frac{(q^2-q+1)(q-1)}{3},$$

respectively,  $(q^2-1)(q-1)/3$  for  $q > 4$ . Again,  $|G|_3 < \mu_3(G)$ , unless  $G = \text{PGU}_3(8)$  or  $\text{PGL}_3(4)$ . The former case is settled in Lemma 10.8.

Let  $G = \text{PGL}_3(4)$ . Then  $|G|_3 = 27$ . In this case  $\mu_1(G) = 20$ ,  $\mu_2(G) = 35$  and  $\mu_3(G) = 45$ . So  $\lambda(1) \leq |G|_p$  implies  $\lambda(1) \leq 20$ . The character of degree 20 is positive at class  $3A$ , a contradiction.

So  $|G|_p \leq \mu_2(G)$ . As mentioned prior to Lemma 10.10,  $\lambda$  is either one-dimensional or can be seen as a character of  $H$  obtained from an irreducible Weil character by tensoring with a linear character of  $H$ . Let  $h \in H$  as in Lemma 10.10. Then  $h \in H'$ , so tensoring can be ignored, and we can assume that  $\lambda$  is an irreducible Weil character of  $H$ . Then, by Lemma 10.10,  $\lambda(h) \in \{0, p, p-1\}$  in the unitary case and  $\lambda(h) \in \{0, p, p-2\}$  in the linear case. If  $\lambda(1) = 1$  then  $\lambda(h) = 1$ . So  $\lambda(h) \geq 0$ . As  $\chi$  is  $p$ -vanishing and  $|h| = p$ , we have  $\chi(h) = 0$ . So  $\lambda(h) = 0$  for every irreducible constituent of  $\chi$ . This is false as  $\lambda$  is trivial on  $O_p(Z(H))$  by the definition of  $G$ , and hence  $\lambda(h) \neq 0$  by Lemma 10.10. This is a contradiction. As irreducible Weil representations of  $H$  remain irreducible upon restriction to  $H'$ , this argument works for intermediate groups  $X$  too.  $\square$

**Lemma 10.12.** *Let  $p > 2$  and let  $G$  be a group such that  $\text{SL}_n(q) \leq G \leq \text{GL}_n(q)$  with  $2e < n \leq ep$ , or  $\text{SU}_n(q) \leq G \leq \text{GU}_n(q)$  with  $2d < n \leq dp$  and  $(n, q) \neq (3, 2)$ . Then  $G$  and  $G/O_p(G)$  have no  $\text{Syl}_p$ -regular character.*

*Proof.* For the unitary case with  $d > 1$  the result for  $G$  is stated in Lemma 10.6. The case with  $d = 1$  and  $n < p$  is dealt with in Lemma 10.7, and the remaining case  $d = 1$  and  $n = p$  is examined in Lemma 10.11.

Let  $H = \text{GL}_n(q)$ . The result for  $e > 1, q > 2$  follows from Lemma 10.1, and that for  $e > 1, q = 2$  is proved in Lemma 10.3. The result for  $e = 1, n = p$  is stated in Lemma 10.11. The case with  $e = 1, n < p$  is examined in Lemma 10.4.

The statement on  $G/O_p(G)$  follows from Lemma 2.5.  $\square$

**Lemma 10.13.** *For  $p > 2$  let  $H = \text{GL}_n(q)$ ,  $H' = \text{SL}_n(q)$  with  $ep < n < ep^2$ , or  $H = \text{GU}_n(q)$ ,  $H' = \text{SU}_n(q)$  with  $dp < n < dp^2$ . Let  $G$  be a group such that  $H' \leq G \leq H$ . Then  $G$  has no  $\text{Syl}_p$ -regular character, unless  $p = 3$  and  $H = \text{GU}_4(2)$ .*

*Proof.* Suppose the contrary, and let  $\chi$  be a  $\text{Syl}_p$ -regular character of  $G$ .

Suppose first that  $e > 1, d > 1$ . Note that  $G$  has a subgroup  $X$ , say, isomorphic to  $\text{SL}_{ep}(q) \times \text{SL}_{n-ep}(q)$ , resp.,  $\text{SU}_{dp}(q) \times \text{SU}_{n-dp}(q)$ , and  $|G : X|_p = 1$ . Let  $S$  be a Sylow  $p$ -subgroup of  $\text{SL}_{n-ep}(q)$ , resp.,  $\text{SU}_{n-dp}(q)$ , and let  $Y = S \times \text{SL}_{ep}(q)$ , resp.,  $S \times \text{SU}_{dp}(q)$ . As  $|G : Y|_p = 1$ , by Lemma 2.5,  $r_{Y/S}^G(\chi)$  is a  $\text{Syl}_p$ -regular character of  $Y/S \cong \text{SL}_{ep}(q)$ , resp.,  $\text{SU}_{dp}(q)$ . This contradicts Lemma 10.12, unless, possibly, if  $G = \text{SU}_n(2)$  and  $p = 3$ . As  $d > 1$ , this case does not occur.

Next, suppose that  $e = d = 1$ , that is  $p|(q-1)$  or  $q+1$ . Then we refine the above argument. Set  $D = \text{GL}_p(q)$  or  $\text{GU}_p(q)$ . Then  $Y/S \cong D$ . Set  $Y_1 = G \cap Y$ . Then  $Y_1$  is normal in  $Y$  and hence  $O_p(Y_1) = Y_1 \cap O_p(Y)$ . As  $Y/S \cong D$ , it follows that  $Y/O_p(Y) = D/O_p(D) = D/O_p(Z(D))$ , and hence  $E := Y_1/O_p(Y_1)$  is a non-central normal subgroup of  $D/O_p(Z(D))$ . By Lemma 2.5,  $r_{Y_1/O_p(Y_1)}^G(\chi)$  is a  $\text{Syl}_p$ -regular character of  $E = Y_1/O_p(Y_1)$ . However, by Lemma 10.12,  $E$  has no  $\text{Syl}_p$ -regular character, unless  $D = \text{GU}_3(2)$  and  $p = 3$ . So we are left with the case  $H = \text{GU}_n(2)$ ,  $p = 3$  and  $3 < n < 9$ .

The group  $H = \text{GU}_4(2)$  is excluded by assumption, and so we first consider  $H = \text{GU}_5(2)$ . As  $H = H' \times Z(H)$ , it suffices to deal with  $G = \text{SU}_5(2)$ . Suppose the contrary, and let  $\chi$  be a  $\text{Syl}_3$ -regular character of  $G$ . Then we have  $\chi(1) = |G|_3 = 243$ . Let  $\lambda$  be an irreducible constituent of  $\chi$ , so  $\lambda(1) \leq 243$ . If  $g \in G$  is an element from class  $3E$  then  $\lambda(g) > 0$  unless  $\lambda(1) = 176$  or  $220$ . As  $\chi(g) = 0$ , there is a constituent  $\lambda_1$ , say, of  $\chi$  such that  $\lambda_1(1) \in \{176, 220\}$ . Then  $\lambda(1) \leq 67 = 243 - 176$ . Pick  $h \in G$  from the class  $3F$ . Then  $\lambda_1(h) > 0$ , and if  $\lambda(1) \leq 67$  then  $\lambda(h) > 0$ , unless  $\lambda(1) = 10$ . So  $\chi$  must have a constituent  $\lambda_2$ , say, of degree 10. Then  $\lambda_2(g) = 4$ . If  $\lambda_1(1) = 176$  then  $\lambda_1(g) = -4$ , and hence  $(\chi - \lambda_1 - \lambda_2)(g) = 0$ . As  $\lambda(g) > 0$  if  $\lambda(1) \leq 67$ , it follows that  $\chi = \lambda_1 + \lambda_2$ , but then  $0 = \chi(h) = \lambda_1(h) + \lambda_2(h) = 3$ , a contradiction. So  $\lambda_1(1) = 220$ , and the other constituents are of degree at most 23. As  $\lambda_1(g) = -5$ ,  $\lambda_2(g) = 4$ , we have  $(\chi - \lambda_1 - \lambda_2)(g) = -1$ , in particular, for the other constituents  $\lambda$  of  $\chi$  we have  $\lambda(g) \leq 1$ . By [1], this implies  $\lambda(1) = 1$ , and  $\lambda$  must occur with multiplicity 1, whence  $\chi(1) = 220 + 10 + 1 = 231$ , a contradiction.

Let  $H = \text{GU}_6(2)$ . By Lemma 2.5, it suffices to deal with  $X := \text{PGU}_6(2)$ . Set  $X' = \text{PSU}_6(2)$ . Then  $|X'|_3 = 3^6 = 729$  and the irreducible characters of  $X'$  of degree less than 616 are positive on class  $3A$ . In addition,  $|X|_3 = 3^7 = 2187$ , and the irreducible characters of  $X$  of degree less than 2187 and not equal to 616 are positive on class  $3A$ . Let  $\chi$  be a  $\text{Syl}_3$ -regular character of  $X$  or  $X'$ . Then the irreducible character  $\mu$  of degree 616 is a constituent of  $\chi$ . Note that  $\tau(3A) = -14$ . If  $\chi \in \text{Irr}(X')$  then the sum of the other constituents of  $\chi$  is at most 113. By [1], they are of degree 1 or 22. The trivial character cannot occur with multiplicity greater than 1, so 113 or 112 must be a multiple of 22, which is false. Let  $\chi \in \text{Irr}(X)$ . Note that the multiplicity of  $\mu$  in  $\chi$  is at most 3, and if  $\mu$  occurs with multiplicity 3 then the sum of the other constituents of  $\chi$  is at most  $2187 - 1848 = 339$ . The irreducible characters of degree at most 339 have degrees 252, 232, 22, 1, and all of them as well as  $\mu$  are positive at class  $3C$ . This is a contradiction. Suppose that  $\mu$  occurs once. Then the sum of the other constituent values at class  $3A$  is  $-14$ . It follows that these constituents may only be of degrees 770, 252, 232, 22, 1. Inspecting [1], one observes that all of them as well as  $\mu$  are positive at class  $3C$ . This is a contradiction. So the multiplicity of  $\mu$  must be 2. Then the sum of the other constituent values at class  $3A$  is  $-28$ . Therefore, the degrees of the other constituents may only be 770, 560, 385, 252, 232, 22, 1. Let  $\nu$  be the character of degree 385. Then  $\nu(3A) = 25$ . If  $(\chi, \nu) > 0$  then the sum of the other

constituent values at class  $3A$  is  $-3$ . The trivial character is the only one whose value is at most 3. As this cannot occur twice, we get a contradiction. Therefore,  $(\chi, \nu) = 0$ . As above, this contradicts  $\chi(3C) = 0$ . This completes the analysis of the case with  $n = 6$ .

Let  $n = 7$ . Then  $H' = \text{SU}_7(2)$  contains a subgroup isomorphic to  $\text{GU}_6(2)$ , which contains a Sylow 3-subgroup of  $H'$ . So the result for this case follows from  $n = 6$ . In addition,  $H = H' \cdot Z(H)$ , so we are done by Lemma 2.5.

Similarly, the result for  $n = 8$  follows from that with  $n = 7$ .  $\square$

**Remark 10.14.** The group  $\text{SU}_4(2)$  has an irreducible projective character of degree 81 (for  $p = 3$ ), and hence  $H = \text{GU}_4(2) = \text{SU}_4(2) \times Z(H)$  has a projective character of degree  $|H|_3 = 243$ .

**Theorem 10.15.** *Let  $p > 2$  and let  $G$  be a group such that  $\text{SL}_n(q) \leq G \leq \text{GL}_n(q)$ , or  $\text{SU}_n(q) \leq G \leq \text{GU}_n(q)$ . Suppose that Sylow  $p$ -subgroups of  $G/Z(G)$  are not cyclic. Then  $G$  has no Steinberg-like character, unless  $p = 3$  and  $G \in \{\text{SU}_3(2), \text{GU}_3(2), \text{SU}_4(2), \text{GU}_4(2)\}$ .*

*Proof.* If  $n \geq ep^2$  in the linear case and  $n \geq dp^2$  in the unitary case then the result follows from Proposition 9.6 for  $G/O_p(G)$  in place of  $G$ , and then for  $G$  in view of Lemma 2.5.

If  $ep < n < ep^2$  in the linear case and  $dp < n < dp^2$  in the unitary case then the result follows from Lemma 10.13. If  $2e \leq n \leq ep$  in the linear case and  $2d \leq n \leq dp$  in the unitary case then the result follows from Lemma 10.12. If  $n < 2e$  in the linear case and  $n < 2d$  in the unitary case then Sylow  $p$ -subgroups of  $G/Z(G)$  are cyclic.  $\square$

**Remark 10.16.** Proposition 9.6 gives a better bound for  $n$ , but this does not yield an essential advantage as the cases with  $n = e(p + 1)$  and  $d(p + 1)$  are not covered by Proposition 9.6, and we have to use Lemma 10.13 anyway.

### 10.3. The symplectic and orthogonal groups for $p > 2$ .

**Lemma 10.17.** *Let  $G = \text{Sp}_{2n}(q)$  ( $q$  even,  $n \geq 2$ ,  $(n, q) \neq (2, 2), (3, 2)$ ),  $G = \text{Spin}_{2n+1}(q)$  ( $q$  odd,  $n \geq 3$ ,  $(n, q) \neq (3, 3)$ ), or  $G = \text{Spin}_{2n}^{\pm}(q)$  ( $n \geq 4$ ). Suppose that Sylow  $p$ -subgroups of  $G$  are abelian. Then  $|G|_p < \mu_1(G)$ .*

*Proof.* Let  $S \in \text{Syl}_p(G)$ . As  $S$  is abelian, we have  $p > 2$  and  $|S| \leq (q + 1)^n$ . If  $G = \text{Sp}_{2n}(q)$ , where  $q$  is even,  $n \geq 2$  and  $(n, q) \neq (2, 2)$ , or  $\text{Spin}_{2n+1}(3)$ , then (see [20, Table II])

$$\mu_1(G) \geq \frac{(q^n - 1)(q^n - q)}{2(q + 1)}.$$

This is greater than  $(q + 1)^n \geq |S|$ , except for the cases where  $q = 2$  and  $n \leq 6$ . For these cases the statement follows by inspection. If  $G = \text{Spin}_{2n+1}(q)$ , where  $q > 3$  is odd and  $n \geq 3$ , then  $\mu_1(G) \geq (q^{2n} - 1)/(q^2 - 1)$ . Again  $\mu_1(G) > (q + 1)^n$ , whence the result. The cases with  $G = \text{Spin}_{2n}^{\pm}(q)$  with  $n \geq 4$ , are similar; see [20, Thm. 7.6].  $\square$

**Proposition 10.18.** *Let  $e$  be odd and  $p > 2$ , and let  $H = \text{Sp}_{2n}(q)$  with  $n > 1$ ,  $\text{GO}_{2n+1}(q)$  with  $n > 2$ ,  $\text{GO}_{2n}^+(q)$  with  $n > 3$ , or  $\text{GO}_{2n+2}^-(q)$  with  $n > 2$ . Suppose that  $2e \leq n < ep^2$ . Then  $H$  has no  $\text{Syl}_p$ -regular character.*

*Proof.* Let  $S \in \text{Syl}_p(H)$ . By Lemma 9.2(1),  $S$  is conjugate to a Sylow  $p$ -subgroup of a subgroup  $H_1 \cong \text{GL}_n(q)$  of  $H$ . By Lemmas 10.1, 10.3, 10.12 and 10.13,  $\text{GL}_n(q)$  for  $2e \leq n < ep^2$  has no  $\text{Syl}_p$ -regular character, unless possibly when  $n = 2$ .

Let  $n = 2$ , so  $H = \mathrm{Sp}_4(q)$ ,  $e = 1$  and  $p|(q - 1)$ . Then  $|H|_p = |q - 1|_p^2$ . If  $q$  is even then  $\mu_1(G) = q(q - 1)^2/2$  for  $q > 2$ . This is greater than  $|H|_p$ , whence the result. If  $q$  is odd then  $|H|_p \leq (q - 1)^2/4$  and  $\mu_1(H) = (q^2 - 1)/2$ . So again  $|H|_p < \mu_1(H)$ .  $\square$

**Proposition 10.19.** *Let  $e$  be even,  $p > 2$ , and let  $H = \mathrm{Sp}_{2n}(q)$  with  $n > 1$  and  $(n, q) \neq (2, 2)$ ,  $\mathrm{GO}_{2n+1}(q)$  with  $q$  odd and  $n > 2$ , or  $\mathrm{GO}_{2n}^\pm(q)$  with  $n > 3$ . Suppose that  $2e \leq 2n < ep^2$ . Then  $H$  has no  $\mathrm{Syl}_p$ -regular character.*

*Proof.* Write  $2n = ek + m$  with  $m < e$ , where  $k > 1$  is an integer. As  $H$  contains a subgroup  $H_1$  with  $(|H : H_1|, p) = 1$ , where  $H_1 \cong \mathrm{Sp}_{ke}(q)$  or  $\mathrm{GO}_{ke+1}(q)$ , respectively, it suffices to prove the lemma for  $2n = ke$ . Let  $2n = ke$ . By Lemma 9.4, a Sylow  $p$ -subgroup of  $H$  is contained in a subgroup isomorphic to  $\mathrm{GU}_k(q^{e/2})$ . By Lemma 10.12 for  $2 < k \leq p$  and Lemma 10.13 for  $p < k < p^2$  (with  $d = 1$  and  $q^{e/2}$  in place of  $q$ ), the group  $\mathrm{GU}_k(q^{e/2})$  with  $(k, q^{e/2}) \neq (3, 2)$  has no  $\mathrm{Syl}_p$ -regular character, whence the claim. (The exceptional case  $H = \mathrm{Sp}_6(2)$ ,  $p = 3$  is considered below.)

Let  $k = 2$ . Then  $H = \mathrm{Sp}_{2e}(q)$ , and  $p|(q^{e/2} + 1)$ . Then  $|H|_p = |q^{e/2} + 1|_p^2$ . If  $q$  is even then

$$\mu_1(G) = \frac{(q^e - 1)(q^e - q)}{2(q + 1)} \quad \text{for } q > 2.$$

This is greater than  $|H|_p$ , whence the result. If  $q$  is odd then

$$|H|_p \leq \frac{(q^{e/2} + 1)^2}{4} \quad \text{and} \quad \mu_1(H) = \frac{q^e - 1}{2}.$$

So  $|H|_p < \mu_1(H)$ , whence the result.

A similar argument works if  $H = \mathrm{GO}_{ke+1}(q)$  as well as for  $H = \mathrm{GO}_{ke}^-(q)$  with  $k$  odd, and for  $H = \mathrm{GO}_{ke}^+(q)$  with  $k > 2$  even, except when  $H = \mathrm{GO}_8^+(2)$  and  $e = 2$ .

Let  $H = \mathrm{GO}_8^+(2)$  and  $e = 2$  so  $p = 3$ . Then  $|G|_3 = 243$  and the irreducible characters of degree less than 243 are of degrees 1, 28, 35, 50, 84, 175, 210. Let  $\chi$  be a  $\mathrm{Syl}_p$ -vanishing character of degree 243 and  $\lambda$  an irreducible constituent of  $\chi$ . By [1],  $\lambda(3E) > 0$  whenever  $\lambda(1) \leq 243$ . This is a contradiction as  $\chi(3E) = 0$ .

Let  $k = 2$  and  $H = \mathrm{GO}_{2e}^+(q)$ . Then

$$|H|_p = |q^{e/2} + 1|_p^2 < \mu_1(H) = \frac{(q^e - 1)(q^{e-1} - 1)}{q^2 - 1}$$

for  $q > 2$  and  $q = 2, e > 4$ . (If  $q = 2, e = 4$  then  $p = 5$ , and it follows that  $|H|_5 = 25 < \mu_1(H) = 28$ .) So the result follows. (The case  $e = 2$  has been examined above.)

Suppose that  $H = \mathrm{GO}_{2n}^-(q)$  with  $k$  even or  $H = \mathrm{GO}_{2n}^+(q)$  with  $k$  odd. Then some Sylow  $p$ -subgroup of  $H$  is contained in a subgroup  $H_1$  isomorphic to, respectively,  $\mathrm{GO}_{2n-e}^-(q)$  or  $\mathrm{GO}_{2n-e}^+(q)$ . Note that  $2n - e = (k - 1)e$ . For the groups  $H_1$  the result has been proven above, except for the cases where  $k - 1 = 1$  or  $(k - 1)e \leq 6$ . However, if  $k - 1 = 1$  then Sylow  $p$ -subgroups of  $H_1$ , and hence of  $H$  are cyclic, and this case has been examined in Propositions 3.1 and 4.4. Let  $(k - 1)e \leq 6$ . As  $k - 1 > 1$ , we have  $k = 3, e = 2$  as  $e$  is even. Then  $H = \mathrm{GO}_8^-(q)$  and  $\mu_1(H) = q(q^4 + 1)$  (see [20]). If  $p > 3$  then  $|H|_p = |q + 1|_p^3$ , otherwise  $|H|_3 = 3|q + 1|_3^3$ . Then  $|H|_p < \mu_1(H)$ , unless  $q = 2$ .

Let  $q = 2, p = 3$ . Then  $|G|_3 = 81$ . By [1] the irreducible characters of degree less than 81 are of degrees 1, 26, 52. Therefore only these characters can occur as irreducible constituents of a

Syl<sub>3</sub>-regular character  $\chi$ . However, the values of these characters at an element  $g \in G$  in class  $9A$  are  $1, 2, 1$ , in particular, positive. As  $\chi(g) = 0$ , this is a contradiction.

Suppose that  $G = \mathrm{Sp}_6(2)$  and  $p = 3$ . Then  $|S| = 81$ . Let  $\tau$  be an irreducible constituent of  $\chi$ . Then  $\tau(1) \leq 81$ . Let  $g \in G$  belong to the conjugacy class  $3C$  in the notation of [1]. By inspection of the character table of  $G$  one observes that  $\tau(g) \geq 0$  whenever  $\tau(1) \leq 81$ . Therefore,  $\tau(g) = 0$  for every irreducible constituent  $\tau$  of  $\chi$ . This implies  $\tau(1) \in \{21, 27\}$ , see [1]. However, such a character takes positive values at the elements of class  $3A$ . So this case is ruled out.  $\square$

**Remark 10.20.** Let  $G$  be the universal covering group of  $\mathrm{SO}_8^+(2)$ . One observes that  $G$  has a Syl<sub>5</sub>-regular character and no Syl<sub>3</sub>-regular characters. If  $H = \mathrm{Sp}_4(2)$  then  $H$  has Steinberg-like characters for  $p = 3$ , both reducible and irreducible.

## 11. CLASSICAL GROUPS AT $p = 2$

In this section we investigate Syl <sub>$p$</sub> -regular and Steinberg-like characters of simple classical groups over fields of odd order  $q > 3$  at the prime  $p = 2$ .

**11.1. Linear and unitary groups at  $p = 2$ .** We first deal with the smallest case:

**Proposition 11.1.** *Let  $q > 3$  be odd.*

- (a) *Let  $G = \mathrm{PSL}_2(q)$ . Then  $G$  has a reducible Syl<sub>2</sub>-regular character if and only if  $q + 1 = 2^k$  for some  $k \geq 3$  or if  $q = 5$ .*
- (b) *Let  $G = \mathrm{SL}_2(q)$ . Then  $G$  has a reducible Syl<sub>2</sub>-regular character if and only if  $q \pm 1$  is a 2-power.*

*Proof.* (a) The 2-part of  $|G|$  is  $|q - 1|_2$  if  $q \equiv 1 \pmod{4}$  and  $|q + 1|_2$  else. The smallest non-trivial character degree is  $(q + 1)/2$  in the first case,  $(q - 1)/2$  in the second. It follows that there cannot be Syl<sub>2</sub>-regular characters in the first case, unless  $q = 5$ . In the second case, it follows from the character table of  $G$  that the sum of the trivial and the Steinberg character is Syl<sub>2</sub>-regular when  $q + 1$  is a power of 2, and there are no cases otherwise. If  $q = 5$  then there are two reducible Syl<sub>2</sub>-regular characters of degree 4 by [1].

(b) Let  $\chi$  be a reducible Syl<sub>2</sub>-regular character. Let  $1 \neq z \in Z(G)$ ; then we have  $\chi = \chi_1 + \chi_2$ , where  $\chi_1(z) = \chi_1(1)$  and  $\chi_2(z) = -\chi_2(1)$ . By Lemma 2.5 (with  $P = G$  and  $U = Z(G)$ ),  $\chi_1$  is a Syl<sub>2</sub>-regular character for  $G/Z(G) = \mathrm{PSL}_2(q)$ . If  $\chi_1$  is irreducible then  $q \pm 1$  is a 2-power by Proposition 3.1(2); by (a), this is also true if  $\chi_1$  is reducible. So  $q \pm 1$  is a 2-power.

Then there are irreducible characters  $\chi_1, \chi_2$  such that  $\chi_1 + \chi_2$  is 2-vanishing of degree  $2(q \pm 1) = |G|_2$ . Indeed, using the character table of  $G$  one observes that there exist irreducible characters  $\chi_1, \chi_2$  of  $G$  that vanish at non-central 2-elements of  $G$ , and such that  $\chi_1(z) = \chi_1(1)$  and  $\chi_2(z) = -\chi_2(1)$ . It follows that  $\chi_1 + \chi_2$  is a reducible Syl<sub>2</sub>-regular character.  $\square$

Recall that  $\mu_3(G)$  denotes the third smallest degree of a non-trivial irreducible representation of  $G$ .

**Lemma 11.2.** *Let  $G$  be a quasi-simple group such that  $G/Z(G) \in \{\mathrm{PSL}_n(q), \mathrm{PSU}_n(q)\}$  with  $n \geq 3$ ,  $q > 3$  odd and  $|Z(G)|_2 = 1$ . Then  $|G|_2 < \mu_3(G)$ .*

*Proof.* Let first  $G/Z(G) = \mathrm{PSL}_3(q)$  with  $q > 3$  odd. Then  $\mu_1(G) = q(q + 1)$  by Table 1, while

$$|G|_2 = \begin{cases} 2|q - 1|_2^2 & \text{if } q \equiv 1 \pmod{4}, \\ 4|q + 1|_2 & \text{if } q \equiv 3 \pmod{4}. \end{cases}$$

Thus,  $|G|_2 < \mu_1(G)$  unless  $q-1$  is a 2-power. In the latter case,  $\mu_3(G) = (q^2-1)(q-1)$ , and our claim follows. Next, let  $G/Z(G) = \mathrm{PSL}_4(q)$  with  $q > 3$  odd. Then  $\mu_3(G) = (q^3-1)(q-1)/2$ , which is larger than  $|G|_2 \leq 2(q-1)^3$  for  $q \geq 5$ . Now assume that  $G/Z(G) = \mathrm{PSL}_n(q)$ , with  $n \geq 5$  and  $q > 3$  odd. Then (see Table 1)

$$\mu_3(G) = \frac{(q^n-1)(q^{n-1}-q^2)}{(q^2-1)(q-1)}$$

while

$$|G|_2 \leq \begin{cases} (q-1)^{n-1}2^{n-1} & \text{if } q \equiv 1 \pmod{4}, \\ (q+1)^{\lfloor n/2 \rfloor}2^{n-1} & \text{if } q \equiv 3 \pmod{4}. \end{cases}$$

Again, the claim follows.

Let  $G/Z(G) = \mathrm{PSU}_3(q)$ ,  $q > 3$  odd. Then  $\mu_1(G) = q(q-1)$  by [20, Table V], while

$$|G|_2 = \begin{cases} 2|q+1|_2^2 & \text{if } q \equiv 3 \pmod{4}, \\ 4|q-1|_2 & \text{if } q \equiv 1 \pmod{4}. \end{cases}$$

Thus,  $|G|_2 < \mu_1(G)$  unless  $q+1$  is a 2-power. In the latter case,  $\mu_3(G) = (q^2-q+1)(q-1)$ , and our claim follows. Now let  $G/Z(G) = \mathrm{PSU}_4(q)$ ,  $q > 3$  odd. Then  $\mu_3(G) = \frac{(q^2-q+1)(q^2+1)}{2}$ . Suppose first that  $4|(q+1)$ . We have  $|G|_2 = |\mathrm{PSU}_4(q)|_2 \leq 2(q+1)^3$ , whereas  $\mu_3(G) = (q^2+1)(q^2-q+1)/2$ . So  $|G|_2 < \mu_3(G)$ . Suppose now that  $4|(q-1)$ . Then  $|G|_2 \leq 2(q-1)^2$  which is less than  $\mu_1(G) = (q^4-1)/(q+1)$ . Now assume that  $G/Z(G) = \mathrm{PSU}_n(q)$  with  $n \geq 5$ . Here

$$|G|_2 \leq \begin{cases} (q-1)^{(n-1)/2}2^{n-1} & \text{if } q \equiv 1 \pmod{4}, \\ (q+1)^{n-1}2^{n-1} & \text{if } q \equiv 3 \pmod{4}. \end{cases}$$

As

$$\mu_3(G) = \begin{cases} \frac{(q^n+1)(q^{n-1}-q^2)}{(q^2-1)(q+1)} & \text{if } n \text{ is odd,} \\ \frac{(q^n-1)(q^{n-1}+1)}{(q^2-1)(q+1)} & \text{if } n \text{ is even,} \end{cases}$$

we conclude that  $|G|_2 < \mu_3(G)$ , as required.  $\square$

**Proposition 11.3.** *Let  $G$  be quasi-simple with  $G/Z(G) \in \{\mathrm{PSL}_n(q), \mathrm{PSU}_n(q)\}$  with  $n \geq 3$  and  $q > 3$  odd.*

- (a) *If  $n = 3, 4$  then  $G$  has no  $\mathrm{Syl}_2$ -regular character.*
- (b) *If  $n \geq 5$  then  $G$  has no Steinberg-like character for  $p = 2$ .*

*Proof.* By Lemma 2.5 (with  $P = G$ ), it suffices to prove the result in the case where  $|Z(G)|_2 = 1$ . So we assume this, and then  $|G|_2$  equals the order of a Sylow 2-subgroup of  $G/Z(G)$ .

Let first  $G/Z(G) = \mathrm{PSL}_n(q)$ ,  $q > 3$  odd. Let  $\chi$  be a  $\mathrm{Syl}_2$ -regular character for  $G$ . By Lemma 11.2, we have  $\chi(1) < \mu_3(G)$ , and hence the non-trivial irreducible constituents of  $\chi$  are of degree  $(q^n-1)/(q-1)$  or  $(q^n-q)/(q-1)$ , see Table 1. The irreducible characters of degree  $(q^n-1)/(q-1)$  are induced characters  $\lambda^G$ , where  $\lambda \neq 1_P$  is a one-dimensional character of the stabiliser  $P$  of a line of the underlying space for  $\mathrm{GL}_n(q)$ , while the irreducible character of degree  $(q^n-q)/(q-1)$  is the unique non-trivial constituent  $\tau$  of the permutation character  $1_P^G = \tau + 1_G$  on  $P$ .

Let  $n \geq 5$  and let  $g \in \mathrm{SL}_n(q)$  be a block-diagonal matrix, with an  $(n-2) \times (n-2)$ -block corresponding to a primitive element of  $\mathbb{F}_{q^{n-2}}$  with determinant 1, and a  $2 \times 2$ -block corresponding

to an element of order  $q + 1$ . Since  $g$  has no eigenvalue in  $\mathbb{F}_q$ , no conjugate of  $g$  is contained in  $P$ , so all induced characters from  $P$  to  $G$  vanish on  $g$ . In particular  $\lambda^G(g) = 0$  and  $\tau(g) = -1$ . Note that the image  $\bar{g} \in G$  of  $g$  has even order, so  $\chi(g) = 0$  if  $\chi$  is Steinberg-like. Write  $\chi = x_1 1_G + x_2 \tau + \Lambda$ , where  $\Lambda$  is a sum of  $x_3$  induced characters of degree  $(q^n - 1)/(q - 1)$ , with suitable  $x_i \geq 0$ . Evaluating on  $g$  we see that  $x_1 = x_2$ , but then  $\chi(1) = (x_1 + x_3)(q^n - 1)/(q - 1)$  is divisible by some odd prime, so cannot equal the 2-power  $|G|_2$ . This proves part (b) for  $G/Z(G) = \text{PSL}_n(q)$ .

Now assume that  $n = 4$ . Then an easy estimate shows that when  $q - 1$  is not a 2-power, so  $|q - 1|_2 \leq (q - 1)/3$ , and  $q \neq 7$ , then  $|G|_2 < \mu_1(G)$ . So we may assume that in addition either  $q = 7$  or  $q - 1$  is a power of 2. For  $q \neq 7$  let  $g$  be the 2-element

$$g = \begin{pmatrix} 0 & 1 & 0 & 0 \\ a & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & a^{-1} & 0 \end{pmatrix} \in \text{SL}_4(q),$$

where  $a \in \mathbb{F}_q^\times$  is a 2-element of order  $q - 1$ . Observe that again  $g$  is not conjugate to an element of  $P$ , and thus  $\lambda^G(h) = 0$  and  $\tau(h) = -1$ . As  $g$  is a 2-element and  $\chi$  is  $\text{Syl}_2$ -regular, we have  $\chi(g) = 0$ . We may now argue as above to conclude. When  $q = 7$  then the candidate characters have degrees 1, 399 and 400, while  $|G|_2 = 2^9 = 512$ , so clearly there can be no  $\text{Syl}_2$ -regular character.

Now consider the case when  $G/Z(G) = \text{PSL}_3(q)$ . The proof of Lemma 11.2 shows that  $\mu_1(G) > |G|_2$  unless  $q - 1$  is a 2-power. In the latter case the possible constituents of  $\chi$  can have degrees  $1, q^2 + q, q^2 + q + 1$ , while  $|G|_2 = 2(q - 1)^2$ . Clearly at most one of the degrees  $q^2 + q, q^2 + q + 1$  can contribute to  $\chi(1)$ , but then necessarily  $q = 5$ . But in that case the character table shows that there is no  $\text{Syl}_2$ -regular character. This completes the proof of (a) when  $G/Z(G) = \text{PSL}_n(q)$ .

Now let  $G/Z(G) = \text{PSU}_n(q)$  with  $q > 3$  odd, and let  $\chi$  be a  $\text{Syl}_2$ -regular character of  $G$ . According to Lemma 11.2,  $\chi(1) < \mu_3(G)$ , and hence the non-trivial irreducible constituents of  $\chi$  are of degree  $(q^n - (-1)^n)/(q + 1)$  or  $(q^n + (-1)^n q)/(q + 1)$  (see [20, Table V]). The first of these are semisimple characters lying in the Lusztig series of an element  $s$  of order  $q + 1$  in the dual group  $G^* = \text{PGU}_n(q)$  with centraliser  $C_{G^*}(s) \cong \text{GU}_{n-1}(q)$ , the second is a unipotent character,  $\tau$  say, corresponding to the character of the Weyl group  $S_n$  parametrised by the partition  $(n - 1, 1)$ . Let  $g \in \text{SU}_n(q)$  be a regular element of even order in a maximal torus  $T$  of order  $(q^2 - 1)(q^{2n-2} - (-1)^{2n-2})/(q + 1)$  (see [10, Lemma 3.1(a)]). Then no conjugate of the dual maximal torus  $T^*$  contains  $s$ , so the characters in  $\mathcal{E}(G, s)$  vanish on  $g$  (see e.g. [11, Prop. 6.4]). If  $\chi$  is Steinberg-like, then  $\chi(g) = 0$ . As  $\tau$  is unipotent, its value on  $g$  is (up to sign) the same as  $\psi(h)$  where  $\psi \in \text{Irr}(S_n)$  is labelled by  $(n - 1, 1)$  and  $h$  is a permutation of cycle shape  $(n - 2, 2)$ , see [10, Prop. 3.3 and the remark before Prop. 4.2]. The Murnaghan–Nakayama rule gives  $\psi(h) \in \{\pm 1\}$ , so  $\tau(g) \in \{\pm 1\}$ . We may now argue as in the first part to conclude that  $\chi$  cannot be Steinberg-like, thus completing the proof of (b).

Next, assume that  $G/Z(G) = \text{PSU}_4(q)$  with  $q > 3$  odd. If  $q + 1$  is not a power of 2 and  $q \neq 5, 9$  then  $|G|_2 < \mu_1(G)$ , as  $|q + 1|_2 \leq (q + 1)/3$ . So now assume that  $q + 1$  is a power of 2, and hence in particular  $q \equiv 3 \pmod{4}$ . Then  $|G|_2 = 2(q + 1)^3$ , while the three smallest character degrees are  $1, (q^4 - 1)/(q + 1), (q^4 + q)/(q + 1)$ , with the trivial character occurring at most once. It is easily seen that there is no non-negative integral solution for a possible decomposition of  $\chi$ . When

$q = 5$  then the three smallest degrees are 1, 104, 105, while  $|G|_2 = 128$ ; if  $q = 9$  then the three smallest degrees are 1, 656, 657 while  $|G|_2 = 512$ ; so in neither case can there be  $\text{Syl}_2$ -regular characters either.

Finally, when  $G/Z(G) = \text{PSU}_3(q)$  then again the proof of Lemma 11.2 shows that  $q + 1$  must be a 2-power. Here the possible constituents of  $\chi$  have degrees 1,  $q^2 - q$ ,  $q^2 - q + 1$ , while  $|G|_2 = 2(q + 1)^2$ . Again an easy consideration shows that at most the case  $q = 7$  needs special attention. But there the existence of  $\text{Syl}_2$ -regular characters can be ruled out from the known character table.  $\square$

We now treat the case  $q = 3$ , which is considerably more delicate.

**Lemma 11.4.** *Let  $G = \text{PSL}_3(3)$  or  $\text{PSU}_3(3)$ . Then  $G$  does not have reducible  $\text{Syl}_2$ -regular characters.*

*Proof.* For  $G = \text{PSL}_3(3)$  we have  $|G|_2 = 16$ , and all irreducible characters of degree less than 16 take non-negative values on class 4A, so there are no  $\text{Syl}_2$ -regular characters. For  $G = \text{PSU}_3(3)$  we have  $|G|_2 = 32$ , and all irreducible characters have degree at most that large. Since the smallest non-trivial character degree is 6, those of degrees 27 and 28 cannot be constituents of a  $\text{Syl}_2$ -regular character  $\chi$ . Thus, we need to consider the characters of degrees 1, 6, 7, 14, 21. Clearly those of degree 21 cannot occur either. As  $32 \equiv 4 \pmod{7}$  we see that the character of degree 6 has to appear at least three times, but then the values on elements of order 4 give a contradiction.  $\square$

**Remark 11.5.**  $\text{PSL}_3(3)$  and  $\text{PSU}_3(3)$  both have irreducible  $\text{Syl}_2$ -regular characters, see Proposition 3.1.

**Lemma 11.6.** *Let  $G = \text{PSL}_4(3)$  or  $\text{PSU}_4(3)$ . Let  $\chi$  be a  $\text{Syl}_2$ -vanishing character of  $G$ . Then  $l_2(\chi) \geq 4$ .*

*Proof.* Suppose the contrary. Note that  $\chi$  is reducible. As  $|G|_2 = 128$ , we have  $\chi(1) \leq |G|_2 \cdot 3 = 384$ . Let  $\tau$  be an irreducible constituent of  $\chi$  of maximal degree. For all numerical data see [1].

Let  $G = \text{PSL}_4(3)$ . Then we have  $\tau(1) < 351$  (otherwise, we have  $\tau(1) = 351$ , so  $(\chi - \tau)(1) = 33$ , and then  $\chi(2B) > 0$ , which is false).

Let  $\mu \in \text{Irr}(G)$  and  $\mu(1) < 351$ . Then we have  $\mu(4B) \geq 0$  unless  $\mu(1) = 90$ , and  $\mu(4B) \neq 0$  unless  $\mu(1) = 52$  or 260. Let  $\mu(1) = 90$ . Then  $(\chi, \mu) > 0$ . Indeed, otherwise the irreducible constituents of  $\chi$  are of degree 52 or 260, which implies  $\chi(2A) > 0$ , a contradiction.

It follows that  $(\chi - \mu)(1) \leq 294$ . Let  $\sigma \in \text{Irr}(G)$  with  $\sigma(1) = 39$ . The irreducible characters of  $G$  of degree at most 294 and distinct from  $\sigma$  are non-negative at 2A. In addition,  $\sigma(2A) = -1$ , and  $\mu(2A) = 10$ . As  $\chi(2A) = 0$ , it follows that  $(\chi, \sigma) \geq 10$ . Then  $\chi(1) \geq \mu(1) + 10\sigma(1) > 384$ , a contradiction.

Let  $G = \text{PSU}_4(3)$  and  $\mu \in \text{Irr}(G)$  with  $(\chi, \mu) > 0$ . The irreducible characters of degree at most 384 are of degree at most 315. If  $\tau(1) = 315$  then  $\mu(1) \leq 69$ . Then  $\tau(2A) > 0$  and  $\mu(2A) > 0$ , a contradiction.

Suppose that  $\tau(1) = 280$ . Then  $\mu(1) \leq 104$ , but then we obtain a positive value on class 2A. The same consideration rules out  $\tau(1) = 210$ .

Suppose that  $\tau(1) = 189$ . It occurs once as otherwise  $1_G$  occurs 6 times, which is false as  $(\chi, 1_G) \leq 3$ . Then  $\mu(1) \neq 140, 90$ , so  $\mu(4A) > 0$ ,  $\tau(4A) > 0$ , a contradiction. No other option exists, as the irreducible characters of degree less than 189 are positive on 2A.  $\square$

**Lemma 11.7.** *Let  $\mathrm{PSL}_4(3) \leq G \leq \mathrm{PGL}_4(3)$  or  $\mathrm{PSU}_4(3) \leq G \leq \mathrm{PGU}_4(3)$ . Let  $\chi$  be a 2-vanishing character of  $G$ . Then  $l_2(\chi) \geq 4$ .*

*Proof.* By Lemma 2.9(a),  $\chi = \psi^G$ , where  $\psi$  is a proper character of  $G'$ . By inspection of the character table of  $G'$ , see [1], it is easily checked that the conjugacy class of any element  $g \in G'$  of 2-power order is  $G$ -invariant. Then, by Lemma 2.9(b),  $\psi(g) = 0$  for every 2-element  $g \neq 1$  of  $G'$ . This means that  $\psi$  is  $\mathrm{Syl}_2$ -vanishing. By Lemma 11.6,  $l_2(\psi) \geq 4$ . Then  $l_2(\psi^G) \geq 4$ .  $\square$

**Lemma 11.8.** *Let  $G = \mathrm{PGL}_4(3)$ , and let  $\chi$  be a 2-vanishing character of  $G$ . Let  $\eta_1, \dots, \eta_k$  be the irreducible constituents of  $\chi$  disregarding multiplicities, and  $\eta = \eta_1 + \dots + \eta_k$ . Then  $\eta(1) \geq 2|G|_2$ .*

*Proof.* Let  $G' = \mathrm{PSL}_4(3)$ . Then  $|G'|_2 = 128$  and thus  $2 \cdot |G|_2 = 512$ . Suppose the contrary. Then we have  $\eta(1) < 512$ . We can assume that  $\eta_i(1) \geq \eta_j(1)$  for  $1 \leq i < j \leq k$ . Note that  $k > 1$ , otherwise  $\chi = a\eta_1$  for some  $a$ , and hence  $\eta_1$  is a 2-vanishing character of  $G$  and  $\eta_1(1)$  is a multiple of  $|G|_2$ . By [1],  $G$  has no character of degree at most 512 with this property.

By [1], we have  $\eta_1(1) \leq 468$ . Note that all irreducible characters of  $G'$  of degree at most 468 extend to  $G = G' \cdot 2$  except  $\chi_{11}, \chi_{12}$  of degree 260,  $\chi_9, \chi_{10}$  of degree 234,  $\chi_6, \chi_7$  of degree 65 and  $\chi_2, \chi_3$  of degree 26. The corresponding characters of  $G$  are of degrees 520, 468, 130 and 52, respectively. Let  $\chi = \sum a_i \eta_i$ , where  $a_i > 0$  are integers.

(i) Suppose  $\eta_1(1) = 468$ . Then  $\sum_{i>1} \eta_i(1) \leq 44$ . Computing  $\chi(4B)$  we get a contradiction (as  $\eta_1(4B) = 0$  and  $\sum_{i>1} a_i \eta_i(4B) > 0$  and  $k > 1$ ).

(ii) Suppose  $\eta_1(1) = 416$ . If  $\eta_2(1) \leq 90$  then  $\sum_{i>2} \eta_i(1) \leq 6$ , whence  $k = 3$  and  $\eta_3 = 1_G$ . Computing  $\chi(2B)$  we get a contradiction.

So  $\eta_2(1) \leq 52$ . If  $\eta_2(1) = 52$  then  $\sum_{i>2} \eta_i(1) \leq 44$ . Computing  $\chi(4B)$  we get a contradiction, unless  $k = 2$  and  $\eta_2(4B) = 0$  (that is,  $\eta_2 = \chi_5$  in [1]). In this case computing  $\chi(4C)$  gives a contradiction. So  $\eta_i(1) \leq 39$  for  $i > 1$ . This violates  $\chi(2B) = 0$ .

(iii) Let  $\eta_1(1) = 390$ . Then  $\eta_2(1) \leq 90$ . If  $\eta_2(1) = 90$  then  $\eta_3(1) \leq 32$ , whence  $k = 1$  and  $\eta_3(1) = 1$ . This conflicts with  $\chi(4A) = 0$ . If  $\eta_2(1) \leq 52$  then computing  $\chi(4B)$  yields a contradiction.

(iv) Let  $\eta_1(1) = 351$ . So we have  $\sum_{i>1} \eta_i(1) \leq 161$ . If  $\eta_2(1) = 130$  then  $\sum_{i>1} \eta_i(1) \leq 31$ , and hence  $\eta_3(1) = 1$ , a contradiction with  $\chi(20A) = 0$ . Let  $\eta_2(1) \leq 90$ . Then  $\eta_i(1) \leq 71$  for  $i > 2$ . Then we have  $\eta_i(2A) + \eta_i(2B) > 0$  for  $i = 1, \dots, k$ , which violates  $\chi(2A) + \chi(2B) = 0$ .

(v) Let  $\eta_1(1) = 260$ . Then  $\eta_1(2A) \geq 0$  and the only irreducible character  $\lambda$  of degree less than 260 with negative value at  $2A$  has  $\lambda(1) = 39$ . It follows that  $(\chi, \lambda) \geq 0$ , and then  $\eta_i(1) \leq 213$  if  $i > 1$  and  $\eta_i \neq \lambda$ . Note that  $\lambda(8A) = 1$  and  $\eta_1(8A) = 0$ . As  $\chi(8A) = 0$ , it follows that a character of degree 130 occurs in  $\chi$ , which implies  $\eta_2(1) = 130$ . Then we get a contradiction to  $\chi(2A) + \chi(4B) = 0$ .

(vi) Let  $\eta_1(1) \leq 234$ . Computing  $\chi(2A) + \chi(4B)$  leads to a contradiction.  $\square$

**Lemma 11.9.** *Let  $G = \mathrm{PGU}_4(3)$ , and let  $\chi$  be a 2-vanishing character of  $G$ . Let  $\eta_1, \dots, \eta_k$  be the irreducible constituents of  $\chi$  disregarding multiplicities, and  $\eta = \eta_1 + \dots + \eta_k$ . Then  $\eta(1) \geq 2|G|_2$ .*

*Proof.* Let  $G' = \mathrm{PSU}_4(3)$ . Then  $|G'|_2 = 128$ ,  $|G|_2 = 512$  and  $2 \cdot |G|_2 = 1024$ . Suppose the contrary. Then  $\eta(1) < 1024$ . We can assume that  $\eta_i(1) \geq \eta_j(1)$  for  $1 \leq i < j \leq k$ .

Note that  $\chi \cdot \tau = \chi$  for every linear character  $\tau$  of  $G$ . Therefore,  $\eta_i \tau$  is a constituent of  $\eta$ . Let  $g \in G \setminus G'$ . Then  $\eta_i \tau = \eta$  implies  $\eta_i(g) = 0$ .

By [1], if  $630 \neq \eta_i(1) > 420$  then  $\eta_i(4E) \neq 0$  or  $\eta_i(4G) \neq 0$ ; it follows that  $\eta$  must contain at least 2 representations of the same degree, which contradicts  $\eta(1) \leq 1024$ .

So  $\eta_i(1)$  either equals 630 or  $\eta_i(1) \leq 420$ . By [1],  $\eta_i(2A) + \eta_i(4B) > 0$  for these  $\eta_i$ , unless  $\eta_i(1) = 210$ . This violates  $\chi(2A) + \chi(4B) = 0$  unless  $k = 1$  and  $\eta_1(1) = 210$ . Then  $\chi(2A) > 0$ , a contradiction.  $\square$

**Lemma 11.10.** *Let  $H = H_1 \times \cdots \times H_n$ , where  $H_1 \cong \cdots \cong H_n \cong \mathrm{PGL}_4(3)$  or  $\mathrm{PGU}_4(3)$ . Let  $\chi$  be a 2-vanishing character of  $H$ . Then  $\chi(1) \geq 2^{n+1}|H|_2$ .*

*Proof.* By Lemma 11.7, the claim holds for  $n = 1$ , so by induction we can assume that it is true for  $X := H_2 \times \cdots \times H_n$ . By Lemma 2.7,  $\chi = \sum_i \eta_i \sigma_i$ , where  $\eta_i \in \mathrm{Irr}(H_1)$ ,  $\sigma_i$  are 2-vanishing characters of  $X$  and  $\chi' = \sum_i l_2(\sigma_i) \eta_i$  is a 2-vanishing character of  $H_1$ . By induction,  $\sigma_i(1) \geq 2^n |X|_2$ . By Lemmas 11.8 and 11.9 applied to  $\chi'$ , we have  $\sum_i \eta_i(1) \geq 2|H_1|_2$ , so  $\chi(1) \geq 2^{n+1}|H|_2$  by Lemma 2.9.  $\square$

**Proposition 11.11.** *Let  $n > 1$  and  $G = \mathrm{GL}_{4n}(3)$  or  $\mathrm{GU}_{4n}(3)$ . Let  $\chi$  be a 2-vanishing character of  $G$ . Then  $l_2(\chi) \geq 4$ .*

*Proof.* Let  $X$  be the direct product of  $n$  copies of  $\mathrm{GL}_4(3)$  or  $\mathrm{GU}_4(3)$ . Let  $\chi$  be a 2-vanishing character of  $X$ . By Lemmas 2.5 and 11.10,  $\chi(1) \geq 2^{n+1}|X|_2$ .

Let  $Y = X \cdot S_n$ , the semidirect product, where  $S_n$  acts on  $X$  by permuting the factors. Then  $Y$  contains a Sylow 2-subgroup of  $G$ . Let  $M = X \cdot S$ , where  $S \in \mathrm{Syl}_2(S_n)$ , so the index  $|G : M|$  is odd. Note that  $|G|_2 = |X|_2 \cdot |S_n|_2$ . As  $|S_n|_2 \leq 2^{n-1}$  (see the proof of Proposition 6.7), the result follows for these groups.  $\square$

**Theorem 11.12.** *Let  $p = 2$ ,  $m > 3$  and  $G$  be one of  $\mathrm{GL}_m(3)$ ,  $\mathrm{SL}_m(3)$ ,  $\mathrm{PSL}_m(3)$ ,  $\mathrm{GU}_m(3)$ ,  $\mathrm{SU}_m(3)$ ,  $\mathrm{PSU}_m(3)$ . Then  $G$  has no Steinberg-like character. Moreover, if  $\chi$  is a 2-vanishing character of  $G$  then  $l_2(\chi) \geq 4$ .*

*Proof.* Let first  $G = \mathrm{GL}_m(3)$  or  $\mathrm{GU}_m(3)$ . For  $m \equiv 0 \pmod{4}$  the result is stated in Proposition 11.11. Let  $m = 4n + l$ , where  $1 \leq l < 4$ , and  $H = \mathrm{GL}_{4n}(3)$  or  $\mathrm{GU}_{4n}(3)$ . Let  $S_0$  be a Sylow 2-subgroup of  $\mathrm{GL}_l(3)$  or  $\mathrm{GU}_l(3)$ ; set  $U = H \times S_0$ . Then  $U$  contains a Sylow 2-subgroup of  $G$ . Therefore, we have  $l_2(\chi) = l_2(\chi|_U)$ . By Lemma 2.5, if  $\nu$  is a 2-vanishing character of  $U$  then  $l_2(\nu) = l_2(\mu)$  for some 2-vanishing character  $\mu$  of  $H$ . So  $l_2(\nu) \geq 4$  by Proposition 11.11. So the result follows for these groups.

For  $G = \mathrm{SL}_m(3)$  or  $\mathrm{SU}_m(3)$  the result follows from the above and Lemma 2.12. For  $G = \mathrm{PSL}_m(3)$  or  $\mathrm{PSU}_m(3)$  the statement follows from the above and Lemma 2.5.  $\square$

**11.2. Orthogonal and symplectic groups at  $p = 2$ .** Let  $V$  be the natural module for  $H = \mathrm{Sp}_{2n}(q)$ ,  $q$  odd, and for  $g \in G$  let  $d(g)$  be the dimension of the fixed point subspace of  $g$  on  $V$ . Let  $\omega_n$  denote the Weil character of  $H$ . By Howe [7, Prop. 2],  $|\omega_n(g)| = q^{d(g)/2}$ . Let  $\omega_n = \omega'_n + \omega''_n$ , where  $\omega'_n, \omega''_n \in \mathrm{Irr}(H)$  and  $\omega'_n(z) = -\omega''_n(1)$  for  $1 \neq z \in Z(H)$ .

**Lemma 11.13.** *The following statements hold.*

- Let  $h \in H$  be semisimple such that  $h$  and  $zh$  fix no non-zero vector on  $V$ . Then  $|\omega''_n(h)| \leq 1$ .
- Let  $V = V_1 \oplus V_2$ , where  $V_1$  is a non-degenerate subspace of dimension 2, and let  $g \in H$  be an element such that  $gV_i = V_i$ ,  $i = 1, 2$ ,  $g|_{V_1} = -\mathrm{Id}$ , and  $g$  and  $zg$  fix no non-zero vector on  $V_2$ . Then  $|\omega''_n(g)| \geq (q-1)/2$ .
- Let  $q > 3$ . Then  $\omega''_n$  is not constant on the 2-singular elements of  $\mathrm{PSp}_{2n}(q)$ .

*Proof.* (a) We have  $\omega_n(h) = \omega'_n(h) + \omega''_n(h)$  and  $\omega_n(zh) = -\omega'_n(h) + \omega''_n(h)$ . Therefore, by [7, Prop. 2],  $2 \geq |\omega_n(h) + \omega_n(zh)| = |2\omega''_n(h)|$ , whence the claim.

(b) By [7, Prop. 2] we have  $|\omega_n(g)| = 1$  and  $|\omega_n(zg)| = q$ . Then  $q - 1 \leq |\omega_n(g) + \omega_n(zg)| = |2\omega''_n(g)|$ , whence the claim.

(c) Choose  $g$  as in (b) and  $h$  to be an element stabilising  $V_1, V_2$  such that  $h$  coincides with  $g$  on  $V_2$  and the matrix of  $h$  on  $V_1$  is similar to  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . Then  $h$  is a 2-singular element satisfying (a), and hence  $|\omega''_n(h)| \leq 1$ . Let  $\bar{h}$  and  $\bar{g}$  be the images of  $h, g$  in  $H/Z(H)$ . Then  $\bar{h}$  and  $\bar{g}$  are 2-singular elements of  $\text{PSp}_{2n}(q)$ . As  $Z(H)$  is in the kernel of  $\omega''_n$ , this can be viewed as a character of  $H/Z(H)$ . As  $(q - 1)/2$  is greater than 1 for  $q > 3$ , (c) follows.  $\square$

**Proposition 11.14.** *Let  $G = \text{PSp}_{2n}(q)$ , with  $q > 3$  odd and  $n \geq 2$ . Then  $G$  has no  $\text{Syl}_2$ -regular characters.*

*Proof.* We have

$$|\text{PSp}_{2n}(q)|_2 = \begin{cases} |q - 1|_2^n \cdot 2^{n-1} \cdot |n!|_2 & \text{if } 4|(q - 1), \\ |q + 1|_2^n \cdot 2^{n-1} \cdot |n!|_2 & \text{if } 4|(q + 1). \end{cases}$$

Let  $k$  be minimal with  $n \leq 2^k$ , then as  $|n!|_2 \leq |2^k!|_2 = 2^{2^k - 1}$  we have

$$|\text{PSp}_{2n}(q)|_2 \leq \begin{cases} |q - 1|_2^n \cdot 4^{n-1} & \text{if } 4|(q - 1), \\ |q + 1|_2^n \cdot 4^{n-1} & \text{if } 4|(q + 1). \end{cases}$$

On the other hand  $\mu_3(G) = (q^n - 1)(q^n - q)/(2(q + 1))$  by [20, Thm. 5.2], and this is larger than  $|G|_2$ , unless  $n = 2$  and  $q = 5, 7$ . Let's set aside these cases for a moment. Then otherwise if  $\chi$  is  $\text{Syl}_2$ -regular, the constituents of  $\chi$  are either Weil characters or the trivial character. Now note that a Weil character of  $\text{Sp}_{2n}(q)$  of degree  $(q^n \pm 1)/2$  has the centre in its kernel if and only if its degree is odd. So, the non-trivial constituents of  $\chi$  have degree  $(q^n - 1)/2$  if  $q \equiv 3 \pmod{4}$  and  $n$  is odd, and  $(q^n + 1)/2$  otherwise. According to Lemma 2.1 the trivial character occurs at most once in  $\chi$ . As  $(q^n \pm 1)/2$  is never a power of 2 for  $n \geq 2$  and odd  $q$  (consider a Zsigmondy prime divisor), the trivial character must occur exactly once. Let  $\psi_1, \psi_2$  denote the two Weil characters of  $G$ , interchanged by the outer diagonal automorphism  $\gamma$  of  $G$ . Observe that  $\gamma$  is induced by an element of  $\text{GL}_{2n}(q)$  and thus fixes all involution classes of  $G$ . Let  $g \in G$  be an involution and write  $a := \psi_1(g) = \psi_2(g)$ . Then  $\chi(g) = ma + 1$ , where  $m$  is the number of non-trivial constituents of  $\chi$ . As necessarily  $m > 1$  (compare the degrees) we see that  $\chi(g) \neq 0$ , so  $\chi$  is not  $\text{Syl}_2$ -regular.

We now discuss the two exceptions. For  $G = \text{PSp}_4(5)$ ,  $|G|_2 = 2^6 = 64$  and all irreducible characters of degree at most 64 take non-negative values on class 2B, so there is no  $\text{Syl}_2$ -regular character. For  $G = \text{PSp}_4(7)$ ,  $|G|_2 = 2^8 = 256$  and all irreducible characters of degree at most 256 take positive values on class 8A, except for one of degree 175 which takes value  $-1$ , and one of degree 224 which takes value 0. As at most one of those latter two characters could occur, and at most once, there can be no  $\text{Syl}_2$ -regular character for  $p = 2$ .  $\square$

**Proposition 11.15.** *Let  $G = \Omega_{2n+1}(q)$  with  $q > 3$  odd and  $n \geq 3$ . Then  $G$  has no  $\text{Syl}_2$ -regular characters.*

*Proof.* According to [20, Thm. 6.1] we have  $\mu_1(G) = (q^{2n} - 1)/(q^2 - 1)$ , which is larger than  $|G|_2$  unless either  $n = 3$  and  $q = 7$ , or  $n = 4$  and  $q = 5, 7$ .

For  $G = \Omega_7(7)$  the only non-trivial character of degree less than  $|G|_2 = 2^{12}$  is the semisimple character of degree 2451 (see [20]). Since the trivial character can occur at most once in a  $\text{Syl}_2$ -regular character, we see that no example can arise here. For  $G = \Omega_9(5)$  the only non-trivial character of degree less than  $|G|_2 = 2^{14}$  is the semisimple character of degree 16276 (see [20]). Again, this does not lead to an example. For  $G = \Omega_9(7)$  the only non-trivial character of degree less than  $|G|_2 = 2^{18}$  is the character of degree 120100, and we conclude as before.  $\square$

**Proposition 11.16.** *Let  $G = \text{P}\Omega_{2n}^\pm(q)$  with  $q > 3$  odd and  $n \geq 4$ . Then  $G$  has no  $\text{Syl}_2$ -regular characters.*

*Proof.* The second smallest non-trivial character degree of  $G = \text{P}\Omega_{2n}^+(q)$  is given by  $\mu_2(G) = (q^n - 1)(q^{n-1} - 1)/(q + 1)/2$  (see [16, Thm. 1.4]), which is larger than  $|G|_2$  unless  $(n, q) = (4, 7)$ . Leaving that case aside for a moment, we see that any  $\text{Syl}_2$ -regular character of  $G$  is a multiple of the smallest non-trivial character, of degree  $(q^n - 1)(q^{n-1} + q)/(q^2 - 1)$ , plus possibly the trivial character. Arguing as in the case of symplectic groups we see that such characters take non-zero value on involutions. For  $G = \text{P}\Omega_8^+(7)$  the constituents of a  $\text{Syl}_2$ -regular character could have degree 1, 17500, or 51300. No non-negative integral linear combination of these three degrees, with  $1_G$  appearing at most once, adds up to  $|G|_2 = 2^{16} = 65536$ .

The second smallest non-trivial character degree of  $G = \text{P}\Omega_{2n}^-(q)$  is  $\mu_2(G) = (q^n + 1)(q^{n-1} + 1)/(q + 1)/2$  (see again [16, Thm. 1.4]), which is larger than  $|G|_2$ . We conclude as before.  $\square$

Again, we are left with the case that  $p = 2$ ,  $q = 3$ .

**Lemma 11.17.** *Let  $G = \text{PSp}_6(3)$ ,  $\Omega_7(3)$  or  $\text{P}\Omega_8^-(3)$ . Then  $G$  has no Steinberg-like character.*

*Proof.* For  $G = \text{PSp}_6(3)$  we have  $|G|_2 = 2^9$ , and all irreducible characters of  $G$  of degree at most 512 take positive value on the class  $4A$ , see [1].

Let  $G = \Omega_7(3)$ . Then  $|G|_2 = 2^9$ . All irreducible characters of  $G$  of at most that degree are positive at the elements of conjugacy class  $2B$  [1].

Let  $G = \text{P}\Omega_8^-(3)$ . By [1],  $G$  has 8 irreducible characters of degree at most  $|G|_2 = 2^{10}$ . All of them take positive values on class  $2A$ . So the result follows.

This implies the result.  $\square$

**Lemma 11.18.** *Let  $G = \text{PGO}_8^+(3)$  or  $\text{PSp}_8(3)$ . Let  $\chi$  be a 2-vanishing character of  $G$ , and  $\eta_1, \dots, \eta_k$  the irreducible constituents of  $\chi$  disregarding their multiplicities. Set  $\eta = \eta_1 + \dots + \eta_k$ . Then  $\eta(1) \geq 2|G|_2$ .*

*Proof.* Suppose first that  $G = \text{PGO}_8^+(3)$ . Note that  $|G'|_2 = 2^{12}$ , so  $2 \cdot |G|_2 = 2^{15} = 32768$ . Suppose the contrary. Then  $\eta(1) < 32768$ . We use notation from [1]. There are 43 characters of  $G'$  of degree less than 32768, the maximal degree among them is 29120.

There is only one irreducible character of  $G'$  of degree less than 32768 that is negative at  $4A$  (this is of degree 9450), while all other are positive. So it must be a constituent of  $\eta|_{G'}$ . This character extends to  $G$ , so the other constituents of  $\eta$  are of degrees at most  $32768 - 9450 = 23318$ .

It follows that  $\eta_i(1) \leq 18200$ . In fact,  $\eta_i(1) < 18200$ . Indeed, if  $\eta_i(1) = 18200$  and  $\eta_j(1) = 9450$  for some  $i \neq j$  then  $\eta_l$  for  $l \neq i, j$  are of degree at most  $23318 - 18200 = 5118$ . These characters are positive at  $2A$  (as well as those of degree 18200 and 9450). This violates  $\chi(2A) = 0$ .

Thus,  $\eta_i(1) < 18200$ , and hence  $\eta_i(1) \leq 17550$ . Furthermore, computing the character table of  $G$  by a program in the computer package GAP, one observes that there are 4 distinct irreducible characters of degree 17550, and only one irreducible character of this degree for  $G'$ . It follows that

these 4 characters differ from each other by multiplication by a linear character. As  $|G/G'| = 4$ , one observes that  $\chi \cdot \lambda = \chi$  for every linear character  $\lambda$  of  $G$ . Therefore,  $\eta_i \cdot \lambda$  must be a constituent of  $\chi$ . So, if  $\eta_i(1) = 17550$  then there are 3 more constituents of  $\eta$  of this degree, which contradicts the inequality  $\eta(1) < 32768$ .

Thus,  $\eta_i(1) < 17550$  for  $i = 1, \dots, k$ . By [1], all such irreducible characters of  $G'$ , and hence of  $G$ , are positive at  $4A$ , which contradicts  $\chi(4A) = 0$ .

Let  $G = \text{PSp}_8(3)$ . Note that  $|G|_2 = 2^{14}$ , so  $2 \cdot |G|_2 = 2^{15} = 32768$ . Suppose the contrary. Then  $\eta(1) < 32768$ . There are 19 irreducible characters of degree less than 32768. All such characters are positive at  $4A$ , which violates  $\chi(4A) = 0$ .  $\square$

**Lemma 11.19.** *The following statements hold.*

- (a) *Let  $H = H_1 \times \dots \times H_n$ , where  $H_1 \cong \dots \cong H_n \cong \text{PGO}_8^+(3)$  or  $\text{PSp}_8(3)$ . Let  $\chi$  be a 2-vanishing character of  $H$ . Then  $\chi(1) \geq 2^n |H|_2$ .*
- (b) *Let  $G = G_1 \times \dots \times G_n$ , where  $G_1 \cong \dots \cong G_n \cong \text{GO}_8^+(3)$  or  $\text{Sp}_8(3)$ . Let  $\chi$  be a 2-vanishing character of  $G$ . Then  $\chi(1) \geq 2^n |G|_2$ .*

*Proof.* (a) If  $n = 1$  then the result is contained in Lemma 11.18. By induction we can assume that it is true for  $X := H_2 \times \dots \times H_n$ . By Lemma 2.7,  $\chi = \sum_i \eta_i \sigma_i$ , where  $\eta_i \in \text{Irr}(H_1)$ ,  $\sigma_i$  are 2-vanishing characters of  $X$  and  $\chi' = \sum l_2(\sigma_i) \eta_i$  is a 2-vanishing character of  $H_1$ . By induction,  $\sigma_i(1) \geq 2^{n-1} |X|_2$ . By Lemma 11.18 applied to  $\chi'$ , we have  $\sum_i \eta_i(1) \geq 2 |H_1|_2$ , so  $\chi(1) \geq 2^n |H|_2$  by Lemma 2.9.

(b) This follows from (a) and Lemma 2.5, as  $Z(G)$  is a 2-group.  $\square$

**Lemma 11.20.** *Let  $G = \text{GO}_{8n}^+(3)$ ,  $\Omega_{8n}^+(3)$ ,  $\text{P}\Omega_{8n}^+(3)$ ,  $\text{Sp}_{8n}(3)$  or  $\text{PSp}_{8n}(3)$ . Then  $G$  has no Steinberg-like character for  $p = 2$ .*

*Proof.* Let  $X$  be the direct product of  $n$  copies of  $\text{GO}_8^+(3)$  or  $\text{Sp}_8(3)$ . Let  $\nu$  be a 2-vanishing character of  $X$ . By Lemma 11.10,  $\nu(1) \geq 2^n |X|_2$ .

Let  $Y = X \cdot S_n$ , the semidirect product, where  $S_n$  acts on  $X$  by permuting the factors. Then  $Y$  contains a Sylow 2-subgroup of  $G$ . Let  $M = X \cdot S$ , where  $S \in \text{Syl}_2(S_n)$ , so the index  $|G : M|$  is odd. Note that  $|G|_2 = |X|_2 \cdot |S_n|_2$ . As  $|S_n|_2 \leq 2^{n-1}$  (see the proof of Proposition 6.7), the result follows for the groups  $\text{GO}_{8n}^+(3)$  and  $\text{Sp}_{8n}(3)$ .

For  $G = \Omega_{8n}^+(3)$  the result follows from the above and Lemma 2.12. For  $G = \text{P}\Omega_{8n}^+(3)$  or  $\text{PSp}_{8n}(3)$  the statement follows from the above and Lemma 2.5.  $\square$

**Proposition 11.21.** *Let  $m \geq 4$  and  $G = \text{GO}_{2m}^+(3)$  or  $\text{Sp}_{2m}(3)$ . Then  $G$  has no Steinberg-like character for  $p = 2$ .*

*Proof.* For  $m \equiv 0 \pmod{4}$  the result is stated in Lemma 11.20. Let  $m = 4n + l$ , where  $1 \leq l < 4$ , and  $H = \text{GO}_{8n}^+(3)$  or  $\text{Sp}_{8n}(3)$ . Let  $S_0$  be a Sylow 2-subgroup of  $\text{GO}_{2l}^+(3)$  or  $\text{Sp}_{2l}(3)$ . Set  $U = H \times S_0$ . Then  $U$  contains a Sylow 2-subgroup of  $G$ . Let  $\chi$  be a 2-vanishing character of  $G$ . Therefore,  $l_2(\chi) = l_2(\chi|_U)$ . By Lemma 2.5, if  $\nu$  is a 2-vanishing character of  $U$  then  $l_2(\nu) = l_2(\mu)$  for some 2-vanishing character  $\mu$  of  $H$ . By Lemma 11.20,  $l_2(\mu) \geq 2$ . So  $l_2(\nu) \geq 2$ , and the result follows.  $\square$

**Proposition 11.22.** *Let  $G = \text{GO}_{2m}^-(3)$  with  $m \geq 5$ . Then  $G$  has no Steinberg-like character for  $p = 2$ .*

*Proof.* Let  $m = 4n + l$ , where  $1 \leq l \leq 4$ , and let  $H = \mathrm{GO}_{8n}^+(3)$ . Then  $G$  contains a subgroup  $D$  isomorphic to  $H \times \mathrm{GO}_{2l}^-(3)$ . Then one concludes that  $D$  contains a Sylow 2-subgroup of  $G$ . Let  $S_0$  be a Sylow 2-subgroup of  $\mathrm{GO}_{2l}^-(3)$ . Set  $U = H \times S_0$ . Then  $U$  contains a Sylow 2-subgroup of  $G$ . By Lemma 2.5, if  $\nu$  is a 2-vanishing character of  $U$  then  $l_2(\nu) = l_2(\mu)$  for some 2-vanishing character  $\mu$  of  $H$ . So  $l_2(\nu) \geq 2$  by Lemma 11.20 and the result follows.  $\square$

**Proposition 11.23.** *Let  $G = \mathrm{GO}_{2m+1}(3)$ ,  $m \geq 3$ . Then  $G$  has no Steinberg-like character for  $p = 2$ .*

*Proof.* The case  $m = 3$  is dealt with in Lemma 11.17. So we assume that  $m > 3$ , that is,  $2m + 1 \geq 9$ . Let  $m = 4n + l$ , where  $0 \leq l \leq 3$ , and let  $H = \mathrm{GO}_{8n}^+(3)$ . Then  $G$  contains a subgroup  $D$  isomorphic to  $H \times \mathrm{GO}_{2l+1}(3)$ . Then  $D$  contains a Sylow 2-subgroup of  $G$ . Set  $U = H \times S_0$ , where  $S_0$  is a Sylow 2-subgroup of  $\mathrm{GO}_{2l+1}(3)$ , so  $U$  contains a Sylow 2-subgroups of  $G$ . By Lemma 2.5, if  $\nu$  is a 2-vanishing character of  $U$  then  $l_2(\nu) = l_2(\mu)$  for some 2-vanishing character  $\mu$  of  $H$ . So  $l_2(\nu) \geq 2$  by Lemma 11.20, and the result follows.  $\square$

**Theorem 11.24.** *Let  $G = \mathrm{GO}_{2m+1}(3)$  with  $m \geq 3$ ,  $\mathrm{GO}_{2m}^\pm(3)$  with  $m \geq 4$ , or  $\mathrm{Sp}_{2m}(3)$  with  $m \geq 3$ , and let  $G'$  be the derived group of  $G$ . Let  $H$  be a group such that  $G' \leq H \leq G$ . Then  $H$  and  $H/Z(H)$  have no Steinberg-like character for  $p = 2$ .*

*Proof.* For  $H$  this follows from Lemma 11.17, Propositions 11.21, 11.22 and 11.23 using Lemma 2.12, and for  $H/Z(H)$  from Lemma 2.5.  $\square$

We now collect our results to prove our main theorems from the introduction.

*Proof of Theorem 1.1.* Assume that  $G$  is a finite non-abelian simple group possessing a Steinberg-like character  $\chi$  with respect to a prime  $p$ . The cases when  $\chi$  is irreducible have been recalled in Proposition 3.1. If Sylow  $p$ -subgroups of  $G$  are cyclic, then  $(G, p, \chi)$  is as in Proposition 4.4. So we may now assume that Sylow  $p$ -subgroups of  $G$  are non-cyclic. For  $G$  alternating and  $p$  odd there are no cases by Theorem 6.4 except for  $A_6 \cong \mathrm{PSL}_2(9)$  with  $p = 3$ . The Steinberg-like characters of sporadic groups are listed in Theorem 5.1.

Thus  $G$  is of Lie type. The case when  $p$  is the defining prime was handled in [17] and Propositions 8.1 and 8.2, respectively. So now assume  $p$  is not the defining prime for  $G$ . Groups of exceptional Lie type were handled in Theorem 7.1. For classical groups of large rank with  $p$  odd, our result is contained in Proposition 9.6, the cases for  $\mathrm{PSL}_n(q)$  and  $\mathrm{PSU}_n(q)$  with  $p > 2$  are completed in Theorem 10.15, and those for the other classical groups in Propositions 10.18 and 10.19. Finally, the cases with  $p = 2$  are covered by Proposition 11.1 for  $G = \mathrm{PSL}_2(q)$ , Proposition 11.3 for  $\mathrm{PSL}_n(q)$  and  $\mathrm{PSU}_n(q)$  with  $q \neq 3$ , Theorem 11.12 for  $\mathrm{PSL}_n(3)$  and  $\mathrm{PSU}_n(3)$ , Propositions 11.14, 11.15 and 11.16 for classical groups with  $q \neq 3$ , and Theorem 11.24 for the case that  $q = 3$ .  $\square$

*Proof of Theorem 1.2.* The characters of projective  $\overline{\mathbb{F}}_p G$ -modules of dimension  $|G|_p$  are in particular Steinberg-like, so in order to prove this result we need to go through the list given in Theorem 1.1(2)–(5). When Sylow  $p$ -subgroups of  $G$  are cyclic, the possibilities are given in Lemma 4.5(b). For  $G$  of Lie type in characteristic  $p$ , see [23, Thm. 1.1]. Theorem 1.1(4) is subsumed in statement (1), and finally the alternating groups for  $p = 2$  are discussed in Theorem 6.14.  $\square$

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